

# Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Form **990**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

2016

Department of the Treasury  
Internal Revenue Service

▶ Do not enter Social Security numbers on this form as it may be made public.  
▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**Open to Public Inspection**

**A** For the **2016** calendar year, or tax year beginning 07/01, 2016, and ending 06/30, 2017

|   |   |  |   |   |  |
|---|---|--|---|---|--|
| <b>B</b> Check if applicable:<br><br><input type="checkbox"/> Address change<br><input type="checkbox"/> Name change<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Terminated<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Application pending | <b>C</b> Name of organization<br>NATURAL RESOURCES DEFENSE COUNCIL, INC.<br>Doing Business As                 |  |   | <b>D</b> Employer identification number<br>13-2654926   |  |
|   | Number and street (or P.O. box if mail is not delivered to street address) Room/suite<br>40 WEST 20TH STREET  |  | <b>E</b> Telephone number<br>(212) 727-2700 |   |  |
|   | City or town, state or province, country, and ZIP or foreign postal code<br>NEW YORK, NY 10011                |  |   | <b>G</b> Gross receipts \$ <u>262,631,727.</u>  |  |
|   | <b>F</b> Name and address of principal officer: RHEA SUH, PRESIDENT<br>40 WEST 20TH STREET NEW YORK, NY 10011 |  |   | <b>H(a)</b> Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br><b>H(b)</b> Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No<br>If "No," attach a list. (see instructions) |  |
| <b>I</b> Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527  |   |  |   |   |  |
| <b>J</b> Website: ▶ WWW.NRDC.ORG  |   |  |   |   |  |
| <b>K</b> Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶   |   |  |   |   |  |
|   |   |  | <b>L</b> Year of formation: 1970            |   |  |
|   |   |  | <b>M</b> State of legal domicile: NY        |   |  |

**Part I Summary**

|   |  |                                  |                     |
|---|--|----------------------------------|---------------------|
| <b>Activities &amp; Governance</b>  | <b>1</b> Briefly describe the organization's mission or most significant activities: <u>NRDC WORKS TO SAFEGUARD THE EARTH - ITS PEOPLE, ITS PLANTS AND ANIMALS, AND THE NATURAL SYSTEMS ON WHICH ALL LIFE DEPENDS.</u> |                                  |                     |
|   | <b>2</b> Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.   |                                  |                     |
|   | <b>3</b>   | 37.                              |                     |
|   | <b>4</b>   | 35.                              |                     |
|   | <b>5</b>   | 662.                             |                     |
|   | <b>6</b>   | 0.                               |                     |
|   | <b>7a</b>  | 210,094.                         |                     |
| <b>7b</b>   | 0.   |                                  |                     |
| <b>Revenue</b>  | <b>8</b>   | <b>Prior Year</b>                | <b>Current Year</b> |
|   | Contributions and grants (Part VIII, line 1h)  | 127,497,252.                     | 161,593,821.        |
|   | Program service revenue (Part VIII, line 2g)   | 1,089,789.                       | 9,255,127.          |
|   | Investment income (Part VIII, column (A), lines 3, 4, and 7d)  | 380,773.                         | 5,732,025.          |
|   | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)   | 841,447.                         | 548,191.            |
|   | <b>12</b> Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)   | 129,809,261.                     | 177,129,164.        |
| <b>Expenses</b>   | <b>13</b>  | <b>Prior Year</b>                | <b>Current Year</b> |
|   | Grants and similar amounts paid (Part IX, column (A), lines 1-3)   | 4,927,829.                       | 5,539,610.          |
|   | Benefits paid to or for members (Part IX, column (A), line 4)  | 0.                               | 0.                  |
|   | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)  | 66,697,751.                      | 67,175,137.         |
|   | <b>16a</b> Professional fundraising fees (Part IX, column (A), line 11e)   | 930,163.                         | 246,991.            |
|   | <b>b</b> Total fundraising expenses (Part IX, column (D), line 25) ▶ <u>12,363,326.</u>  |                                  |                     |
|   | Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)   | 60,879,746.                      | 55,811,170.         |
| <b>18</b> Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) | 133,435,489.   | 128,772,908.                     |                     |
| <b>19</b> Revenue less expenses. Subtract line 18 from line 12                      | -3,626,228.  | 48,356,256.                      |                     |
| <b>Net Assets or Fund Balances</b>  | <b>20</b>  | <b>Beginning of Current Year</b> | <b>End of Year</b>  |
|   | Total assets (Part X, line 16)   | 301,297,674.                     | 366,746,425.        |
|   | <b>21</b> Total liabilities (Part X, line 26)  | 64,771,046.                      | 60,522,510.         |
| <b>22</b> Net assets or fund balances. Subtract line 21 from line 20                | 236,526,628.   | 306,223,915.                     |                     |

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

|                  |                                    |            |
|------------------|------------------------------------|------------|
| <b>Sign Here</b> | Signature of officer _____         | Date _____ |
|                  | Type or print name and title _____ |            |

|                               |  |                          |                    |   |                   |  |
|-------------------------------|--|--------------------------|--------------------|---|-------------------|--|
| <b>Paid Preparer Use Only</b> | Print/Type preparer's name<br>SCOTT THOMPSETT                        | Preparer's signature<br> | Date<br>05/04/2018 | Check <input type="checkbox"/> if self-employed | PTIN<br>P00741490 |  |
|                               | Firm's name ▶ GRANT THORNTON LLP                                     |                          |                    | Firm's EIN ▶ 36-6055558                         |                   |  |
|                               | Firm's address ▶ 757 THIRD AVENUE, 3RD FLOOR NEW YORK, NY 10017-2013 |                          |                    | Phone no. 212-599-0100                          |                   |  |

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

**For Paperwork Reduction Act Notice, see the separate instructions.**

Form **990** (2016)

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission:

ATTACHMENT 1

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

[ ] Yes [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

[ ] Yes [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 105,789,972. including grants of \$ 5,539,610. ) (Revenue \$ 9,255,127. )

SEE SCHEDULE O FOR A DETAILED DESCRIPTION OF NRDC'S VARIOUS ENVIRONMENTAL PROGRAMS.

4b (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4c (Code: ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4d Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

4e Total program service expenses 105,789,972.

**Part IV Checklist of Required Schedules**

|  | Yes | No |
|--|-----|----|
| <b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i> . . . . .   | X   |    |
| <b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? . . . . .  | X   |    |
| <b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i> . . . . .  |     | X  |
| <b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i> . . . . .   | X   |    |
| <b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i> . . . . .   |     | X  |
| <b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i> . . . . .  |     | X  |
| <b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II.</i> . . . . .  |     | X  |
| <b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i> . . . . .   |     | X  |
| <b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i> . . . . .            |     | X  |
| <b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V.</i> . . . . .   | X   |    |
| <b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.  |     |    |
| <b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i> . . . . .   | X   |    |
| <b>b</b> Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i> . . . . .   | X   |    |
| <b>c</b> Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i> . . . . .   |     | X  |
| <b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i> . . . . .  |     | X  |
| <b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i> . . . . .   | X   |    |
| <b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i> . . . . .  | X   |    |
| <b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII.</i> . . . . .  |     | X  |
| <b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional.</i> . . . . .   | X   |    |
| <b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i> . . . . .  |     | X  |
| <b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .   | X   |    |
| <b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV.</i> . . . . . | X   |    |
| <b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV.</i> . . . . .   | X   |    |
| <b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV.</i> . . . . .   |     | X  |
| <b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions). . . . .  | X   |    |
| <b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i> . . . . .   | X   |    |
| <b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i> . . . . .   |     | X  |

**Part IV Checklist of Required Schedules (continued)**

|   | Yes | No |
|---|-----|----|
| <b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H.</i> . . . . .  |     | X  |
| <b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? . . . . .   |     |    |
| <b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II.</i> . . . . .   | X   |    |
| <b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III.</i> . . . . .   |     | X  |
| <b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i> . . . . .  | X   |    |
| <b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a.</i> . . . . .                           | X   |    |
| <b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .  |     | X  |
| <b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .   |     | X  |
| <b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .  |     | X  |
| <b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I.</i> . . . . .  |     | X  |
| <b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I.</i> . . . . .  |     | X  |
| <b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II.</i> . . . . .                                 |     | X  |
| <b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III.</i> . . . . . |     | X  |
| <b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):   |     |    |
| <b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i> . . . . .  |     | X  |
| <b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i> . . . . .   |     | X  |
| <b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV.</i> . . . . .   | X   |    |
| <b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M.</i> . . . . .  | X   |    |
| <b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M.</i> . . . . .  |     | X  |
| <b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I.</i> . . . . .  |     | X  |
| <b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II.</i> . . . . .  |     | X  |
| <b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i> . . . . .  |     | X  |
| <b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.</i> . . . . .  | X   |    |
| <b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .  | X   |    |
| <b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2.</i> . . . . .  |     | X  |
| <b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2.</i> . . . . .   | X   |    |
| <b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI.</i> . . . . .   |     | X  |
| <b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O.  | X   |    |

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for line numbers (1a-14b), descriptions, and Yes/No checkboxes. Includes entries for Form 1096, Form W-2G, Form W-3, Form 990-T, Form 8886-T, Form 8282, Form 8899, Form 1098-C, Form 990, Form 720, and Form 700J.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include 1a (37), 1b (35), 2 (X), 3 (X), 4 (X), 5 (X), 6 (X), 7a (X), 7b (X), 8a (X), 8b (X), 9 (X).

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include 10a (X), 10b, 11a (X), 11b, 12a (X), 12b (X), 12c (X), 13 (X), 14 (X), 15a (X), 15b (X), 16a (X), 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed ATTACHMENT 2
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
[X] Own website [ ] Another's website [X] Upon request [ ] Other (explain in Schedule O)
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records: STEVEN BAGINSKI, CFO 40 WEST 20TH STREET NEW YORK, NY 10011 212-727-2700

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII.

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A)<br>Name and Title                | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position<br>(do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |          | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--------------------------------------|--|--|-----------------------|---------|--------------|------------------------------|----------|--|---|---|
|                                      |  | Individual trustee or director   | Institutional trustee | Officer | Key employee | Highest compensated employee | Former   |  |   |   |
| (1) JOHN H. ADAMS<br>TRUSTEE         | 20.00<br>1.00  | X  |                       |         |              |                              | 160,417. | 0.   | 23,650.   |   |
| (2) ANNE SLAUGHTER ANDREW<br>TRUSTEE | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (3) RICHARD E. AYRES<br>TRUSTEE      | 1.00<br>1.00   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (4) PATRICIA BAUMAN<br>TRUSTEE       | 1.00<br>1.00   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (5) ANITA BEKENSTEIN<br>TRUSTEE      | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (6) CLAIRE BERNARD<br>TRUSTEE        | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (7) ANNA SCOTT CARTER<br>TRUSTEE     | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (8) SARAH COGAN<br>TRUSTEE           | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (9) LAURIE DAVID<br>TRUSTEE          | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (10) LEONARDO DICAPRIO<br>TRUSTEE    | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (11) JOHN ECHOHAWK<br>TRUSTEE        | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (12) ARJUN GUPTA<br>TRUSTEE          | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| (13) ALAN HORN<br>VICE CHAIR         | 1.00<br>0.   | X  |                       | X       |              |                              | 0.       | 0.   | 0.  |   |
| (14) VICTOR HYMES<br>TRUSTEE         | 1.00<br>0.   | X  |                       |         |              |                              | 0.       | 0.   | 0.  |   |

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and title  | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |            | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|------------|--|---|---|
|  |  | Individual trustee or director  | Institutional trustee | Officer | Key employee | Highest compensated employee | Former     |  |   |   |
| ( 15) NICOLE E. LEDERER<br>-----<br>TRUSTEE                              | 1.00<br>-----<br>0.  | X   |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| ( 16) SHELLY MALKIN<br>-----<br>TRUSTEE                                  | 1.00<br>-----<br>0.  | X   |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| ( 17) JOSEPHINE A. MERCK<br>-----<br>TRUSTEE                             | 1.00<br>-----<br>0.  | X   |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| ( 18) KELLY CHAPMAN MEYER<br>-----<br>TRUSTEE                            | 1.00<br>-----<br>0.  | X   |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| ( 19) MARY MORAN<br>-----<br>TRUSTEE/TREASURER                           | 1.00<br>-----<br>0.  | X   |                       | X       |              |                              | 0.         | 0.   | 0.  |   |
| ( 20) PETER MORTON<br>-----<br>TRUSTEE                                   | 1.00<br>-----<br>0.  | X   |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| ( 21) WENDY NEU<br>-----<br>VICE CHAIR                                   | 1.00<br>-----<br>0.  | X   |                       | X       |              |                              | 0.         | 0.   | 0.  |   |
| ( 22) FREDERICA P. PERERA<br>-----<br>TRUSTEE                            | 1.00<br>-----<br>0.  | X   |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| ( 23) ROBERT REDFORD<br>-----<br>TRUSTEE                                 | 1.00<br>-----<br>0.  | X   |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| ( 24) LAURANCE ROCKEFELLER<br>-----<br>TRUSTEE                           | 1.00<br>-----<br>0.  | X   |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| ( 25) THOMAS ROUSH<br>-----<br>TRUSTEE                                   | 1.00<br>-----<br>0.  | X   |                       |         |              |                              | 0.         | 0.   | 0.  |   |
| <b>1b Sub-total</b> . . . . .  |  |   |                       |         |              |                              | 160,417.   | 0.   | 23,650.   |   |
| <b>c Total from continuation sheets to Part VII, Section A</b> . . . . . |  |   |                       |         |              |                              | 4,027,379. | 0.   | 737,466.  |   |
| <b>d Total (add lines 1b and 1c)</b> . . . . .                           |  |   |                       |         |              |                              | 4,187,796. | 0.   | 761,116.  |   |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 179

|  | Yes | No |
|--|-----|----|
| <b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .                                       | X   |    |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . . | X   |    |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .                       |     | X  |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address | (B)<br>Description of services | (C)<br>Compensation |
|----------------------------------|--------------------------------|---------------------|
| ATTACHMENT 3                     |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ► 92



**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and title  | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|  |  | Individual trustee or director  | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| ( 26) WILLIAM H. SCHLESINGER<br>TRUSTEE                                  | 1.00<br>0.   | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| ( 27) WENDY SCHMIDT<br>TRUSTEE   | 1.00<br>0.   | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| ( 28) FREDERICK A. O. SCHWARZ, JR.<br>CHAIR EMERITUS/TRUSTEE             | 1.00<br>0.   | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| ( 29) MAX STONE<br>VICE CHAIR  | 1.00<br>0.   | X   |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| ( 30) JAMES TAYLOR<br>TRUSTEE  | 1.00<br>0.   | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| ( 31) DANIEL R. TISHMAN<br>CHAIRMAN                                      | 1.00<br>1.00   | X   |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| ( 32) GERALD TORRES<br>TRUSTEE   | 1.00<br>0.   | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| ( 33) DAVID VLADECK<br>TRUSTEE/V CHAIR (AS OF 12/2016)                   | 1.00<br>0.   | X   |                       | X       |              |                              |        | 0.   | 0.  | 0.  |
| ( 34) DAVID WELCH<br>TRUSTEE   | 1.00<br>0.   | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| ( 35) KATHLEEN WELCH<br>TRUSTEE  | 1.00<br>1.00   | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| ( 36) ERIC WEPSIC<br>TRUSTEE   | 1.00<br>1.00   | X   |                       |         |              |                              |        | 0.   | 0.  | 0.  |
| <b>1b Sub-total</b> . . . . .  |  |   |                       |         |              |                              |        |  |   |   |
| <b>c Total from continuation sheets to Part VII, Section A</b> . . . . . |  |   |                       |         |              |                              |        |  |   |   |
| <b>d Total (add lines 1b and 1c)</b> . . . . .                           |  |   |                       |         |              |                              |        |  |   |   |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶** 179

|  | Yes | No |
|--|-----|----|
| <b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .                                       | X   |    |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . . | X   |    |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .                       |     | X  |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address | (B)<br>Description of services | (C)<br>Compensation |
|----------------------------------|--------------------------------|---------------------|
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **▶**

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and title  | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |          | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|----------|--|---|---|
|  |  | Individual trustee or director  | Institutional trustee | Officer | Key employee | Highest compensated employee | Former   |  |   |   |
| ( 37) GEORGE WOODWELL<br>TRUSTEE   | 1.00<br>0.   | X   |                       |         |              |                              | 0.       | 0.   | 0.  |   |
| ( 38) MARIPAT ALPUCHE<br>SECRETARY                                       | 1.00<br>1.00   |   |                       | X       |              |                              | 0.       | 0.   | 0.  |   |
| ( 39) LAUREN SULLIVAN<br>ASSISTANT SECRETARY                             | 1.00<br>0.   |   |                       | X       |              |                              | 0.       | 0.   | 0.  |   |
| ( 40) STEVEN BAGINSKI<br>CHIEF FINANCIAL OFFICER                         | 40.00<br>1.00  |   |                       | X       |              |                              | 295,216. | 0.   | 17,413.   |   |
| ( 41) MITCHELL BERNARD<br>COO (UNTIL 4/17)                               | 40.00<br>1.00  |   |                       | X       |              |                              | 311,149. | 0.   | 70,793.   |   |
| ( 42) RHEA SUH<br>PRESIDENT  | 40.00<br>1.00  |   |                       | X       |              |                              | 497,907. | 0.   | 36,751.   |   |
| ( 43) ANDREW JACKSON (AS OF 4/2017)<br>CHIEF ADMINISTRATIVE OFFICER      | 40.00<br>1.00  |   |                       | X       |              |                              | 0.       | 0.   | 0.  |   |
| ( 44) ANDERS YANG (AS OF 7/2016)<br>CHIEF DEVELOPMENT OFFICER            | 40.00<br>0.  |   |                       | X       |              |                              | 83,145.  | 0.   | 5,021.  |   |
| ( 45) MICHELLE EGAN<br>CHIEF COMMUNICATIONS OFFICER                      | 40.00<br>0.  |   |                       |         | X            |                              | 173,350. | 0.   | 31,407.   |   |
| ( 46) LISA BENENSON (THRU 8/2016)<br>CHIEF COMMUNICATIONS DIRECTOR       | 40.00<br>0.  |   |                       |         | X            |                              | 207,313. | 0.   | 26,862.   |   |
| ( 47) DALE BRYK<br>CHIEF PLANNING & INTEG. OFC                           | 40.00<br>0.  |   |                       |         | X            |                              | 218,975. | 0.   | 51,739.   |   |
| <b>1b Sub-total</b> . . . . .  |  |   |                       |         |              |                              |          |  |   |   |
| <b>c Total from continuation sheets to Part VII, Section A</b> . . . . . |  |   |                       |         |              |                              |          |  |   |   |
| <b>d Total (add lines 1b and 1c)</b> . . . . .                           |  |   |                       |         |              |                              |          |  |   |   |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 179

|   | Yes | No |
|---|-----|----|
| 3 Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .                                       | X   |    |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . . | X   |    |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .                       |     | X  |

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address | (B)<br>Description of services | (C)<br>Compensation |
|----------------------------------|--------------------------------|---------------------|
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ►

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

| (A)<br>Name and title  | (B)<br>Average hours per week (list any hours for related organizations below dotted line) | (C)<br>Position (do not check more than one box, unless person is both an officer and a director/trustee) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|--|--|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
|  |  | Individual trustee or director  | Institutional trustee | Officer | Key employee | Highest compensated employee | Former |  |   |   |
| ( 48) SUSAN CASEY-LEFKOWITZ<br>CHIEF PROGRAM OFFICER                 | 40.00<br>0.  |   |                       |         | X            |                              |        | 219,756.   | 0.  | 51,061.   |
| ( 49) MERCEDES FALBER<br>CHIEF HUMAN RESOURCES OFFICER               | 40.00<br>0.  |   |                       |         | X            |                              |        | 200,091.   | 0.  | 39,197.   |
| ( 50) DAVID GOLDSTON<br>DIRECTOR OF GOVERNMENT AFFAIRS               | 40.00<br>0.  |   |                       |         |              | X                            |        | 226,272.   | 0.  | 21,588.   |
| ( 51) DAVID HAWKINS<br>SENIOR ATTORNEY III                           | 40.00<br>0.  |   |                       |         |              | X                            |        | 230,322.   | 0.  | 183,785.  |
| ( 52) JOEL REYNOLDS<br>WESTERN DIRECTOR & SR ATTORNEY                | 40.00<br>0.  |   |                       |         |              | X                            |        | 232,690.   | 0.  | 57,979.   |
| ( 53) MIMOSE ELIE<br>DEPUTY DIRECTOR, IT OPERATIONS                  | 40.00<br>0.  |   |                       |         |              | X                            |        | 253,088.   | 0.  | 27,349.   |
| ( 54) LINDA GREER<br>SENIOR SCIENTIST III                            | 40.00<br>0.  |   |                       |         |              | X                            |        | 360,524.   | 0.  | 48,049.   |
| ( 55) JOHN MURRAY<br>FORMER DIRECTOR OF DEVELOPMENT                  | 5.00<br>0.   |   |                       |         |              |                              | X      | 298,955.   | 0.  | 7,503.  |
| ( 56) ASHOK GUPTA<br>FORMER KEY EMPLOYEE                             | 40.00<br>0.  |   |                       |         |              |                              | X      | 218,626.   | 0.  | 60,969.   |
| <b>1b Sub-total</b> .....  |  |   |                       |         |              |                              |        |  |   |   |
| <b>c Total from continuation sheets to Part VII, Section A</b> ..... |  |   |                       |         |              |                              |        |  |   |   |
| <b>d Total (add lines 1b and 1c)</b> .....                           |  |   |                       |         |              |                              |        |  |   |   |

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 179

|  | Yes | No |
|--|-----|----|
| <b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> .....                                       | X   |    |
| <b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> ..... | X   |    |
| <b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> .....                       |     | X  |

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A)<br>Name and business address | (B)<br>Description of services | (C)<br>Compensation |
|----------------------------------|--------------------------------|---------------------|
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |
|                                  |                                |                     |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ►

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII.

|  |   |  |                      | (A)<br>Total revenue | (B)<br>Related or<br>exempt<br>function<br>revenue | (C)<br>Unrelated<br>business<br>revenue | (D)<br>Revenue<br>excluded from tax<br>under sections<br>512-514 |  |
|--|---|--|----------------------|----------------------|--|---|--|--|
| <b>Contributions, Gifts, Grants and Other Similar Amounts</b>                    | <b>1a</b> Federated campaigns . . . . .   | <b>1a</b>  | 127,345.             |                      |  |   |  |  |
|  | <b>b</b> Membership dues . . . . .  | <b>1b</b>  | 42,745,826.          |                      |  |   |  |  |
|  | <b>c</b> Fundraising events . . . . .   | <b>1c</b>  | 2,606,144.           |                      |  |   |  |  |
|  | <b>d</b> Related organizations . . . . .  | <b>1d</b>  |                      |                      |  |   |  |  |
|  | <b>e</b> Government grants (contributions) . . . . .  | <b>1e</b>  | 412,375.             |                      |  |   |  |  |
|  | <b>f</b> All other contributions, gifts, grants,<br>and similar amounts not included above . . . . .  | <b>1f</b>  | 115,702,131.         |                      |  |   |  |  |
|  | <b>g</b> Noncash contributions included in lines 1a-1f: \$ . . . . .  |  | 3,177,655.           |                      |  |   |  |  |
|  | <b>h Total.</b> Add lines 1a-1f . . . . .   |  |                      | 161,593,821.         |  |   |  |  |
|  | <b>Program Service Revenue</b>  |  |                      |                      | <b>Business Code</b>                               |   |  |  |
| <b>2a</b> COURT AWARDED FEES . . . . .   |   |  | 900099               | 9,247,509.           | 9,247,509.   |   |  |  |
| <b>b</b> BOOK INCOME (ON EARTH) . . . . .  |   |  | 900099               | 7,618.               | 7,618.   |   |  |  |
| <b>c</b> . . . . .   |   |  |                      |                      |  |   |  |  |
| <b>d</b> . . . . .   |   |  |                      |                      |  |   |  |  |
| <b>e</b> . . . . .   |   |  |                      |                      |  |   |  |  |
| <b>f</b> All other program service revenue . . . . .                             |   |  |                      |                      |  |   |  |  |
| <b>g Total.</b> Add lines 2a-2f . . . . .  |   |  | 9,255,127.           |                      |  |   |  |  |
| <b>Other Revenue</b>   | <b>3</b> Investment income (including dividends, interest,<br>and other similar amounts). . . . .   |  |                      | 2,034,434.           |  | 131,861.                                | 1,902,573.   |  |
|  | <b>4</b> Income from investment of tax-exempt bond proceeds . . . . .   |  |                      | 0.                   |  |   |  |  |
|  | <b>5</b> Royalties . . . . .  |  |                      | 0.                   |  |   |  |  |
|  | <b>6a</b> Gross rents . . . . .   | (i) Real   | 723,646.             |                      |  |   |  |  |
|  |   | (ii) Personal  |                      |                      |  |   |  |  |
|  |   | <b>b</b> Less: rental expenses . . . . .                           |                      |                      |  |   |  |  |
|  | <b>c</b> Rental income or (loss) . . . . .  |  | 723,646.             |                      |  |   |  |  |
|  | <b>d</b> Net rental income or (loss) . . . . .  |  |                      | 723,646.             |  | 78,233.                                 | 645,413.   |  |
|  | <b>7a</b> Gross amount from sales of<br>assets other than inventory . . . . .   | (i) Securities   | 88,765,174.          |                      |  |   |  |  |
|  |   | (ii) Other   |                      |                      |  |   |  |  |
|  |   | <b>b</b> Less: cost or other basis<br>and sales expenses . . . . . |                      | 85,067,583.          |  |   |  |  |
|  |   | <b>c</b> Gain or (loss) . . . . .                                  |                      | 3,697,591.           |  |   |  |  |
|  | <b>d</b> Net gain or (loss) . . . . .   |  |                      | 3,697,591.           |  |   | 3,697,591.   |  |
|  | <b>8a</b> Gross income from fundraising<br>events (not including \$ <u>2,606,144.</u><br>of contributions reported on line 1c).<br>See Part IV, line 18 . . . . . | <b>a</b>   |                      | 153,610.             |  |   |  |  |
|  |   | <b>b</b> Less: direct expenses . . . . .                           | <b>b</b>             | 434,980.             |  |   |  |  |
| <b>c</b> Net income or (loss) from fundraising events . . . . .                  |   |  |                      | -281,370.            |  |   | -281,370.  |  |
| <b>9a</b> Gross income from gaming activities.<br>See Part IV, line 19 . . . . . | <b>a</b>  |  | 0.                   |                      |  |   |  |  |
|  | <b>b</b> Less: direct expenses . . . . .  | <b>b</b>   | 0.                   |                      |  |   |  |  |
|  | <b>c</b> Net income or (loss) from gaming activities . . . . .  |  |                      | 0.                   |  |   |  |  |
| <b>10a</b> Gross sales of inventory, less<br>returns and allowances . . . . .    | <b>a</b>  |  | 0.                   |                      |  |   |  |  |
|  | <b>b</b> Less: cost of goods sold . . . . .   | <b>b</b>   | 0.                   |                      |  |   |  |  |
|  | <b>c</b> Net income or (loss) from sales of inventory . . . . .   |  |                      | 0.                   |  |   |  |  |
| <b>Miscellaneous Revenue</b>   |   |  | <b>Business Code</b> |                      |  |   |  |  |
| <b>11a</b> MAIL LIST RENTAL . . . . .  |   | 900099   | 104,689.             |                      |  |   | 104,689.   |  |
|  | <b>b</b> HONORARIA . . . . .  | 900099   | 850.                 |                      |  |   | 850.   |  |
|  | <b>c</b> RETAIL SALES . . . . .   | 900099   | 376.                 |                      |  |   | 376.   |  |
|  | <b>d</b> All other revenue . . . . .  |  |                      |                      |  |   |  |  |
| <b>e Total.</b> Add lines 11a-11d . . . . .                                      |   |  | 105,915.             |                      |  |   |  |  |
| <b>12 Total revenue.</b> See instructions. . . . .                               |   |  | 177,129,164.         | 9,255,127.           | 210,094.   | 6,070,122.                              |  |  |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX  X

| <b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>   | (A)<br>Total expenses | (B)<br>Program service expenses | (C)<br>Management and general expenses | (D)<br>Fundraising expenses |
|---|-----------------------|---------------------------------|--|-----------------------------|
| 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 . . . . .  | 3,080,633.            | 3,080,633.                      |  |                             |
| 2 Grants and other assistance to domestic individuals. See Part IV, line 22 . . . . .   | 0.                    |                                 |  |                             |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 . . . . .  | 2,458,977.            | 2,458,977.                      |  |                             |
| 4 Benefits paid to or for members . . . . .   | 0.                    |                                 |  |                             |
| 5 Compensation of current officers, directors, trustees, and key employees . . . . .  | 2,693,247.            | 1,674,027.                      | 762,929.                               | 256,291.                    |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .   | 0.                    |                                 |  |                             |
| 7 Other salaries and wages . . . . .  | 49,499,616.           | 40,605,047.                     | 4,976,409.                             | 3,918,160.                  |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)  | 5,354,661.            | 4,351,236.                      | 578,052.                               | 425,373.                    |
| 9 Other employee benefits . . . . .   | 6,127,257.            | 5,060,753.                      | 583,361.                               | 483,143.                    |
| 10 Payroll taxes . . . . .  | 3,500,356.            | 2,844,415.                      | 377,874.                               | 278,067.                    |
| 11 Fees for services (non-employees):   |                       |                                 |  |                             |
| a Management . . . . .  | 638,272.              | 518,665.                        | 68,903.                                | 50,704.                     |
| b Legal . . . . .   | 1,356,741.            | 1,102,498.                      | 146,464.                               | 107,779.                    |
| c Accounting . . . . .  | 247,877.              | 201,427.                        | 26,759.                                | 19,691.                     |
| d Lobbying . . . . .  | 76,487.               | 68,230.                         | 8,257.                                 |                             |
| e Professional fundraising services. See Part IV, line 17.  | 246,991.              |                                 |  | 246,991.                    |
| f Investment management fees . . . . .  | 1,566,577.            | 1,273,012.                      | 169,117.                               | 124,448.                    |
| g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) <u>ATCH 4</u>  | 17,274,180.           | 16,356,244.                     | 467,660.                               | 450,276.                    |
| 12 Advertising and promotion . . . . .  | 3,956,510.            | 3,098,378.                      | 54,285.                                | 803,847.                    |
| 13 Office expenses . . . . .  | 13,653,017.           | 9,885,725.                      | 377,276.                               | 3,390,016.                  |
| 14 Information technology . . . . .   | 745,403.              | 601,234.                        | 88,633.                                | 55,536.                     |
| 15 Royalties . . . . .  | 0.                    |                                 |  |                             |
| 16 Occupancy . . . . .  | 6,214,904.            | 5,059,451.                      | 663,436.                               | 492,017.                    |
| 17 Travel . . . . .   | 3,133,718.            | 2,767,770.                      | 322,740.                               | 43,208.                     |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials   | 0.                    |                                 |  |                             |
| 19 Conferences, conventions, and meetings . . . . .   | 1,020,005.            | 775,968.                        | 161,843.                               | 82,194.                     |
| 20 Interest . . . . .   | 0.                    |                                 |  |                             |
| 21 Payments to affiliates . . . . .   | 0.                    |                                 |  |                             |
| 22 Depreciation, depletion, and amortization . . . . .  | 3,174,409.            | 2,579,923.                      | 342,471.                               | 252,015.                    |
| 23 Insurance . . . . .  | 353,009.              | 286,858.                        | 38,108.                                | 28,043.                     |
| 24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)  |                       |                                 |  |                             |
| a <u>LIST RENTALS</u>   | 840,788.              | 525,887.                        |  | 314,901.                    |
| b <u>TEMPORARY CLERICAL</u>   | 472,310.              | 155,492.                        | 236,584.                               | 80,234.                     |
| c <u>RECRUITING EXPENSE</u>   | 117,525.              | 51,129.                         | 9,761.                                 | 56,635.                     |
| d <u>STORAGE FEES</u>   | 114,623.              | 92,529.                         | 13,048.                                | 9,046.                      |
| e All other expenses  | 854,815.              | 314,464.                        | 145,640.                               | 394,711.                    |
| <b>25 Total functional expenses.</b> Add lines 1 through 24e  | 128,772,908.          | 105,789,972.                    | 10,619,610.                            | 12,363,326.                 |
| <b>26 Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input checked="" type="checkbox"/> X if following SOP 98-2 (ASC 958-720) . . . . . | 7,918,899.            | 4,794,739.                      |  | 3,124,160.                  |

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X. . . . .

|   |  | (A)<br>Beginning of year |              | (B)<br>End of year     |
|---|--|--------------------------|--------------|------------------------|
| <b>Assets</b>   | <b>1</b> Cash - non-interest-bearing . . . . .   | 0.                       | <b>1</b>     | 0.                     |
|   | <b>2</b> Savings and temporary cash investments . . . . .  | 17,030,576.              | <b>2</b>     | 70,787,305.            |
|   | <b>3</b> Pledges and grants receivable, net . . . . .  | 23,568,510.              | <b>3</b>     | 19,204,697.            |
|   | <b>4</b> Accounts receivable, net . . . . .  | 1,068,067.               | <b>4</b>     | 164,615.               |
|   | <b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .   | 0.                       | <b>5</b>     | 0.                     |
|   | <b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L . . . . . | 0.                       | <b>6</b>     | 0.                     |
|   | <b>7</b> Notes and loans receivable, net . . . . .   | 0.                       | <b>7</b>     | 0.                     |
|   | <b>8</b> Inventories for sale or use . . . . .   | 0.                       | <b>8</b>     | 0.                     |
|   | <b>9</b> Prepaid expenses and deferred charges . . . . .   | 4,970,394.               | <b>9</b>     | 5,632,543.             |
|   | <b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D . . . . .   | <b>10a</b> 60,235,970.   |              |                        |
|   | <b>b</b> Less: accumulated depreciation . . . . .  | <b>10b</b> 25,224,401.   | 38,925,069.  | <b>10c</b> 35,011,569. |
|   | <b>11</b> Investments - publicly traded securities . . . . .   | 110,627,508.             | <b>11</b>    | 179,595,596.           |
|   | <b>12</b> Investments - other securities. See Part IV, line 11 . . . . .   | 105,107,550.             | <b>12</b>    | 56,350,100.            |
|   | <b>13</b> Investments - program-related. See Part IV, line 11 . . . . .  | 0.                       | <b>13</b>    | 0.                     |
|   | <b>14</b> Intangible assets . . . . .  | 0.                       | <b>14</b>    | 0.                     |
|   | <b>15</b> Other assets. See Part IV, line 11 . . . . .   | 0.                       | <b>15</b>    | 0.                     |
| <b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . . | 301,297,674.   | <b>16</b>                | 366,746,425. |                        |
| <b>Liabilities</b>  | <b>17</b> Accounts payable and accrued expenses . . . . .  | 16,767,803.              | <b>17</b>    | 16,919,092.            |
|   | <b>18</b> Grants payable . . . . .   | 0.                       | <b>18</b>    | 0.                     |
|   | <b>19</b> Deferred revenue . . . . .   | 0.                       | <b>19</b>    | 0.                     |
|   | <b>20</b> Tax-exempt bond liabilities . . . . .  | 10,375,682.              | <b>20</b>    | 10,082,695.            |
|   | <b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .  | 0.                       | <b>21</b>    | 0.                     |
|   | <b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .   | 0.                       | <b>22</b>    | 0.                     |
|   | <b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .   | 11,353,873.              | <b>23</b>    | 9,644,453.             |
|   | <b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .   | 0.                       | <b>24</b>    | 0.                     |
|   | <b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . . . .  | 26,273,688.              | <b>25</b>    | 23,876,270.            |
|   | <b>26 Total liabilities.</b> Add lines 17 through 25 . . . . .   | 64,771,046.              | <b>26</b>    | 60,522,510.            |
| <b>Net Assets or Fund Balances</b>  | <b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>  |                          |              |                        |
|   | <b>27</b> Unrestricted net assets . . . . .  | 154,393,848.             | <b>27</b>    | 211,453,889.           |
|   | <b>28</b> Temporarily restricted net assets . . . . .  | 62,029,479.              | <b>28</b>    | 75,666,725.            |
|   | <b>29</b> Permanently restricted net assets . . . . .  | 20,103,301.              | <b>29</b>    | 19,103,301.            |
|   | <b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>   |                          |              |                        |
|   | <b>30</b> Capital stock or trust principal, or current funds . . . . .   |                          | <b>30</b>    |                        |
|   | <b>31</b> Paid-in or capital surplus, or land, building, or equipment fund . . . . .   |                          | <b>31</b>    |                        |
|   | <b>32</b> Retained earnings, endowment, accumulated income, or other funds . . . . .   |                          | <b>32</b>    |                        |
| <b>33</b> Total net assets or fund balances . . . . .                         | 236,526,628.   | <b>33</b>                | 306,223,915. |                        |
| <b>34</b> Total liabilities and net assets/fund balances . . . . .            | 301,297,674.   | <b>34</b>                | 366,746,425. |                        |

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI.

|           |  |           |              |
|-----------|--|-----------|--------------|
| <b>1</b>  | Total revenue (must equal Part VIII, column (A), line 12)  | <b>1</b>  | 177,129,164. |
| <b>2</b>  | Total expenses (must equal Part IX, column (A), line 25)   | <b>2</b>  | 128,772,908. |
| <b>3</b>  | Revenue less expenses. Subtract line 2 from line 1   | <b>3</b>  | 48,356,256.  |
| <b>4</b>  | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))                      | <b>4</b>  | 236,526,628. |
| <b>5</b>  | Net unrealized gains (losses) on investments   | <b>5</b>  | 17,114,965.  |
| <b>6</b>  | Donated services and use of facilities   | <b>6</b>  | 0.           |
| <b>7</b>  | Investment expenses  | <b>7</b>  | 0.           |
| <b>8</b>  | Prior period adjustments   | <b>8</b>  | 0.           |
| <b>9</b>  | Other changes in net assets or fund balances (explain in Schedule O)   | <b>9</b>  | 4,226,066.   |
| <b>10</b> | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | <b>10</b> | 306,223,915. |

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII.

- 1** Accounting method used to prepare the Form 990:  Cash  Accrual  Other \_\_\_\_\_  
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . .  
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant? . . . . .  
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

|           | Yes | No |
|-----------|-----|----|
| <b>2a</b> |     | X  |
| <b>2b</b> | X   |    |
| <b>2c</b> | X   |    |
| <b>3a</b> |     | X  |
| <b>3b</b> |     |    |

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10  An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**.  
Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations. . . . .
  - g Provide the following information about the supported organization(s).

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1-10 above (see instructions)) | (iv) Is the organization listed in your governing document? |    | (v) Amount of monetary support (see instructions) | (vi) Amount of other support (see instructions) |
|------------------------------------|----------|---|---|----|---|---|
|                                    |          |   | Yes   | No |   |   |
| (A)                                |          |   |   |    |   |   |
| (B)                                |          |   |   |    |   |   |
| (C)                                |          |   |   |    |   |   |
| (D)                                |          |   |   |    |   |   |
| (E)                                |          |   |   |    |   |   |
| <b>Total</b>                       |          |   |   |    |   |   |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2016



Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Table with 7 columns: (a) 2012, (b) 2013, (c) 2014, (d) 2015, (e) 2016, (f) Total. Rows include: 1 Gifts, grants, contributions, and membership fees received; 2 Tax revenues levied for the organization's benefit; 3 The value of services or facilities furnished by a governmental unit; 4 Total; 5 The portion of total contributions by each person; 6 Public support.

Section B. Total Support

Table with 7 columns: (a) 2012, (b) 2013, (c) 2014, (d) 2015, (e) 2016, (f) Total. Rows include: 7 Amounts from line 4; 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources; 9 Net income from unrelated business activities; 10 Other income; 11 Total support; 12 Gross receipts from related activities; 13 First five years.

Section C. Computation of Public Support Percentage

Table with 2 columns: Line number, Percentage. Rows include: 14 Public support percentage for 2016 (88.37%); 15 Public support percentage from 2015 Schedule A, Part II, line 14 (88.73%); 16a 33 1/3% support test - 2016 (checked); 16b 33 1/3% support test - 2015; 17a 10%-facts-and-circumstances test - 2016; 17b 10%-facts-and-circumstances test - 2015; 18 Private foundation.

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**  
 (Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II.  
 If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

| Calendar year (or fiscal year beginning in) ►   | (a) 2012 | (b) 2013 | (c) 2014 | (d) 2015 | (e) 2016 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| <b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")   |          |          |          |          |          |           |
| <b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . . . |          |          |          |          |          |           |
| <b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .   |          |          |          |          |          |           |
| <b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .  |          |          |          |          |          |           |
| <b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .  |          |          |          |          |          |           |
| <b>6 Total.</b> Add lines 1 through 5. . . . .  |          |          |          |          |          |           |
| <b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons . . . . .  |          |          |          |          |          |           |
| <b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . . .           |          |          |          |          |          |           |
| <b>c</b> Add lines 7a and 7b. . . . .   |          |          |          |          |          |           |
| <b>8 Public support.</b> (Subtract line 7c from line 6.) . . . . .  |          |          |          |          |          |           |

**Section B. Total Support**

| Calendar year (or fiscal year beginning in) ►   | (a) 2012 | (b) 2013 | (c) 2014 | (d) 2015 | (e) 2016 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| <b>9</b> Amounts from line 6. . . . .   |          |          |          |          |          |           |
| <b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . . . . . |          |          |          |          |          |           |
| <b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . . .                          |          |          |          |          |          |           |
| <b>c</b> Add lines 10a and 10b . . . . .  |          |          |          |          |          |           |
| <b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on . . . . .     |          |          |          |          |          |           |
| <b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . .                                 |          |          |          |          |          |           |
| <b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . . .  |          |          |          |          |          |           |

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . .

**Section C. Computation of Public Support Percentage**

|   |           |   |
|---|-----------|---|
| <b>15</b> Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f)). . . . . | <b>15</b> | % |
| <b>16</b> Public support percentage from 2015 Schedule A, Part III, line 15 . . . . .                     | <b>16</b> | % |

**Section D. Computation of Investment Income Percentage**

|  |           |   |
|--|-----------|---|
| <b>17</b> Investment income percentage for <b>2016</b> (line 10c, column (f) divided by line 13, column (f)) . . . . . | <b>17</b> | % |
| <b>18</b> Investment income percentage from <b>2015</b> Schedule A, Part III, line 17 . . . . .                        | <b>18</b> | % |

**19a 33 1/3% support tests - 2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ►

**b 33 1/3% support tests - 2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ►

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ►

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

|  | Yes | No |
|--|-----|----|
| <b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>  |     |    |
| <b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>   |     |    |
| <b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>   |     |    |
| <b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>   |     |    |
| <b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>  |     |    |
| <b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>  |     |    |
| <b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>  |     |    |
| <b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>   |     |    |
| <b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i> |     |    |
| <b>b Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?   |     |    |
| <b>c Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?  |     |    |
| <b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>  |     |    |
| <b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>   |     |    |
| <b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>  |     |    |
| <b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>  |     |    |
| <b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>  |     |    |
| <b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>   |     |    |
| <b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>   |     |    |
| <b>b</b> Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>   |     |    |

**Part IV Supporting Organizations** (continued)

|           |   | Yes         | No |
|-----------|---|-------------|----|
| <b>11</b> | Has the organization accepted a gift or contribution from any of the following persons?   |             |    |
| <b>a</b>  | A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? | <b>11 a</b> |    |
| <b>b</b>  | A family member of a person described in (a) above?   | <b>11 b</b> |    |
| <b>c</b>  | A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in <b>Part VI</b> .                                       | <b>11 c</b> |    |

**Section B. Type I Supporting Organizations**

|          |  | Yes      | No |
|----------|--|----------|----|
| <b>1</b> | Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. | <b>1</b> |    |
| <b>2</b> | Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.   | <b>2</b> |    |

**Section C. Type II Supporting Organizations**

|          |   | Yes      | No |
|----------|---|----------|----|
| <b>1</b> | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s). | <b>1</b> |    |

**Section D. All Type III Supporting Organizations**

|          |  | Yes      | No |
|----------|--|----------|----|
| <b>1</b> | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? | <b>1</b> |    |
| <b>2</b> | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).   | <b>2</b> |    |
| <b>3</b> | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard.  | <b>3</b> |    |

**Section E. Type III Functionally Integrated Supporting Organizations**

|          |  |           |     |    |
|----------|--|-----------|-----|----|
| <b>1</b> | Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).  |           |     |    |
| <b>a</b> | <input type="checkbox"/> The organization satisfied the Activities Test. Complete <b>line 2</b> below.   |           |     |    |
| <b>b</b> | <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.  |           |     |    |
| <b>c</b> | <input type="checkbox"/> The organization supported a governmental entity. Describe in <b>Part VI</b> how you supported a government entity (see instructions).  |           |     |    |
| <b>2</b> | Activities Test. Answer (a) and (b) below.   |           | Yes | No |
| <b>a</b> | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI</b> identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities. | <b>2a</b> |     |    |
| <b>b</b> | Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.  | <b>2b</b> |     |    |
| <b>3</b> | Parent of Supported Organizations. Answer (a) and (b) below.   |           |     |    |
| <b>a</b> | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in <b>Part VI</b> .   | <b>3a</b> |     |    |
| <b>b</b> | Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.   | <b>3b</b> |     |    |

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

**1**  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

| <b>Section A - Adjusted Net Income</b>  |          | (A) Prior Year | (B) Current Year (optional) |
|---|----------|----------------|-----------------------------|
| <b>1</b> Net short-term capital gain  | <b>1</b> |                |                             |
| <b>2</b> Recoveries of prior-year distributions   | <b>2</b> |                |                             |
| <b>3</b> Other gross income (see instructions)  | <b>3</b> |                |                             |
| <b>4</b> Add lines 1 through 3.   | <b>4</b> |                |                             |
| <b>5</b> Depreciation and depletion   | <b>5</b> |                |                             |
| <b>6</b> Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | <b>6</b> |                |                             |
| <b>7</b> Other expenses (see instructions)  | <b>7</b> |                |                             |
| <b>8 Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4).  | <b>8</b> |                |                             |

| <b>Section B - Minimum Asset Amount</b>  |           | (A) Prior Year | (B) Current Year (optional) |
|--|-----------|----------------|-----------------------------|
| <b>1</b> Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): |           |                |                             |
| <b>a</b> Average monthly value of securities   | <b>1a</b> |                |                             |
| <b>b</b> Average monthly cash balances   | <b>1b</b> |                |                             |
| <b>c</b> Fair market value of other non-exempt-use assets  | <b>1c</b> |                |                             |
| <b>d Total</b> (add lines 1a, 1b, and 1c)  | <b>1d</b> |                |                             |
| <b>e Discount</b> claimed for blockage or other factors (explain in detail in <b>Part VI</b> ):  |           |                |                             |
| <b>2</b> Acquisition indebtedness applicable to non-exempt-use assets  | <b>2</b>  |                |                             |
| <b>3</b> Subtract line 2 from line 1d.   | <b>3</b>  |                |                             |
| <b>4</b> Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).                                 | <b>4</b>  |                |                             |
| <b>5</b> Net value of non-exempt-use assets (subtract line 4 from line 3)  | <b>5</b>  |                |                             |
| <b>6</b> Multiply line 5 by .035.  | <b>6</b>  |                |                             |
| <b>7</b> Recoveries of prior-year distributions  | <b>7</b>  |                |                             |
| <b>8 Minimum Asset Amount</b> (add line 7 to line 6)   | <b>8</b>  |                |                             |

| <b>Section C - Distributable Amount</b>   |          |  | Current Year |
|---|----------|--|--------------|
| <b>1</b> Adjusted net income for prior year (from Section A, line 8, Column A)  | <b>1</b> |  |              |
| <b>2</b> Enter 85% of line 1.   | <b>2</b> |  |              |
| <b>3</b> Minimum asset amount for prior year (from Section B, line 8, Column A)   | <b>3</b> |  |              |
| <b>4</b> Enter greater of line 2 or line 3.   | <b>4</b> |  |              |
| <b>5</b> Income tax imposed in prior year   | <b>5</b> |  |              |
| <b>6 Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions). | <b>6</b> |  |              |

**7**  Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

| Section D - Distributions  | Current Year |
|--|--------------|
| 1 Amounts paid to supported organizations to accomplish exempt purposes  |              |
| 2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity      |              |
| 3 Administrative expenses paid to accomplish exempt purposes of supported organizations  |              |
| 4 Amounts paid to acquire exempt-use assets  |              |
| 5 Qualified set-aside amounts (prior IRS approval required)  |              |
| 6 Other distributions (describe in Part VI). See instructions.   |              |
| 7 <b>Total annual distributions.</b> Add lines 1 through 6.  |              |
| 8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions. |              |
| 9 Distributable amount for 2016 from Section C, line 6   |              |
| 10 Line 8 amount divided by Line 9 amount  |              |

| Section E - Distribution Allocations (see instructions)   | (i)<br>Excess Distributions | (ii)<br>Underdistributions<br>Pre-2016 | (iii)<br>Distributable<br>Amount for 2016 |
|---|-----------------------------|--|---|
| 1 Distributable amount for 2016 from Section C, line 6  |                             |  |   |
| 2 Underdistributions, if any, for years prior to 2016 (reasonable cause required-explain in Part VI). See instructions.   |                             |  |   |
| 3 Excess distributions carryover, if any, to 2016:  |                             |  |   |
| a   |                             |  |   |
| b   |                             |  |   |
| c From 2013. . . . .  |                             |  |   |
| d From 2014. . . . .  |                             |  |   |
| e From 2015. . . . .  |                             |  |   |
| f <b>Total</b> of lines 3a through e  |                             |  |   |
| g Applied to underdistributions of prior years  |                             |  |   |
| h Applied to 2016 distributable amount  |                             |  |   |
| i Carryover from 2011 not applied (see instructions)  |                             |  |   |
| j Remainder. Subtract lines 3g, 3h, and 3i from 3f.   |                             |  |   |
| 4 Distributions for 2016 from Section D, line 7: \$   |                             |  |   |
| a Applied to underdistributions of prior years  |                             |  |   |
| b Applied to 2016 distributable amount  |                             |  |   |
| c Remainder. Subtract lines 4a and 4b from 4.   |                             |  |   |
| 5 Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions. |                             |  |   |
| 6 Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.                        |                             |  |   |
| 7 <b>Excess distributions carryover to 2017.</b> Add lines 3j and 4c.   |                             |  |   |
| 8 Breakdown of line 7:  |                             |  |   |
| a   |                             |  |   |
| b Excess from 2013. . . .   |                             |  |   |
| c Excess from 2014. . . .   |                             |  |   |
| d Excess from 2015. . . .   |                             |  |   |
| e Excess from 2016. . . .   |                             |  |   |

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

ATTACHMENT 1

SCHEDULE A, PART II - OTHER INCOME

| DESCRIPTION          | 2012            | 2013            | 2014            | 2015            | 2016            | TOTAL             |
|----------------------|-----------------|-----------------|-----------------|-----------------|-----------------|-------------------|
| MAILING LIST RENTALS | 225,215.        | 148,178.        | 152,451.        | 133,703.        | 104,689.        | 764,236.          |
| HONORARIA            |                 | 7,150.          | 33,700.         | 6,152.          | 850.            | 47,852.           |
| RETAIL SALES         | 797.            | 401.            | 65.             | 190.            | 376.            | 1,829.            |
| FUNDRAISING EVENTS   | 105,964.        | 84,350.         | 98,224.         | 48,128.         | 153,610.        | 490,276.          |
| MISCELLANEOUS        | 295,944.        | 235,277.        | 73,402.         | 62,087.         |                 | 666,710.          |
| <b>TOTALS</b>        | <u>627,920.</u> | <u>475,356.</u> | <u>357,842.</u> | <u>250,260.</u> | <u>259,525.</u> | <u>1,970,903.</u> |

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

OMB No. 1545-0047

**2016**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).



Name of organization **NATURAL RESOURCES DEFENSE COUNCIL, INC.**

Employer identification number  
13-2654926

**Part I** **Contributors** (See instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4 | (c)<br>Total contributions | (d)<br>Type of contribution   |
|------------|-----------------------------------|----------------------------|---|
| 1          |                                   | \$ 19,500,000.             | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 2          |                                   | \$ 4,500,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 3          |                                   | \$ 3,810,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
| 4          |                                   | \$ 3,800,000.              | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.) |
|            |                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |
|            |                                   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions.)            |

Name of organization NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part II** Noncash Property (See instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|---------------------|---|--|-------------------|
| _____               | _____<br>_____<br>_____                   | \$ _____                                 | _____             |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| _____               | _____<br>_____<br>_____                   | \$ _____                                 | _____             |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| _____               | _____<br>_____<br>_____                   | \$ _____                                 | _____             |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| _____               | _____<br>_____<br>_____                   | \$ _____                                 | _____             |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| _____               | _____<br>_____<br>_____                   | \$ _____                                 | _____             |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
| _____               | _____<br>_____<br>_____                   | \$ _____                                 | _____             |

Name of organization NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number  
13-2654926

**Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor.** Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
| _____               | _____<br>_____      | _____<br>_____  | _____<br>_____                      |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| _____<br>_____                          | _____<br>_____                           |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
| _____               | _____<br>_____      | _____<br>_____  | _____<br>_____                      |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| _____<br>_____                          | _____<br>_____                           |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
| _____               | _____<br>_____      | _____<br>_____  | _____<br>_____                      |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| _____<br>_____                          | _____<br>_____                           |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------|---------------------|-----------------|-------------------------------------|
| _____               | _____<br>_____      | _____<br>_____  | _____<br>_____                      |

| (e) Transfer of gift                    |  |
|---|--|
| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
| _____<br>_____                          | _____<br>_____                           |

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

**2016**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

**If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

|   |  |
|---|--|
| Name of organization<br>NATURAL RESOURCES DEFENSE COUNCIL, INC. | Employer identification number<br>13-2654926 |
|---|--|

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) . . . . . ▶ \$ \_\_\_\_\_
- 3 Volunteer hours for political campaign activities (see instructions) . . . . . \_\_\_\_\_

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . . . ▶ \$ \_\_\_\_\_
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . .  Yes  No
- 4a Was a correction made? . . . . .  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . . ▶ \$ \_\_\_\_\_
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . . ▶ \$ \_\_\_\_\_
- 4 Did the filing organization file **Form 1120-POL** for this year? . . . . .  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0-. | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-. |
|----------|-------------|---------|---|--|
| (1)      |             |         |   |  |
| (2)      |             |         |   |  |
| (3)      |             |         |   |  |
| (4)      |             |         |   |  |
| (5)      |             |         |   |  |
| (6)      |             |         |   |  |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2016

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

**A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

**B** Check  if the filing organization checked box A and "limited control" provisions apply.

| <b>Limits on Lobbying Expenditures</b><br>(The term "expenditures" means amounts paid or incurred.)  |  | (a) Filing organization's totals                         | (b) Affiliated group totals |
|--|--|--|-----------------------------|
| <b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) . . . . .   |  | 177,589.   |                             |
| <b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .   |  | 725,826.   |                             |
| <b>c</b> Total lobbying expenditures (add lines 1a and 1b) . . . . .   |  | 903,415.   |                             |
| <b>d</b> Other exempt purpose expenditures . . . . .   |  | 127,869,493.   |                             |
| <b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) . . . . .   |  | 128,772,908.   |                             |
| <b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.  |  | 1,000,000.   |                             |
| <b>If the amount on line 1e, column (a) or (b) is:</b>   |  | <b>The lobbying nontaxable amount is:</b>                |                             |
| Not over \$500,000   |  | 20% of the amount on line 1e.                            |                             |
| Over \$500,000 but not over \$1,000,000  |  | \$100,000 plus 15% of the excess over \$500,000.         |                             |
| Over \$1,000,000 but not over \$1,500,000  |  | \$175,000 plus 10% of the excess over \$1,000,000.       |                             |
| Over \$1,500,000 but not over \$17,000,000   |  | \$225,000 plus 5% of the excess over \$1,500,000.        |                             |
| Over \$17,000,000  |  | \$1,000,000.   |                             |
| <b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) . . . . .   |  | 250,000.   |                             |
| <b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- . . . . .   |  | 0.   | 0.                          |
| <b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- . . . . .   |  | 0.   | 0.                          |
| <b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? . . . . . |  | <input type="checkbox"/> Yes <input type="checkbox"/> No |                             |

**4-Year Averaging Period Under section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

| <b>Lobbying Expenditures During 4-Year Averaging Period</b>      |            |            |            |            |            |
|--|------------|------------|------------|------------|------------|
| Calendar year (or fiscal year beginning in)                      | (a) 2013   | (b) 2014   | (c) 2015   | (d) 2016   | (e) Total  |
| <b>2a</b> Lobbying nontaxable amount                             | 1,000,000. | 1,000,000. | 1,000,000. | 1,000,000. | 4,000,000. |
| <b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))   |            |            |            |            | 6,000,000. |
| <b>c</b> Total lobbying expenditures                             | 840,540.   | 979,448.   | 813,962.   | 903,415.   | 3,537,365. |
| <b>d</b> Grassroots nontaxable amount                            | 250,000.   | 250,000.   | 250,000.   | 250,000.   | 1,000,000. |
| <b>e</b> Grassroots ceiling amount (150% of line 2d, column (e)) |            |            |            |            | 1,500,000. |
| <b>f</b> Grassroots lobbying expenditures                        | 144,066.   | 241,994.   | 149,611.   | 177,589.   | 713,260.   |

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

Table with columns (a) Yes/No and (b) Amount. Rows include: 1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation...; 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?; b If "Yes," enter the amount of any tax incurred under section 4912; c If "Yes," enter the amount of any tax incurred by organization managers under section 4912; d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

Table with columns Yes/No and numbered rows 1-3. Row 1: Were substantially all (90% or more) dues received nondeductible by members?; Row 2: Did the organization make only in-house lobbying expenditures of \$2,000 or less?; Row 3: Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

Table with numbered rows 1-5. Row 1: Dues, assessments and similar amounts from members; Row 2: Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid); Row 3: Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues; Row 4: If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?; Row 5: Taxable amount of lobbying and political expenditures (see instructions).

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

SEE PAGE 4

**Part IV** Supplemental Information (continued)

SCHEDULE C, PART II-A

ON FORM 990, PART IX, LINE 11D, NRDC REPORTS \$76,487 IN LOBBYING EXPENSES, WHICH REPRESENTS AMOUNTS PAID TO LOBBYING CONSULTANTS. THESE FEES REPRESENT ONLY A PORTION OF THE LOBBYING EXPENDITURES NRDC REPORTS ON SCHEDULE C, PART II-A. EMPLOYEE TIME THAT IS DIRECTED TOWARD LOBBYING INITIATIVES (AND CATEGORIZED AS LOBBYING EXPENDITURES ON SCHEDULE C) HAS BEEN REPORTED ON PART IX IN LINES 5, 7, 8, 9, & 10 RATHER THAN ON LINE 11D.

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2016

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

NATURAL RESOURCES DEFENSE COUNCIL, INC.

13-2654926

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include: 1 Total number at end of year, 2 Aggregate value of contributions to (during year), 3 Aggregate value of grants from (during year), 4 Aggregate value at end of year, 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?, 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 2 columns: Description, Held at the End of the Tax Year. Rows include: 1 Purpose(s) of conservation easements held by the organization (check all that apply), 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year., 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year, 4 Number of states where property subject to conservation easement is located, 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?, 6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?, 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 2 columns: Description, Amount. Rows include: 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items., 1b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: (i) Revenue included in Form 990, Part VIII, line 1, (ii) Assets included in Form 990, Part X., 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items: a Revenue included in Form 990, Part VIII, line 1, b Assets included in Form 990, Part X.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2016



**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a  Public exhibition
  - b  Scholarly research
  - c  Preservation for future generations
  - d  Loan or exchange programs
  - e  Other \_\_\_\_\_
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- |                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1c     |
| d Additions during the year     | 1d     |
| e Distributions during the year | 1e     |
| f Ending balance                | 1f     |
- 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

|  | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|--|------------------|----------------|--------------------|----------------------|---------------------|
| 1a Beginning of year balance                     | 155,645,646.     | 153,068,276.   | 147,155,091.       | 128,985,641.         | 117,964,925.        |
| b Contributions                                  | 7,858,169.       | 8,759,097.     | 6,885,168.         | 6,426,811.           | 7,207,546.          |
| c Net investment earnings, gains, and losses     | 20,388,782.      | 514,555.       | 5,612,797.         | 18,880,522.          | 12,339,658.         |
| d Grants or scholarships                         |                  |                |                    |                      |                     |
| e Other expenditures for facilities and programs | 1,792,401.       | 5,288,000.     | 5,111,524.         | 5,605,988.           | 7,057,075.          |
| f Administrative expenses                        | 1,374,449.       | 1,408,282.     | 1,473,256.         | 1,531,894.           | 1,469,413.          |
| g End of year balance                            | 180,725,747.     | 155,645,646.   | 153,068,276.       | 147,155,092.         | 128,985,641.        |

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment  83.9200 %
  - b Permanent endowment  10.5700 %
  - c Temporarily restricted endowment  5.5100 %
- The percentages on lines 2a, 2b, and 2c should equal 100%.

- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

|  | Yes    | No |
|--|--------|----|
| (i) unrelated organizations  | 3a(i)  | X  |
| (ii) related organizations   | 3a(ii) | X  |
| b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? | 3b     |    |

- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property  | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|--|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land  |                                      |                                 |                              |                |
| b Buildings  |                                      | 46,337,424.                     | 18,556,524.                  | 27,780,900.    |
| c Leasehold improvements   |                                      | 1,107,042.                      | 531,172.                     | 575,870.       |
| d Equipment  |                                      | 12,055,495.                     | 6,136,705.                   | 5,918,790.     |
| e Other  |                                      | 736,009.                        |                              | 736,009.       |
| <b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.) |                                      |                                 |                              | 35,011,569.    |

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category<br>(including name of security)     | (b) Book value | (c) Method of valuation:<br>Cost or end-of-year market value |
|---|----------------|--|
| (1) Financial derivatives . . . . .   |                |  |
| (2) Closely-held equity interests . . . . .                                 |                |  |
| (3) Other   |                |  |
| (A) HEDGE FUNDS   | 34,194,918.    | FMV  |
| (B) PRIVATE EQUITIES  | 2,202,053.     | FMV  |
| (C) VENTURE CAPITAL FUNDS   | 39,827.        | FMV  |
| (D) INT. IN SPLIT INT. AGREEMENTS   | 19,913,302.    | FMV  |
| (E)   |                |  |
| (F)   |                |  |
| (G)   |                |  |
| (H)   |                |  |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶ | 56,350,100.    |  |

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment   | (b) Book value | (c) Method of valuation:<br>Cost or end-of-year market value |
|---|----------------|--|
| (1)   |                |  |
| (2)   |                |  |
| (3)   |                |  |
| (4)   |                |  |
| (5)   |                |  |
| (6)   |                |  |
| (7)   |                |  |
| (8)   |                |  |
| (9)   |                |  |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶ |                |  |

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description   | (b) Book value |
|---|----------------|
| (1)   |                |
| (2)   |                |
| (3)   |                |
| (4)   |                |
| (5)   |                |
| (6)   |                |
| (7)   |                |
| (8)   |                |
| (9)   |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ▶ |                |

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability   | (b) Book value |
|---|----------------|
| (1) Federal income taxes  |                |
| (2) CHARITABLE GIFT ANNUITIES   | 12,476,733.    |
| (3) POOLED INCOME FUNDS   | 417,507.       |
| (4) DEFINED BENEFIT PLAN OBLIGATION   | 10,982,030.    |
| (5)   |                |
| (6)   |                |
| (7)   |                |
| (8)   |                |
| (9)   |                |
| <b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶ | 23,876,270.    |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total revenue reported as 177,129,164.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

Table with 5 main rows and sub-rows (a-e) for adjustments. Total expenses reported as 128,772,908.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

**Part XIII** Supplemental Information (continued)

## ENDOWMENT FUNDS

FORM 990, SCHEDULE D, PART V, LINE 4

NRDC'S ENDOWMENT FUND IS INTENDED TO SUPPORT ITS ENVIRONMENTAL AND CONSERVATION PROGRAMS (SPECIFICALLY, THOSE DESCRIBED IN DETAIL IN PART III TO THE FORM 990). THE TRUSTEES HAVE ADOPTED A SPENDING POLICY THAT ALLOWS FOR UP TO 5% OF THE AVERAGE FAIR VALUE OF QUASI-ENDOWMENT AND PERMANENT ENDOWMENT FUNDS TO BE USED IN SUPPORT OF OPERATIONS ON AN ANNUAL BASIS.

NRDC'S ENDOWMENT CONSISTS OF 55 INDIVIDUAL FUNDS (26 PERMANENTLY RESTRICTED, 15 TEMPORARILY RESTRICTED AND 14 QUASI-ENDOWMENTS) AND IS AN AGGREGATION OF GIFTS PROVIDED BY DONORS WITH THE REQUIREMENT THEY BE HELD IN PERPETUITY TO GENERATE EARNINGS NOW AND IN FUTURE YEARS TO SUPPORT A VARIETY OF PURPOSES, INCLUDING FUNDING ITS PROGRAM AND ADMINISTRATIVE OPERATING COSTS. IT ALSO INCLUDES FUNDS DESIGNATED BY NRDC'S BOARD OF TRUSTEES TO FUNCTION AS AN ENDOWMENT (QUASI ENDOWMENT). NET ASSETS ASSOCIATED WITH ENDOWMENT FUNDS, INCLUDING FUNDS DESIGNATED BY NRDC'S BOARD OF TRUSTEES TO FUNCTION AS ENDOWMENTS, ARE CLASSIFIED AND REPORTED BASED ON THE EXISTENCE OR ABSENCE OF DONOR-IMPOSED RESTRICTIONS.

FORM 990, SCHEDULE D, PART VII, LINE 3

IN FISCAL 2017, NRDC HAS LIQUIDATED ITS HOLDINGS IN BLACKROCK AND TRANSFERRED THE FUNDS TO GOLDMAN SACHS.

**Part XIII** Supplemental Information (continued)

## INCOME TAXES

FORM 990, SCHEDULE D, PART X

NRDC FOLLOWS GUIDANCE THAT CLARIFIES THE ACCOUNTING FOR UNCERTAINTY IN TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN, INCLUDING ISSUES RELATING TO FINANCIAL STATEMENT RECOGNITION AND MEASUREMENT. THIS GUIDANCE PROVIDES THAT THE TAX EFFECTS FROM AN UNCERTAIN TAX POSITION CAN ONLY BE RECOGNIZED IN THE CONSOLIDATED FINANCIAL STATEMENTS IF THE POSITION IS "MORE-LIKELY-THAN-NOT" TO BE SUSTAINED IF THE POSITION WERE TO BE CHALLENGED BY A TAXING AUTHORITY.

THE ASSESSMENT OF THE TAX POSITION IS BASED SOLELY ON THE TECHNICAL MERITS OF THE POSITION, WITHOUT REGARD TO THE LIKELIHOOD THAT THE TAX POSITION MAY BE CHALLENGED. NRDC HAS PROCESSES PRESENTLY IN PLACE TO ENSURE THE MAINTENANCE OF ITS TAX-EXEMPT STATUS; TO IDENTIFY AND REPORT UNRELATED INCOME; DETERMINE ITS FILING AND TAX OBLIGATIONS IN JURISDICTIONS FOR WHICH IT HAS NEXUS; AND TO REVIEW OTHER MATTERS THAT MAY BE CONSIDERED UNCERTAIN TAX POSITIONS. THIS STANDARD HAD NO IMPACT ON NRDC'S 2017 AND 2016 CONSOLIDATED FINANCIAL STATEMENTS. NRDC DOES NOT BELIEVE ITS 2017 AND 2016 CONSOLIDATED FINANCIAL STATEMENTS INCLUDE ANY MATERIAL UNCERTAIN TAX POSITIONS.

FORM 990, SCHEDULE D, PARTS XI AND XII

NRDC DOES NOT RECEIVE STANDALONE FINANCIAL STATEMENTS; ITS OPERATIONS ARE CONSOLIDATED WITH TWO AFFILIATED ORGANIZATIONS, THE NRDC ACTION FUND AND NRDC LIMITED. THE PARTS XI AND XII RECONCILIATION ON SCHEDULE D TIE BACK TO NRDC'S FINANCIAL INFORMATION WITHIN THE AUDITED FINANCIAL STATEMENTS AND NOT TO THE CONSOLIDATED NUMBERS.

**Part XIII** Supplemental Information (continued)

FORM 990, SCHEDULE D, PART XI, LINE 4B

SPECIAL EVENT EXPENSES ALLOCATED AGAINST

SPECIAL EVENT REVENUE ON PART VIII (\$434,980)

EXPENSE ON BOOKS NOT ON RETURN

FORM 990, SCHEDULE D, PART XII, LINE 4B

SPECIAL EVENT EXPENSES ALLOCATED AGAINST

SPECIAL EVENT REVENUE ON PART VIII (\$434,980)

**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
- ▶ Attach to Form 990.
- ▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

Employer identification number

NATURAL RESOURCES DEFENSE COUNCIL, INC.

13-2654926

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

| (a) Region  | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in the region | (d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in the region | (f) Total expenditures for and investments in the region |
|---|-------------------------------------|--|--|--|--|
| <b>(1)</b> EAST ASIA AND THE PACIFIC                        | 1.                                  | 32.  | PROGRAM SERVICES   | CLEAN ENERGY ADVOCACY  | 3,401,688.   |
| <b>(2)</b> SOUTH ASIA                                       |                                     |  | PROGRAM SERVICES   | CLEAN ENERGY ADVOCACY  | 251,781.   |
| <b>(3)</b> SOUTH AMERICA                                    |                                     |  | PROGRAM SERVICES   | CLEAN ENERGY ADVOCACY  | 87,981.  |
| <b>(4)</b> NORTH AMERICA                                    |                                     | 2.   | PROGRAM SERVICES   | ENVIRONMENTAL ADVOCACY   | 364,602.   |
| <b>(5)</b> EUROPE   |                                     |  | PROGRAM SERVICES   | ENVIRONMENTAL ADVOCACY   | 192,752.   |
| <b>(6)</b> CENTRAL AMERICA/CARIBBEAN                        |                                     |  | PROGRAM SERVICES   | ENVIRONMENTAL ADVOCACY   | 5,019.   |
| <b>(7)</b> CENTRAL AMERICA/CARIBBEAN                        |                                     |  | INVESTMENTS  |  | 28,209,129.  |
| <b>(8)</b> EAST ASIA AND THE PACIFIC                        |                                     |  | GRANTMAKING  |  | 1,965,374.   |
| <b>(9)</b> EUROPE   |                                     |  | GRANTMAKING  |  | 108,356.   |
| <b>(10)</b> NORTH AMERICA                                   |                                     |  | GRANTMAKING  |  | 114,250.   |
| <b>(11)</b> SOUTH AMERICA                                   |                                     |  | GRANTMAKING  |  | 54,398.  |
| <b>(12)</b> SOUTH ASIA                                      |                                     |  | GRANTMAKING  |  | 190,500.   |
| <b>(13)</b> SUB-SAHARAN AFRICA                              |                                     |  | GRANTMAKING  |  | 26,100.  |
| <b>(14)</b>   |                                     |  |  |  |  |
| <b>(15)</b>   |                                     |  |  |  |  |
| <b>(16)</b>   |                                     |  |  |  |  |
| <b>(17)</b>   |                                     |  |  |  |  |
| <b>3a</b> Sub-total . . . . .                               | 1.                                  | 34.  |  |  | 34,971,930.  |
| <b>b</b> Total from continuation sheets to Part I . . . . . |                                     |  |  |  |  |
| <b>c Totals</b> (add lines 3a and 3b)                       | 1.                                  | 34.  |  |  | 34,971,930.  |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2016

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1    | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region        | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|------|--------------------------|--|-------------------|----------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
| (1)  |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 182,185.                 | WIRE                            |                                  |                                       |   |
| (2)  |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 160,000.                 | WIRE                            |                                  |                                       |   |
| (3)  |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 70,000.                  | WIRE                            |                                  |                                       |   |
| (4)  |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 65,000.                  | WIRE                            |                                  |                                       |   |
| (5)  |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 80,000.                  | WIRE                            |                                  |                                       |   |
| (6)  |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 21,000.                  | WIRE                            |                                  |                                       |   |
| (7)  |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 30,000.                  | WIRE                            |                                  |                                       |   |
| (8)  |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 70,000.                  | WIRE                            |                                  |                                       |   |
| (9)  |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 21,583.                  | WIRE                            |                                  |                                       |   |
| (10) |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 14,180.                  | WIRE                            |                                  |                                       |   |
| (11) |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 80,000.                  | WIRE                            |                                  |                                       |   |
| (12) |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 49,000.                  | WIRE                            |                                  |                                       |   |
| (13) |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 95,000.                  | WIRE                            |                                  |                                       |   |
| (14) |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 112,955.                 | WIRE                            |                                  |                                       |   |
| (15) |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 13,476.                  | WIRE                            |                                  |                                       |   |
| (16) |                          |  | EAST ASIA/PACIFIC | CLEAN ENERGY         | 100,000.                 | WIRE                            |                                  |                                       |   |

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities. . . . . ▶ \_\_\_\_\_



**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1    | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region               | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|------|--------------------------|--|--------------------------|----------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
| (1)  |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 160,000.                 | WIRE                            |                                  |                                       |   |
| (2)  |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 20,000.                  | WIRE                            |                                  |                                       |   |
| (3)  |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 100,000.                 | WIRE                            |                                  |                                       |   |
| (4)  |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 5,372.                   | WIRE                            |                                  |                                       |   |
| (5)  |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 7,658.                   | WIRE                            |                                  |                                       |   |
| (6)  |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 60,000.                  | WIRE                            |                                  |                                       |   |
| (7)  |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 5,295.                   | WIRE                            |                                  |                                       |   |
| (8)  |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 70,000.                  | WIRE                            |                                  |                                       |   |
| (9)  |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 80,000.                  | WIRE                            |                                  |                                       |   |
| (10) |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 43,742.                  | WIRE                            |                                  |                                       |   |
| (11) |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 7,927.                   | WIRE                            |                                  |                                       |   |
| (12) |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 21,000.                  | WIRE                            |                                  |                                       |   |
| (13) |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 50,000.                  | WIRE                            |                                  |                                       |   |
| (14) |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 90,000.                  | WIRE                            |                                  |                                       |   |
| (15) |                          |  | EAST ASIA/PACIFIC        | CLEAN ENERGY         | 80,000.                  | WIRE                            |                                  |                                       |   |
| (16) |                          |  | EUROPE/ICELAND/GREENLAND | BIOGEMS ADVO         | 43,524.                  | WIRE                            |                                  |                                       |   |

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities. . . . . ▶ \_\_\_\_\_

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1    | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region               | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|------|--------------------------|--|--------------------------|----------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
| (1)  |                          |  | EUROPE/ICELAND/GREENLAND | BIOGEMS ADVO         | 64,832.                  | WIRE                            |                                  |                                       |   |
| (2)  |                          |  | NORTH AMERICA            | BIOGEMS ADVO         | 114,250.                 | WIRE                            |                                  |                                       |   |
| (3)  |                          |  | SOUTH AMERICA            | CLEAN ENERGY         | 54,398.                  | WIRE                            |                                  |                                       |   |
| (4)  |                          |  | SOUTH ASIA               | CLEAN ENERGY         | 31,500.                  | WIRE                            |                                  |                                       |   |
| (5)  |                          |  | SOUTH ASIA               | CLEAN ENERGY         | 34,000.                  | WIRE                            |                                  |                                       |   |
| (6)  |                          |  | SOUTH ASIA               | CLEAN ENERGY         | 25,000.                  | WIRE                            |                                  |                                       |   |
| (7)  |                          |  | SOUTH ASIA               | CLEAN ENERGY         | 45,000.                  | WIRE                            |                                  |                                       |   |
| (8)  |                          |  | SOUTH ASIA               | CLEAN ENERGY         | 55,000.                  | WIRE                            |                                  |                                       |   |
| (9)  |                          |  | SUB-SAHARAN AFRICA       | ENVIR. ADVOC         | 26,100.                  | WIRE                            |                                  |                                       |   |
| (10) |                          |  |                          |                      |                          |                                 |                                  |                                       |   |
| (11) |                          |  |                          |                      |                          |                                 |                                  |                                       |   |
| (12) |                          |  |                          |                      |                          |                                 |                                  |                                       |   |
| (13) |                          |  |                          |                      |                          |                                 |                                  |                                       |   |
| (14) |                          |  |                          |                      |                          |                                 |                                  |                                       |   |
| (15) |                          |  |                          |                      |                          |                                 |                                  |                                       |   |
| (16) |                          |  |                          |                      |                          |                                 |                                  |                                       |   |

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter. . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities. . . . . ▶ \_\_\_\_\_ 41.

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of noncash assistance | (g) Description of noncash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---------------------------------|------------|--------------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
| (1)                             |            |                          |                          |                                 |                                  |                                       |   |
| (2)                             |            |                          |                          |                                 |                                  |                                       |   |
| (3)                             |            |                          |                          |                                 |                                  |                                       |   |
| (4)                             |            |                          |                          |                                 |                                  |                                       |   |
| (5)                             |            |                          |                          |                                 |                                  |                                       |   |
| (6)                             |            |                          |                          |                                 |                                  |                                       |   |
| (7)                             |            |                          |                          |                                 |                                  |                                       |   |
| (8)                             |            |                          |                          |                                 |                                  |                                       |   |
| (9)                             |            |                          |                          |                                 |                                  |                                       |   |
| (10)                            |            |                          |                          |                                 |                                  |                                       |   |
| (11)                            |            |                          |                          |                                 |                                  |                                       |   |
| (12)                            |            |                          |                          |                                 |                                  |                                       |   |
| (13)                            |            |                          |                          |                                 |                                  |                                       |   |
| (14)                            |            |                          |                          |                                 |                                  |                                       |   |
| (15)                            |            |                          |                          |                                 |                                  |                                       |   |
| (16)                            |            |                          |                          |                                 |                                  |                                       |   |
| (17)                            |            |                          |                          |                                 |                                  |                                       |   |
| (18)                            |            |                          |                          |                                 |                                  |                                       |   |

**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . .  Yes  No
  
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)* . . . . .  Yes  No
  
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* . . . . .  Yes  No
  
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* . . . . .  Yes  No
  
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* . . . . .  Yes  No
  
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)* . . . . .  Yes  No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

ACTIVITIES OUTSIDE THE UNITED STATES

SCHEDULE F, PART I, LINE 3

NRDC MONITORS ALL EXPENDITURES TO ENSURE THAT AMOUNTS ARE USED PROPERLY.

OUTSIDE OF SALARIES AND BENEFITS (U.S. HEADQUARTERS PROCESSES DIRECTLY),

NRDC USED THE BELOW PROCESS TO REVIEW AND MONITOR DISCRETIONARY

EXPENDITURES SUCH AS TRAVEL AND CONSULTING.

PROGRAM ASSISTANTS IN CHINA VERIFY ALL INVOICES TO ENSURE ACCURACY. ALL

INVOICES ARE THEN REVIEWED AND SIGNED OFF BY THE DIRECT SUPERVISOR OF THE

REQUESTOR (EITHER PROJECT LEADER/ PROJECT MANAGER). NEXT, THE DIRECTOR

AND DEPUTY DIRECTOR OF CHINA PROGRAM REVIEW AND APPROVE LARGE ITEMS OF

EXPENDITURE. FINALLY, ALL INVOICES AND APPROVAL FORMS ARE FORWARDED TO

THE U.S. HEADQUARTERS' ACCOUNTING DEPARTMENT FOR FINAL REVIEW.

SCHEDULE F, PART IV

THE NATURAL RESOURCES DEFENSE COUNCIL INVESTS DIRECTLY IN VARIOUS

ALTERNATIVE INVESTMENTS THAT MAY BE ORGANIZED AS EITHER FOREIGN

CORPORATIONS OR FOREIGN PARTNERSHIPS; IT LIKEWISE, INVESTS IN DOMESTIC

LIMITED PARTNERSHIPS THAT MAY, IN TURN, INVEST IN FOREIGN CORPORATIONS OR

PARTNERSHIPS. NEVERTHELESS, NRDC'S INVESTMENT ACTIVITIES MAY NOT REACH

THE THRESHOLDS REQUIRED FOR THE FILING OF FORMS 926, 5471, 8621, OR 8865.

TO THE EXTENT THAT NRDC IS REQUIRED TO COMPLETE ONE (OR MORE) OF THESE

FOREIGN FORMS, THEY ARE FILED WITH THE FORM 990-T FILING.

**SCHEDULE G  
(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information Regarding Fundraising or Gaming Activities**

Complete if the organization answered "Yes" on Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a  Mail solicitations
- b  Internet and email solicitations
- c  Phone solicitations
- d  In-person solicitations
- e  Solicitation of non-government grants
- f  Solicitation of government grants
- g  Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  **Yes**  **No**

b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

| (i) Name and address of individual or entity (fundraiser) | (ii) Activity          | (iii) Did fundraiser have custody or control of contributions? |    | (iv) Gross receipts from activity | (v) Amount paid to (or retained by) fundraiser listed in col. (i) | (vi) Amount paid to (or retained by) organization |
|---|------------------------|--|----|-----------------------------------|---|---|
|   |                        | Yes  | No |                                   |   |   |
| 1<br>DONOR SERVICES                                       | TELEMRKTNG             |  | X  | 46,791.                           | 13,803.   | 32,988.   |
| 2<br>TELEFUND, INC.                                       | TELEMRKTNG             |  | X  | 11,994.                           | 2,007.  | 9,987.  |
| 3<br>O'BRIEN GARRET                                       | FUNDRAISING CONSULTANT |  | X  |                                   | 147,859.  | -147,859.   |
| 4<br>YOUR VOICE MEDIA                                     | TELEMRKTNG             |  | X  | 3,481.                            |   | 3,481.  |
| 5<br>SD & A TELESERVICES, INC.                            | TELEMRKTNG             |  | X  | 5,228.                            |   | 5,228.  |
| 6<br>STEPHEN MILLS  | FUNDRAISING CONSULTANT |  | X  |                                   | 83,322.   | -83,322.  |
| 7   |                        |  |    |                                   |   |   |
| 8   |                        |  |    |                                   |   |   |
| 9   |                        |  |    |                                   |   |   |
| 10  |                        |  |    |                                   |   |   |
| <b>Total</b>  |                        |  |    | 67,494.                           | 246,991.  | -179,497.   |

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AR, CA, CO, CT, DC, FL, GA, HI, IL,  
KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, NH, NJ, NM, NY, NC, ND, OH,  
OK, OR, PA, RI, SC, TN, UT, VA, WA, WV, WI,

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

|                 |    | (a) Event #1   | (b) Event #2                   | (c) Other events     | (d) Total events                |            |
|-----------------|----|--|--------------------------------|----------------------|---------------------------------|------------|
|                 |    | NY COMEDY BEN.<br>(event type)   | LA COMEDY EVNT<br>(event type) | 1.<br>(total number) | (add col. (a) through col. (c)) |            |
| Revenue         | 1  | Gross receipts . . . . .   | 1,426,949.                     | 1,308,565.           | 24,240.                         | 2,759,754. |
|                 | 2  | Less: Contributions . . . . .  | 1,312,499.                     | 1,279,195.           | 14,450.                         | 2,606,144. |
|                 | 3  | Gross income (line 1 minus line 2) . . . . .                             | 114,450.                       | 29,370.              | 9,790.                          | 153,610.   |
| Direct Expenses | 4  | Cash prizes . . . . .  |                                |                      |                                 |            |
|                 | 5  | Noncash prizes . . . . .   |                                |                      |                                 |            |
|                 | 6  | Rent/facility costs . . . . .  | 161,433.                       | 111,823.             |                                 | 273,256.   |
|                 | 7  | Food and beverages . . . . .   |                                |                      |                                 |            |
|                 | 8  | Entertainment . . . . .  |                                |                      |                                 |            |
|                 | 9  | Other direct expenses . . . . .  | 26,478.                        | 115,894.             | 19,352.                         | 161,724.   |
|                 | 10 | Direct expense summary. Add lines 4 through 9 in column (d) . . . . . ▶  |                                |                      |                                 | 434,980.   |
|                 | 11 | Net income summary. Subtract line 10 from line 3, column (d) . . . . . ▶ |                                |                      |                                 | -281,370.  |

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

|                 |   | (a) Bingo  | (b) Pull tabs/instant bingo/progressive bingo                       | (c) Other gaming  | (d) Total gaming (add col. (a) through col. (c))                    |  |
|-----------------|---|--|---|---|---|--|
|                 |   |  |   |   |   |  |
| Revenue         | 1 | Gross revenue . . . . .  |   |   |   |  |
| Direct Expenses | 2 | Cash prizes . . . . .  |   |   |   |  |
|                 | 3 | Noncash prizes . . . . .   |   |   |   |  |
|                 | 4 | Rent/facility costs . . . . .  |   |   |   |  |
|                 | 5 | Other direct expenses . . . . .  |   |   |   |  |
|                 | 6 | Volunteer labor . . . . .  | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No | <input type="checkbox"/> Yes _____ %<br><input type="checkbox"/> No |  |
|                 | 7 | Direct expense summary. Add lines 2 through 5 in column (d) . . . . . ▶        |   |   |   |  |
|                 | 8 | Net gaming income summary. Subtract line 7 from line 1, column (d) . . . . . ▶ |   |   |   |  |

9 Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_  
 a Is the organization licensed to conduct gaming activities in each of these states?  Yes  No  
 b If "No," explain: \_\_\_\_\_

10 a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No  
 b If "Yes," explain: \_\_\_\_\_

- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:
 

|                               |     |   |
|-------------------------------|-----|---|
| a The organization's facility | 13a | % |
| b An outside facility         | 13b | % |
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

- 15 a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c If "Yes," enter name and address of the third party:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

16 Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

SCHEDULE G, PART 1, FUNDRAISERS

FUNDRAISER O'BRIEN GARRET AND STEPHEN MILLS DO NOT SPECIFICALLY RAISE FUNDS FOR NATURAL RESOURCES DEFENSE COUNCIL. BOTH VENDORS PROVIDE VARIOUS CONSULTING SERVICES IN CONJUNCTION WITH NRDC'S FUNDRAISING ENDEAVORS. QUANTIFYING THE PORTION OF THESE SERVICES THAT REPRESENT TRUE FUNDRAISING SERVICES (PER THE FORM 990 DEFINITION) IS NOT FEASIBLE, NOR CAN NRDC DETERMINE AN ACCURATE ALLOCATION OF REVENUES RAISED BY EACH VENDOR FOR



- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:
 

|                               |            |   |
|-------------------------------|------------|---|
| a The organization's facility | <b>13a</b> | % |
| b An outside facility         | <b>13b</b> | % |
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

- 15 a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c If "Yes," enter name and address of the third party:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

16 Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

REPORTING ON THE FORM 990, SCHEDULE G, PART I.

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government  | (b) EIN    | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|------------|---------------------------------|--------------------------|-----------------------------------|---|---------------------------------------|------------------------------------|
| <b>(1)</b> AMERICAN WIND WILDLIFE INSTITUTE<br>1110 VT AVE NW WASHINGTON, DC 20005-3544         | 26-1587829 | 501(C)(3)                       | 15,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(2)</b> ANIMAL WELFARE INSTITUTE<br>900 PA AVE SE WASHINGTON, DC 20003                       | 13-5655952 | 501(C)(3)                       | 10,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(3)</b> BLUE GREEN ALLIANCE FOUNDATION<br>1300 GODWARD ST. NE MINNEAPOLIS, MN 55413          | 20-3477309 | 501(C)(3)                       | 110,000.                 |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(4)</b> BUS. ALLIANCE FOR PROT. THE ATLANTIC COAST<br>1717 GERVAIS STREET COLUMBIA, SC 29201 | 82-0739706 | 501(C)(6)                       | 15,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(5)</b> CALIFORNIA LEAGUE OF CONSERVATION<br>350 FRANK H OGAWA PL. OAKLAND, CA 94612         | 94-3232552 | 501(C)(3)                       | 10,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(6)</b> CENTER FOR BIOLOGICAL DIVERSITY<br>PO BOX 710 TUCSON, AZ 85702                       | 27-3943866 | 501(C)(3)                       | 65,032.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(7)</b> CENTER FOR ENERGY AND ENVIRONMENT<br>212 THIRD AVENUE N. MINNEAPOLIS, MN 55401       | 41-1647799 | 501(C)(3)                       | 77,500.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(8)</b> CITY OF DES MOINES<br>400 ROBERT D. RAY DR. DES MOINES, IA 50309                     | 42-6004514 | GOV'T                           | 59,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(9)</b> CITY OF PROVIDENCE<br>797 WESTMINSTER ST. PROVIDENCE, RI 02903                       | 05-6000329 | GOV'T                           | 50,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(10)</b> CITY OF RENO<br>1 EAST FIRST ST. 12TH FL. RENO, NV 89505                            | 88-6000201 | GOV'T                           | 58,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(11)</b> CITY OF SAN JOSE<br>200 E. SANTA CLARA ST. SAN JOSE, CA 95113                       | 94-6000419 | GOV'T                           | 50,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(12)</b> CONSERVATION COLORADO EDUCATION FUND<br>1536 WYNKOOP ST. DENVER, CO 80202           | 84-0614285 | 501(C)(3)                       | 45,350.                  |                                   |   |                                       | ENV. ADVOCACY                      |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government   | (b) EIN    | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|--|------------|---------------------------------|--------------------------|-----------------------------------|---|---------------------------------------|------------------------------------|
| <b>(1)</b> CONSERVATION LAW FOUNDATION<br>62 SUMMER STREET BOSTON, MA 02110-1016                 | 04-6149986 | 501(C)(3)                       | 24,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(2)</b> DIVISION OF HOMELAND MINISTRIES<br>110 MARYLAND AVENUE WASHINGTON, DC 20002           | 35-1290911 | 501(C)(3)                       | 10,500.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(3)</b> EARTHJUSTICE<br>633 17TH ST. DENVER, CO 80202-2536                                    | 94-1730465 | 501(C)(3)                       | 23,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(4)</b> ELEVATE ENERGY<br>322 S. GREEN ST. CHICAGO, IL 60607                                  | 36-4443093 | 501(C)(3)                       | 35,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(5)</b> ENERGY ACTION COALITION<br>1875 CT AVE. NW WASHINGTON, DC 20009                       | 45-5616367 | 501(C)(3)                       | 7,000.                   |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(6)</b> FAITH IN PLACE<br>70 E. LAKE ST. CHICAGO, IL 60601                                    | 36-4540756 | 501(C)(3)                       | 10,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(7)</b> GREEN LATINOS<br>801 PENNSYLVANIA AVE. WASHINGTON, DC 20004                           | 26-3386082 | 501(C)(3)                       | 15,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(8)</b> GREEN LIGHT NEW YORK INC.<br>31 CHAMBERS ST. NEW YORK, NY 10007                       | 27-1274041 | 501(C)(3)                       | 15,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(9)</b> GREENFAITH, INC.<br>101 S. THIRD AVENUE HIGHLAND PARK, NJ 08904                       | 22-3452273 | 501(C)(3)                       | 100,000.                 |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(10)</b> ILLINOIS ENVIRONMENTAL COUNCIL EDU.<br>230 BROADWAY SPRINGFIELD, IL 62701            | 51-0211835 | 501(C)(3)                       | 10,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(11)</b> INTERWEST ENERGY ALLIANCE<br>P.O. BOX 8526 SANTA FE, NM 87504                        | 54-2084551 | 501(C)(6)                       | 25,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(12)</b> LEAGUE OF CONSERVATION VOTERS EDUCATION FUN<br>1920 L STREET NW WASHINGTON, DC 20036 | 52-1379661 | 501(C)(3)                       | 17,500.                  |                                   |   |                                       | ENV. ADVOCACY                      |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

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Schedule I (Form 990) (2016)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

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Department of the Treasury  
Internal Revenue Service

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government   | (b) EIN    | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|--|------------|---------------------------------|--------------------------|-----------------------------------|---|---------------------------------------|------------------------------------|
| <b>(1)</b> LOW INCOME INVESTMENT FUND<br>100 PINE STREET SAN FRANCISCO, CA 94111               | 94-2952578 | 501(C)(3)                       | 640,940.                 |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(2)</b> LSA FAMILY HEALTH SERVICE, INC.<br>333 EAST 115TH STREET NEW YORK, NY 10029         | 13-2867881 | 501(C)(3)                       | 12,500.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(3)</b> MAINE PEOPLE'S ALLIANCE<br>565 CONGRESS STREET PORTLAND, ME 04101                   | 01-0383493 | 501(C)(3)                       | 45,500.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(4)</b> MI FAMILIA VOTA EDUCATION FUND<br>1710 E INDIAN SCH. RD. PHOENIX, AZ 85016          | 20-0182824 | 501(C)(3)                       | 10,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(5)</b> NATIONAL AUDUBON SOCIETY INC<br>225 VARICK ST., 7TH FL. NEW YORK, NY 10014          | 13-1624102 | 501(C)(3)                       | 30,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(6)</b> NTL. RELIGIOUS PARTNERSHIP FOR THE ENVIR.<br>110 MARYLAND AVE. WASHINGTON, DC 20002 | 13-6996770 | 501(C)(3)                       | 34,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(7)</b> NTL. RURAL ELECTRIC COOPERATIVE ASSOC.<br>4301 WILSON BLVD. ARLINGTON, VA 22201     | 53-0116145 | 501(C)(6)                       | 37,500.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(8)</b> NEW VENTURE FUND<br>1201 CONNECTICUT AVE. WASHINGTON, DC 20036                      | 20-5806345 | 501(C)(3)                       | 32,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(9)</b> NY LEAGUE OF CONSERVATION VOTERS<br>30 BROAD STREET NEW YORK, NY 10004              | 13-3727122 | 501(C)(3)                       | 7,500.                   |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(10)</b> NEXTGEN CLIMATE AMERICA, INC.<br>111 SUTTER STREET SAN FRANCISCO, CA 94104         | 46-2525580 | 501(C)(3)                       | 15,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(11)</b> NW ENERGY COALITION<br>811 1ST AVENUE SEATTLE, WA 98104                            | 91-1144122 | 501(C)(3)                       | 60,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(12)</b> NYC ENVIRONMENTAL JUSTICE ALLIANCE<br>166A 22ND STREET BROOKLYN, NY 11232          | 13-3779250 | 501(C)(3)                       | 6,000.                   |                                   |   |                                       | ENV. ADVOCACY                      |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

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Schedule I (Form 990) (2016)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
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Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government                                       | (b) EIN    | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|--|------------|---------------------------------|--------------------------|-----------------------------------|---|---------------------------------------|------------------------------------|
| <b>(1)</b> OCEAN CONSERVANCY<br>1300 19TH STREET NW WASHINGTON, DC 20036                   | 23-7245152 | 501(C)(3)                       | 9,292.                   |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(2)</b> OREGON ENVIRONMENTAL COUNCIL<br>222 NW DAVIS STREET PORTLAND, OR 97209-3900     | 93-0578714 | 501(C)(3)                       | 65,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(3)</b> PARTNERSHIP PROJECT, INC.<br>PO BOX 65826 WASHINGTON, DC 20035                  | 52-2192070 | 501(C)(3)                       | 520,500.                 |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(4)</b> POWER SHIFT NETWORK<br>1875 CONNECTICUT AVE. WASHINGTON, DC 20009               | 45-5616367 | 501(C)(3)                       | 10,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(5)</b> PRINCETON IN ASIA<br>194 NASSAU STREET PRINCETON, NJ 08544                      | 13-6163215 | 501(C)(3)                       | 45,930.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(6)</b> RENEWABLE NORTHWEST PROJECT<br>421 SW 6TH AVENUE PORTLAND, OR 97204             | 91-1815618 | 501(C)(3)                       | 27,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(7)</b> RIVERKEEPER, INC.<br>20 SECOR ROAD OSSINING, NY 10562                           | 13-3204621 | 501(C)(3)                       | 32,500.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(8)</b> SEA RESEARCH FOUNDATION INC.<br>55 COOGAN BLVD. MYSTIC, CT 06355                | 06-1480300 | 501(C)(3)                       | 29,090.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(9)</b> SOCIAL & ENVIRONMENTAL ENTREPRENEURS<br>23532 CALABASAS RD. CALABASAS, CA 91302 | 95-4116679 | 501(C)(3)                       | 142,500.                 |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(10)</b> SUSTAINABLE SILICON VALLEY<br>1793 LAFAYETTE STREET SANTA CLARA, CA 95050      | 56-2464045 | 501(C)(3)                       | 15,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(11)</b> THE GATHERING FOR JUSTICE<br>310 W. 43RD STREET NEW YORK, NY 10036             | 47-2966777 | 501(C)(3)                       | 150,000.                 |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(12)</b> THE KEYSTONE POLICY CENTER<br>1628 STS JOHN ROAD KEYSTONE, CO 80435            | 84-0688506 | 501(C)(3)                       | 13,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

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Schedule I (Form 990) (2016)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

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Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government                                     | (b) EIN    | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|--|------------|---------------------------------|--------------------------|-----------------------------------|---|---------------------------------------|------------------------------------|
| <b>(1)</b> THE OCEAN FOUNDATION<br>1320 19TH STREET, NW WASHINGTON, DC 20036             | 71-0863908 | 501(C)(3)                       | 15,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(2)</b> THE SOLAR FOUNDATION<br>1717 PENN. AVE NW WASHINGTON, DC 20006                | 52-1089260 | 501(C)(3)                       | 7,500.                   |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(3)</b> U.S. GLOBAL LEADERSHIP CAMPAIGN<br>1129 20TH STREET NW WASHINGTON, DC 20036   | 52-2024493 | 501(C)(3)                       | 15,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(4)</b> THE URBAN ALLIANCE FOUNDATION, INC.<br>2030 Q STREET, NW WASHINGTON, DC 20009 | 52-1938443 | 501(C)(3)                       | 51,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(5)</b> VIRGINIA POVERTY LAW CENTER<br>919 E. MAIN STREET RICHMOND, MD 23219          | 54-1093402 | 501(C)(3)                       | 16,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(6)</b> WILDAID, INC.<br>333 PINE STREET SAN FRANCISCO, CA 94104                      | 20-3644441 | 501(C)(3)                       | 43,500.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(7)</b> YALE UNIVERSITY<br>PO BOX 202137 NEW HAVEN, CT 06520                          | 06-0646973 | 501(C)(3)                       | 50,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(8)</b> CATSKILL MOUNTAINKEEPER<br>PO BOX 1000 LIVINGSTON MANOR, NY 12758             | 51-0583769 | 501(C)(3)                       | 10,000.                  |                                   |   |                                       | ENV. ADVOCACY                      |
| <b>(9)</b>   |            |                                 |                          |                                   |   |                                       |                                    |
| <b>(10)</b>  |            |                                 |                          |                                   |   |                                       |                                    |
| <b>(11)</b>  |            |                                 |                          |                                   |   |                                       |                                    |
| <b>(12)</b>  |            |                                 |                          |                                   |   |                                       |                                    |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 53.

3 Enter total number of other organizations listed in the line 1 table 3.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| 1                               |                          |                          |                                   |   |  |
| 2                               |                          |                          |                                   |   |  |
| 3                               |                          |                          |                                   |   |  |
| 4                               |                          |                          |                                   |   |  |
| 5                               |                          |                          |                                   |   |  |
| 6                               |                          |                          |                                   |   |  |
| 7                               |                          |                          |                                   |   |  |

**Part IV Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

FORM 990, SCHEDULE I, LINE 2

IN FISCAL YEAR 2017, NRDC PROVIDED VARIOUS GRANTS TO GOVERNMENT ENTITIES, PUBLIC CHARITIES (AND OTHER TAX-EXEMPT ORGANIZATIONS) TO SUPPORT ENVIRONMENTAL INITIATIVES. NRDC ONLY PROVIDES FUNDING TO ORGANIZATIONS THAT HAVE AN ENVIRONMENTAL MISSION THAT ALIGNS WITH NRDC'S OWN MISSION. GRANTEES ARE EXPECTED TO PROVIDE NRDC WITH PERIODIC STATUS REPORTS ABOUT THEIR ENVIRONMENTAL PROJECTS.

**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
- ▶ Attach to Form 990.

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Employer identification number

13-2654926

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |   |
|--|---|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use          |
| <input checked="" type="checkbox"/> Travel for companions          | <input type="checkbox"/> Payments for business use of personal residence          |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input checked="" type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (such as, maid, chauffeur, chef)       |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain . . . . .

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a? . . . . .

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee              | <input type="checkbox"/> Written employment contract                                |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input type="checkbox"/> Form 990 of other organizations                | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment? . . . . .
  - b** Participate in, or receive payment from, a supplemental nonqualified retirement plan? . . . . .
  - c** Participate in, or receive payment from, an equity-based compensation arrangement? . . . . .
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization? . . . . .
  - b** Any related organization? . . . . .
- If "Yes" on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization? . . . . .
  - b** Any related organization? . . . . .
- If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III. . . . .

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III . . . . .

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? . . . . .

|           | Yes | No |
|-----------|-----|----|
| <b>1a</b> | X   |    |
| <b>2</b>  | X   |    |
| <b>4a</b> | X   |    |
| <b>4b</b> |     | X  |
| <b>4c</b> |     | X  |
| <b>5a</b> |     | X  |
| <b>5b</b> |     | X  |
| <b>6a</b> |     | X  |
| <b>6b</b> |     | X  |
| <b>7</b>  |     | X  |
| <b>8</b>  |     | X  |
| <b>9</b>  |     |    |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2016



**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title  |      | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                                     | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|---|------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|---|
|   |      | (i) Base compensation                              | (ii) Bonus & incentive compensation | (iii) Other reportable compensation |  |                         |                                 |   |
| 1 JOHN H. ADAMS<br>TRUSTEE                                  | (i)  | 160,417.   | 0.                                  | 0.                                  | 0.   | 23,650.                 | 184,067.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 2 MICHELLE EGAN<br>CHIEF COMMUNICATIONS OFFICER             | (i)  | 173,350.   | 0.                                  | 0.                                  | 13,206.  | 18,201.                 | 204,757.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 3 STEVEN BAGINSKI<br>CHIEF FINANCIAL OFFICER                | (i)  | 295,216.   | 0.                                  | 0.                                  | 729.   | 16,684.                 | 312,629.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 4 LISA BENENSON (THRU 8/2)<br>CHIEF COMMUNICATIONS DIRECTOR | (i)  | 180,754.   | 0.                                  | 26,559.                             | 14,728.  | 12,134.                 | 234,175.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 5 MITCHELL BERNARD<br>COO (UNTIL 4/17)                      | (i)  | 311,149.   | 0.                                  | 0.                                  | 52,592.  | 18,201.                 | 381,942.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 6 DALE BRYK<br>CHIEF PLANNING & INTEG. OFC                  | (i)  | 218,975.   | 0.                                  | 0.                                  | 33,538.  | 18,201.                 | 270,714.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 7 SUSAN CASEY-LEFKOWITZ<br>CHIEF PROGRAM OFFICER            | (i)  | 219,756.   | 0.                                  | 0.                                  | 32,899.  | 18,162.                 | 270,817.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 8 DAVID GOLDSTON<br>DIRECTOR OF GOVERNMENT AFFAIRS          | (i)  | 226,272.   | 0.                                  | 0.                                  | 20,196.  | 1,392.                  | 247,860.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 9 DAVID HAWKINS<br>SENIOR ATTORNEY III                      | (i)  | 230,322.   | 0.                                  | 0.                                  | 166,760.                                       | 17,025.                 | 414,107.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 10 JOHN MURRAY<br>FORMER DIRECTOR OF DEVELOPMENT            | (i)  | 36,334.  | 209,025.                            | 53,596.                             | 7,503.   | 0.                      | 306,458.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 11 JOEL REYNOLDS<br>WESTERN DIRECTOR & SR ATTORNEY          | (i)  | 232,690.   | 0.                                  | 0.                                  | 39,817.  | 18,162.                 | 290,669.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 12 RHEA SUH<br>PRESIDENT                                    | (i)  | 497,907.   | 0.                                  | 0.                                  | 18,550.  | 18,201.                 | 534,658.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 13 ASHOK GUPTA<br>FORMER KEY EMPLOYEE                       | (i)  | 218,626.   | 0.                                  | 0.                                  | 42,807.  | 18,162.                 | 279,595.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 14 MERCEDES FALBER<br>CHIEF HUMAN RESOURCES OFFICER         | (i)  | 200,091.   | 0.                                  | 0.                                  | 30,338.  | 8,859.                  | 239,288.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 15 MIMOSE ELIE<br>DEPUTY DIRECTOR, IT OPERATIONS            | (i)  | 116,542.   | 0.                                  | 136,546.                            | 12,878.  | 14,471.                 | 280,437.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |
| 16 LINDA GREER<br>SENIOR SCIENTIST III                      | (i)  | 360,524.   | 0.                                  | 0.                                  | 29,502.  | 18,547.                 | 408,573.                        | 0.  |
|   | (ii) | 0.   | 0.                                  | 0.                                  | 0.   | 0.                      | 0.                              | 0.  |

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

## COMPENSATION INFORMATION

SCHEDULE J PART I, LINE 1

NRDC'S POLICY IS TO REIMBURSE ITS EMPLOYEES, OFFICERS AND TRUSTEES FOR ALL BUSINESS-RELATED EXPENSES, SUCH AS TELEPHONE, FAX AND TRAVEL RELATED EXPENSES.

IN FISCAL YEAR 2017, NRDC ALSO PROVIDED COMPANION TRAVEL FOR ONE INDIVIDUAL REPORTED ON PART VII - JOHN ADAMS.

IN ADDITION, NRDC REIMBURSED TRUSTEE JOHN ADAMS FOR SOCIAL CLUB DUES. TO THE EXTENT MR. ADAMS USES THE SOCIAL CLUB FOR BUSINESS PURPOSES, HE IS REIMBURSED FOR THE SOCIAL CLUB EXPENSE.

PART I, LINE 4A

MIMOSE ELIE RECEIVED A SEVERANCE PAYMENT IN CALENDAR YEAR 2016; THIS AMOUNT IS INCLUDED IN SCHEDULE J, PART II, COLUMN B(III).

PART II, COMPENSATION REPORTING OF TRUSTEE, MR. JOHN H ADAMS

COLUMN B(I): BASE COMPENSATION

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

-----  
AFTER THIRTY-FIVE YEARS OF COMMITTED SERVICE AS NRDC'S FOUNDER AND  
PRESIDENT, JOHN ADAMS RETIRED ON APRIL 1, 2006. JOHN IS A PART-TIME  
CONSULTANT FOR NRDC AND RECEIVED \$160,417 FOR THESE SERVICES IN CALENDAR  
YEAR 2016.

COLUMN D: NONTAXABLE BENEFITS

-----  
AS PART OF A RETIREMENT AGREEMENT, NRDC PROVIDED MR. JOHN ADAMS WITH  
MEDICAL AND DENTAL BENEFITS AND A LONG TERM CARE PLAN. THE VALUES OF  
THESE BENEFITS ARE:

MEDICAL                                 \$21,120  
DENTAL REIMBURSEMENT                 \$2,530

FORM 990, SCHEDULE J COMPENSATION  
VARIOUS INDIVIDUALS REPORTED ON THE NRDC FORM 990 PROVIDE SERVICES TO AN  
AFFILIATED ORGANIZATION, THE NRDC ACTION FUND. ON PART VII AND SCHEDULE  
J, ALL COMPENSATION IS BEING REPORTED AS HAVING BEEN PAID BY NRDC;

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

HOWEVER, A PORTION OF THE INDIVIDUALS' COMPENSATION IS REIMBURSED BY THE ACTION FUND BASED ON SERVICES RENDERED TO THAT ORGANIZATION.

IN THE INTEREST OF CLARITY, NRDC IS DISCLOSING THE FOLLOWING SALARY AND BENEFITS AMOUNTS AS HAVING BEEN REIMBURSED BY THE NRDC ACTION FUND:

|                  | SALARY   | BENEFITS |
|------------------|----------|----------|
| ANDERS YANG      | \$231    | \$67     |
| ASHOOK GUPTA     | \$77     | \$22     |
| DALE BRYK        | \$240    | \$67     |
| DAVID HAWKINS    | \$41     | \$12     |
| DAVID GOLDSTON   | \$26,281 | \$7,430  |
| JOEL REYNOLDS    | \$488    | \$137    |
| LISA BENENSON    | \$5,061  | \$1,400  |
| MERCEDES FALBER  | \$72     | \$21     |
| MICHELLE EGAN    | \$955    | \$277    |
| MITCHELL BERNARD | \$2,099  | \$584    |
| RHEA SUH         | \$24,242 | \$6,876  |
| STEVEN BAGINSKI  | \$401    | \$112    |

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

---

|                       |         |       |
|-----------------------|---------|-------|
| SUSAN CASEY-LEFKOWITZ | \$2,166 | \$625 |
|-----------------------|---------|-------|

**SCHEDULE K  
(Form 990)**

**Supplemental Information on Tax-Exempt Bonds**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.**

▶ **Attach to Form 990.**

▶ **Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Department of the Treasury  
Internal Revenue Service

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I Bond Issues**

| (a) Issuer name                              | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased |    | (h) On behalf of issuer |    | (i) Pooled financing |    |
|--|----------------|-------------|-----------------|-----------------|----------------------------|--------------|----|-------------------------|----|----------------------|----|
|  |                |             |                 |                 |                            | Yes          | No | Yes                     | No | Yes                  | No |
| A NEW YORK CITY CAPITAL RESOURCE CORPORATION | 20-4099098     | 649437AD2   | 01/24/2008      | 12,730,000.     | REFINANCING AND RENOVATION |              | X  |                         | X  |                      | X  |
| B  |                |             |                 |                 |                            |              |    |                         |    |                      |    |
| C  |                |             |                 |                 |                            |              |    |                         |    |                      |    |
| D  |                |             |                 |                 |                            |              |    |                         |    |                      |    |

**Part II Proceeds**

|   | A           | B  | C   | D  |
|---|-------------|----|-----|----|
| 1 Amount of bonds retired . . . . .   | 2,647,305.  |    |     |    |
| 2 Amount of bonds legally defeased . . . . .  |             |    |     |    |
| 3 Total proceeds of issue . . . . .   | 12,760,914. |    |     |    |
| 4 Gross proceeds in reserve funds . . . . .   |             |    |     |    |
| 5 Capitalized interest from proceeds . . . . .  |             |    |     |    |
| 6 Proceeds in refunding escrows . . . . .   |             |    |     |    |
| 7 Issuance costs from proceeds . . . . .  | 360,472.    |    |     |    |
| 8 Credit enhancement from proceeds . . . . .  | 30,000.     |    |     |    |
| 9 Working capital expenditures from proceeds . . . . .  |             |    |     |    |
| 10 Capital expenditures from proceeds . . . . .   | 12,369,528. |    |     |    |
| 11 Other spent proceeds . . . . .   |             |    |     |    |
| 12 Other unspent proceeds . . . . .   |             |    |     |    |
| 13 Year of substantial completion . . . . .   | 2011        |    |     |    |
|   | Yes         | No | Yes | No |
| 14 Were the bonds issued as part of a current refunding issue? . . . . .  |             | X  |     |    |
| 15 Were the bonds issued as part of an advance refunding issue? . . . . .   |             | X  |     |    |
| 16 Has the final allocation of proceeds been made? . . . . .  |             | X  |     |    |
| 17 Does the organization maintain adequate books and records to support the final allocation of proceeds? . . . . . | X           |    |     |    |

**Part III Private Business Use**

|  | A   |    | B   |    | C   |    | D   |    |
|--|-----|----|-----|----|-----|----|-----|----|
|  | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? . . . . . |     | X  |     |    |     |    |     |    |
| 2 Are there any lease arrangements that may result in private business use of bond-financed property? . . . . .                        | X   |    |     |    |     |    |     |    |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

| Part III Private Business Use (Continued)  | NEW YORK CITY CAPITAL RESOURCE CORPORATION |          |     |    |     |    |     |    |
|--|--|----------|-----|----|-----|----|-----|----|
|  | A  |          | B   |    | C   |    | D   |    |
|  | Yes  | No       | Yes | No | Yes | No | Yes | No |
| 3a Are there any management or service contracts that may result in private business use of bond-financed property? . . . . .  |  | X        |     |    |     |    |     |    |
| b If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? . . . . .   |  |          |     |    |     |    |     |    |
| c Are there any research agreements that may result in private business use of bond-financed property? . . . . .   |  | X        |     |    |     |    |     |    |
| d If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? . . . . .   |  |          |     |    |     |    |     |    |
| 4 Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government . . . . . ▶  |  | .9100 %  |     | %  |     | %  |     | %  |
| 5 Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government . . . . . ▶ |  | .9100 %  |     | %  |     | %  |     | %  |
| 6 Total of lines 4 and 5 . . . . .   |  | 1.8200 % |     | %  |     | %  |     | %  |
| 7 Does the bond issue meet the private security or payment test? . . . . .   |  | X        |     |    |     |    |     |    |
| 8a Has there been a sale or disposition of any of the bond-financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued? . . . . .  |  | X        |     |    |     |    |     |    |
| b If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of . . . . .  |  | %        |     | %  |     | %  |     | %  |
| c If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2? . . . . .  |  |          |     |    |     |    |     |    |
| 9 Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2? . . . . .                             |  | X        |     |    |     |    |     |    |

| Part IV Arbitrage   | A   |    | B   |    | C   |    | D   |    |
|---|-----|----|-----|----|-----|----|-----|----|
|   | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 Has the issuer filed Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate? . . . . .    |     | X  |     |    |     |    |     |    |
| 2 If "No" to line 1, did the following apply? . . . . .   |     |    |     |    |     |    |     |    |
| a Rebate not due yet? . . . . .   |     | X  |     |    |     |    |     |    |
| b Exception to rebate? . . . . .  |     | X  |     |    |     |    |     |    |
| c No rebate due? . . . . .  | X   |    |     |    |     |    |     |    |
| If "Yes" to line 2c, provide in Part VI the date the rebate computation was performed. . . . .                              |     |    |     |    |     |    |     |    |
| 3 Is the bond issue a variable rate issue? . . . . .  | X   |    |     |    |     |    |     |    |
| 4a Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? . . . . . |     | X  |     |    |     |    |     |    |
| b Name of provider . . . . .  |     |    |     |    |     |    |     |    |
| c Term of hedge. . . . .  |     |    |     |    |     |    |     |    |
| d Was the hedge superintegrated? . . . . .  |     |    |     |    |     |    |     |    |
| e Was the hedge terminated? . . . . .   |     |    |     |    |     |    |     |    |





**Part VI** **Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions) *(Continued)*

ARBITRAGE - INSTALLMENT ARBITRAGE REBATE REPORT

PART II - GROSS PROCEEDS

INCLUDED WITHIN THE GROSS PROCEEDS AMOUNT REPORTED IN PART II IS \$30,914  
OF INTEREST EARNED ON THE BOND PROCEEDS BEFORE THEY WERE EXPENDED.

PART IV - ARBITRAGE

THE NATURAL RESORCES DEFENSE COUNCIL, INC. HAD AN INSTALLMENT ARBITRAGE  
REBATE REPORT COMPLETED FOR THE PERIOD ENDING JANUARY 15TH, 2013 THAT  
CALCULATED A NEGATIVE REBATE AND YIELD RESTRICTION AMOUNT. AS OF THE DATE  
OF THE FILING OF THIS FORM 990, ALL OF THE BOND PROCEEDS WERE SPENT AND  
NO ADDITIONAL INVESTMENT ACTIVITY OCCURRED.

PRIVATE BUSINESS USE

FORM 990, SCHEDULE K, LINE 7 NRDC HAS NOT UNDERTAKEN THE COMPLEX  
CALCULATIONS NECESSARY TO DETERMINE WHETHER IT HAS MET THE PRIVATE  
SECURITY OR PAYMENT TEST.

POLICIES & PROCEDURES

NRDC HAS NOT FORMALLY ADOPTED ANY WRITTEN PROCEDURES TO MONITOR ITS BOND  
COMPLIANCE; HOWEVER, THE ORGANIZATION'S MANAGEMENT MONITORS THE BONDS  
ROUTINELY TO ENSURE COMPLIANCE WITH ALL FEDERAL REQUIREMENTS.

**SCHEDULE L**  
**(Form 990 or 990-EZ)**

**Transactions With Interested Persons**

OMB No. 1545-0047

**2016**

Department of the Treasury  
Internal Revenue Service

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**

▶ **Attach to Form 990 or Form 990-EZ.**

▶ **Information about Schedule L (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

**Open To Public Inspection**

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I Excess Benefit Transactions** (section 501(c)(3), section 501(c)(4), and 501(c)(29) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

| 1   | (a) Name of disqualified person | (b) Relationship between disqualified person and organization | (c) Description of transaction | (d) Corrected? |    |
|-----|---------------------------------|---|--------------------------------|----------------|----|
|     |                                 |   |                                | Yes            | No |
| (1) |                                 |   |                                |                |    |
| (2) |                                 |   |                                |                |    |
| (3) |                                 |   |                                |                |    |
| (4) |                                 |   |                                |                |    |
| (5) |                                 |   |                                |                |    |
| (6) |                                 |   |                                |                |    |

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958 . . . . . ▶ \$ \_\_\_\_\_

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization, . . . . . ▶ \$ \_\_\_\_\_

**Part II Loans to and/or From Interested Persons.**

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

| 1    | (a) Name of interested person | (b) Relationship with organization | (c) Purpose of loan | (d) Loan to or from the organization? |      | (e) Original principal amount | (f) Balance due | (g) In default? |    | (h) Approved by board or committee? |    | (i) Written agreement? |    |
|------|-------------------------------|------------------------------------|---------------------|---------------------------------------|------|-------------------------------|-----------------|-----------------|----|-------------------------------------|----|------------------------|----|
|      |                               |                                    |                     | To                                    | From |                               |                 | Yes             | No | Yes                                 | No | Yes                    | No |
|      |                               |                                    |                     | (1)                                   |      |                               |                 |                 |    |                                     |    |                        |    |
| (2)  |                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
| (3)  |                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
| (4)  |                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
| (5)  |                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
| (6)  |                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
| (7)  |                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
| (8)  |                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
| (9)  |                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |
| (10) |                               |                                    |                     |                                       |      |                               |                 |                 |    |                                     |    |                        |    |

Total . . . . . ▶ \$ \_\_\_\_\_

**Part III Grants or Assistance Benefiting Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

| 1    | (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of assistance | (d) Type of assistance | (e) Purpose of assistance |
|------|-------------------------------|---|--------------------------|------------------------|---------------------------|
| (1)  |                               |   |                          |                        |                           |
| (2)  |                               |   |                          |                        |                           |
| (3)  |                               |   |                          |                        |                           |
| (4)  |                               |   |                          |                        |                           |
| (5)  |                               |   |                          |                        |                           |
| (6)  |                               |   |                          |                        |                           |
| (7)  |                               |   |                          |                        |                           |
| (8)  |                               |   |                          |                        |                           |
| (9)  |                               |   |                          |                        |                           |
| (10) |                               |   |                          |                        |                           |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule L (Form 990 or 990-EZ) 2016

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? |    |
|-------------------------------|---|---------------------------|--------------------------------|---|----|
|                               |   |                           |                                | Yes                                     | No |
| (1) WENDY NEU                 | BOARD OF TRUSTEES MEMBER  | 462,858.                  | SEE PART V                     |   | X  |
| (2)                           |   |                           |                                |   |    |
| (3)                           |   |                           |                                |   |    |
| (4)                           |   |                           |                                |   |    |
| (5)                           |   |                           |                                |   |    |
| (6)                           |   |                           |                                |   |    |
| (7)                           |   |                           |                                |   |    |
| (8)                           |   |                           |                                |   |    |
| (9)                           |   |                           |                                |   |    |
| (10)                          |   |                           |                                |   |    |

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions).

FORM 990, SCHEDULE L, PART IV

BOARD OF TRUSTEES MEMBER, WENDY NEU, HAS AN OWNERSHIP INTEREST IN A BUILDING IN WHICH NRDC LEASES SPACE. THE ANNUAL RENT UNDER THE LEASE AGREEMENT IS \$462,858 PER YEAR. THE AFOREMENTIONED TRANSACTION WAS ENTERED INTO BY BOTH PARTIES AT ARM'S LENGTH AND THE LEASE TERMS ARE DETERMINED BY UTILIZING CURRENT MARKET RATES.

**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2016**

**Open To Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I Types of Property**

|  | (a)<br>Check if<br>applicable | (b)<br>Number of contributions or<br>items contributed | (c)<br>Noncash contribution<br>amounts reported on<br>Form 990, Part VIII, line 1g | (d)<br>Method of determining<br>noncash contribution amounts |
|--|-------------------------------|--|--|--|
| 1 Art - Works of art . . . . .   |                               |  |  |  |
| 2 Art - Historical treasures . . . . .                                       |                               |  |  |  |
| 3 Art - Fractional interests . . . . .                                       |                               |  |  |  |
| 4 Books and publications . . . . .   |                               |  |  |  |
| 5 Clothing and household<br>goods . . . . .                                  |                               |  |  |  |
| 6 Cars and other vehicles . . . . .  |                               |  |  |  |
| 7 Boats and planes . . . . .   |                               |  |  |  |
| 8 Intellectual property . . . . .  |                               |  |  |  |
| 9 Securities - Publicly traded . . . . .                                     | X                             | 518.   | 3,164,041.   | FMV  |
| 10 Securities - Closely held stock . . . . .                                 |                               |  |  |  |
| 11 Securities - Partnership, LLC,<br>or trust interests . . . . .            |                               |  |  |  |
| 12 Securities - Miscellaneous . . . . .                                      |                               |  |  |  |
| 13 Qualified conservation<br>contribution - Historic<br>structures . . . . . |                               |  |  |  |
| 14 Qualified conservation<br>contribution - Other . . . . .                  |                               |  |  |  |
| 15 Real estate - Residential . . . . .                                       |                               |  |  |  |
| 16 Real estate - Commercial . . . . .  |                               |  |  |  |
| 17 Real estate - Other . . . . .   |                               |  |  |  |
| 18 Collectibles . . . . .  |                               |  |  |  |
| 19 Food inventory . . . . .  |                               |  |  |  |
| 20 Drugs and medical supplies . . . . .                                      |                               |  |  |  |
| 21 Taxidermy . . . . .   |                               |  |  |  |
| 22 Historical artifacts . . . . .  |                               |  |  |  |
| 23 Scientific specimens . . . . .  |                               |  |  |  |
| 24 Archeological artifacts . . . . .   |                               |  |  |  |
| 25 Other ▶ (ATCH 1) . . . . .  |                               | 49.  | 13,614.  |  |
| 26 Other ▶ ( ) . . . . .   |                               |  |  |  |
| 27 Other ▶ ( ) . . . . .   |                               |  |  |  |
| 28 Other ▶ ( ) . . . . .   |                               |  |  |  |

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . . **29**

|   | Yes | No |
|---|-----|----|
| 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? . . . . . |     | X  |
| b If "Yes," describe the arrangement in Part II.  |     |    |
| 31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? . . . . .   | X   |    |
| 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .  | X   |    |
| b If "Yes," describe in Part II.  |     |    |
| 33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.   |     |    |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2016)

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**Part II** **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

---

SCHEDULE M, LINE 32(A)

TO THE EXTENT THAT THE ORGANIZATION RECEIVES CONTRIBUTIONS OF STOCK, THE ORGANIZATION USES ITS INVESTMENT BROKER TO CONVERT THOSE STOCKS INTO CASH.

**Part II** **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

ATTACHMENT 1

SCHEDULE M, PART I - OTHER NONCASH CONTRIBUTIONS

| <u>DESCRIPTION</u>  | <u>(A) CHECK</u> | <u>(B) NUMBER OF CONTRIBUTIONS</u> | <u>(C) REVENUES REPORTED</u> | <u>(D) METHOD OF DETERMINING</u> |
|---------------------|------------------|------------------------------------|------------------------------|----------------------------------|
| MISC. DONATED ITEMS | X                | 49.                                | 13,614.                      | FMV                              |
| TOTALS              |                  | <u>49.</u>                         | <u>13,614.</u>               |                                  |

**SCHEDULE O  
(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Employer identification number

13-2654926

GOVERNING BODY AND MANAGEMENT

PART VI, LINE 1B - THE NRDC BOARD OF TRUSTEES IS COMPRISED OF 35

INDEPENDENT VOTING BOARD MEMBERS. BOARD OF TRUSTEES MEMBER JOHN ADAMS IS

NOT INDEPENDENT BY VIRTUE OF RECEIVING COMPENSATION FROM THE

ORGANIZATION. BOARD OF TRUSTEES MEMBER WENDY NEU IS NOT INDEPENDENT

BECAUSE OF THE RELATIONSHIP DISCLOSED ON SCHEDULE L OF THE FORM 990.

PART VI, LINE 2 - BOARD OF TRUSTEES MEMBERS, FREDERICK A.O. SCHWARZ, JR.

AND FREDERICA PERERA, HAVE A FAMILY RELATIONSHIP.

BOARD OF TRUSTEES MEMBER, SARAH COGAN AND OFFICERS MARIPAT ALPUCHE AND

LAUREN SULLIVAN, HAVE A BUSINESS RELATIONSHIP.

BOARD OF TRUSTEES MEMBERS, WENDY NEU AND JOSEPHINE MERCK HAVE A BUSINESS

RELATIONSHIP.

BOARD OF TRUSTEES MEMBER, ERIC WEPSIC AND BOARD OF TRUSTEES MEMBER/VICE

CHAIR, MAX STONE, HAVE A BUSINESS RELATIONSHIP.

FORM 990, PART VI, LINES 7A & B

LINE 7A - NRDC'S MEMBERS ARE ENTITLED, AS PART OF THEIR MEMBERSHIP, TO

ELECT INDIVIDUALS TO THE NRDC BOARD OF TRUSTEES.

LINE 7B - THE NRDC BOARD OF TRUSTEES ACTS AUTONOMOUSLY. NEVERTHELESS,

NRDC'S MEMBERS HAVE CERTAIN APPROVAL RIGHTS PURSUANT TO THE NEW YORK

|   |  |
|---|--|
| Name of the organization<br>NATURAL RESOURCES DEFENSE COUNCIL, INC. | Employer identification number<br>13-2654926 |
|---|--|

NOT-FOR-PROFIT CORPORATION LAW, INCLUDING, APPROVAL OVER ANY AMENDMENTS TO NRDC'S CERTIFICATE OF INCORPORATION.

990 REVIEW PROCESS

FORM 990, PART VI, SECTION B, LINE 11

THE FORM 990 WAS PREPARED BY A NATIONALLY RECOGNIZED ACCOUNTING FIRM IN CONJUNCTION WITH THE ORGANIZATION'S SENIOR MANAGEMENT. A COPY OF THE DRAFT FORM 990 WAS PRESENTED TO THE AUDIT COMMITTEE OF THE BOARD OF TRUSTEES FOR DISCUSSION AND COMMENT. ONCE THE AUDIT COMMITTEE APPROVED THE FORM 990 FOR FILING, A COPY WAS CIRCULATED TO THE FULL BOARD OF TRUSTEES. EACH BOARD MEMBER WAS PROVIDED OPPORTUNITY TO COMMENT ON THE INFORMATION CONTAINED IN THE FORM 990 PRIOR TO ITS FILING WITH THE INTERNAL REVENUE SERVICE.

CONFLICT OF INTEREST POLICY ENFORCEMENT AND MONITORING

FORM 990, PART VI, SECTION B, LINE 12

EACH OFFICER, TRUSTEE, AND KEY EMPLOYEE OF THE ORGANIZATION IS REQUIRED TO ANNUALLY DISCLOSE ANY CONFLICTS OF INTEREST THAT ARISE BY VIRTUE OF EMPLOYMENT, BOARD SERVICE, OR POSITION WITH THE ORGANIZATION. THE ORGANIZATION MONITORS COMPLIANCE WITH ITS CONFLICT OF INTEREST POLICY THROUGH AN ANNUAL QUESTIONNAIRE/DISCLOSURE STATEMENT THAT IS DISTRIBUTED TO THESE INDIVIDUALS. POTENTIAL CONFLICTS ARE INVESTIGATED IMMEDIATELY.

PROCESS FOR DETERMINING COMPENSATION

FORM 990, PART VI, SECTION B, LINE 15A & 15B

THE ORGANIZATION UNDERTAKES A THOROUGH PROCESS TO ENSURE THAT THE



|   |  |
|---|--|
| Name of the organization<br>NATURAL RESOURCES DEFENSE COUNCIL, INC. | Employer identification number<br>13-2654926 |
|---|--|

EXECUTIVE COMPENSATION IT PAYS TO ITS TOP MANAGEMENT OFFICIAL AND ALL OF ITS OFFICERS AND KEY EMPLOYEES IS REASONABLE, GIVEN THE MARKET IN WHICH THE ORGANIZATION OPERATES. IN RELEVANT PART, THE BOARD OF TRUSTEES HAS ESTABLISHED A COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES THAT HAVE NO PERSONAL INTEREST IN THE PROPOSED COMPENSATION. THE COMPENSATION COMMITTEE CONTRACTS WITH A COMPENSATION CONSULTANT TO COMPLETE A MARKET ASSESSMENT AND COMPETITIVE POSITION ANALYSIS FOR THE ORGANIZATION'S TOP EXECUTIVES. THE COMPENSATION CONSULTANT UTILIZES COMPARABILITY AND BENCHMARKING SURVEYS TO ENSURE THAT THE ORGANIZATION COMPENSATES ITS EXECUTIVES COMMENSURATE WITH THE MARKET. BASED ON ITS REVIEW OF THE ANALYSES PROVIDED BY THE COMPENSATION CONSULTANT AND OTHER RELEVANT INFORMATION, THE COMPENSATION COMMITTEE MAKES RECOMMENDATIONS TO THE EXECUTIVE COMMITTEE OF THE BOARD OF TRUSTEES. COMPENSATION DECISIONS AND REPORTS ARE CONTEMPORANEOUSLY DOCUMENTED IN THE MINUTES OF THE MEETING OF THE EXECUTIVE COMMITTEE AT WHICH SUCH DECISIONS ARE MADE.

## DISCLOSURE

FORM 990, PART VI, SECTION C, LINE 19

THE ORGANIZATION MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE OF BUSINESS. THE FORM 990 AND AUDITED FINANCIAL STATEMENTS ARE LIKEWISE PUBLISHED ON NRDC'S WEBSITE AT WWW.NRDC.ORG. THE ORGANIZATION'S GOVERNING DOCUMENTS AND CONFLICT OF INTEREST POLICY MAY BE PROVIDED AT MANAGEMENT'S DISCRETION, IF REQUESTED.

FORM 990, PART XI RECONCILIATION OF NET ASSETS

OTHER CHANGES IN NET ASSETS OR FUND BALANCES

|   |  |
|---|--|
| Name of the organization<br>NATURAL RESOURCES DEFENSE COUNCIL, INC. | Employer identification number<br>13-2654926 |
|---|--|

|  |             |
|--|-------------|
| CHANGE IN VALUE OF INTEREST RATE SWAP AGREEMENTS | \$1,345,726 |
| CHANGE IN VALUE OF SPLIT-INTEREST AGREEMENTS     | \$1,000,271 |
| PENSION RELATED ACTIVITY OTHER THAN              |             |
| NET PERIODIC EXPENSE                             | \$1,879,069 |
| TOTAL OTHER CHANGES IN NET ASSETS                | \$4,226,066 |

## PROGRAM SERVICE ACCOMPLISHMENTS

## PART III, LINE 4

THE NATURAL RESOURCES DEFENSE COUNCIL (NRDC) COMBINES THE GRASSROOTS POWER OF MORE THAN THREE MILLION MEMBERS AND ONLINE ACTIVISTS WITH THE EXPERTISE OF MORE THAN 500 SCIENTISTS, LAWYERS, AND POLICY ADVOCATES ACROSS THE GLOBE TO ENSURE THE RIGHTS OF ALL PEOPLE TO THE AIR, THE WATER, AND THE WILD. NRDC'S PRIORITIES ARE:

- CURBING GLOBAL WARMING AND CREATING A CLEAN ENERGY FUTURE \$48,921,510
- REVIVING THE WORLD'S OCEANS \$7,510,709
- DEFENDING ENDANGERED WILDLIFE AND WILD PLACES \$15,314,685
- PROTECTING PUBLIC HEALTH BY PREVENTING POLLUTION \$13,711,513
- ENSURING SAFE AND SUFFICIENT WATER \$7,393,415
- FOSTERING SUSTAINABLE COMMUNITIES \$12,970,724

IN THE AFTERMATH OF THE NOVEMBER 2016 ELECTIONS, NRDC AND OUR ALLIES FACED AN ERA OF UNPRECEDENTED CHALLENGES. AS THE ADMINISTRATION MOVED FORWARD WITH ITS POLLUTER FRIENDLY AGENDA, WE ACTED QUICKLY TO CRAFT A STRONG PLAN TO DEFEND OUR BEDROCK ENVIRONMENTAL LAWS, AS WELL AS TO HOLD THE GOVERNMENT AND INDUSTRY ACCOUNTABLE TO CONTINUE IMPLEMENTING THOSE

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

LAWS AND REGULATIONS. WE FOUGHT ROLLBACKS IN CONGRESS, IN THE COURTS, AND THROUGH ORGANIZING THE POWER OF OUR MEMBERS AND THE PUBLIC. JOINING FORCES WITH A WIDE RANGE OF PARTNERS, WE WERE ABLE TO MAKE SIGNIFICANT STRIDES IN DEFENDING, AND IN MANY CASES ADVANCING, PROTECTIONS FOR OUR AIR, WATER, AND COMMUNITIES, AS WE SHINED A LIGHT ON THE IMPORTANCE OF ENVIRONMENTAL SAFEGUARDS.

NRDC HAS ALSO RECENTLY ADOPTED A NEW STRATEGIC PLAN, WHICH WILL BRING MORE FOCUS TO OUR WORK IN THE YEARS TO COME. OUR STRATEGIC PLAN ESTABLISHES AN OUTLINE FOR CHANGE AIMED AT DELIVERING BOLD RESULTS IN THE SHORT TERM WHILE DRIVING TRANSFORMATIONAL, ENDURING, AND POSITIVE CHANGE LONG INTO THE FUTURE. WE WILL BE ALIGNING OUR WORK AND OBJECTIVES GOING FORWARD AROUND FOUR CRITICAL STRATEGIC AREAS OF FOCUS: 1) AVERT THE MOST DANGEROUS IMPACTS OF CLIMATE CHANGE, 2) ADVOCATE FOR THE HEALTH OF PEOPLE AND THRIVING COMMUNITIES, 3) CONSERVE NATURE AND PROTECT WILDLIFE, AND 4) BUILD A GROUNDSWELL OF PEOPLE THAT GIVE POWER AND VOICE TO OUR MISSION. WITH THE SCOPE AND SCALE OF MODERN ENVIRONMENTAL ISSUES BRINGING SPECIAL URGENCY TO NRDC'S WORK, THESE FOUR FOCUS AREAS - THREE THAT ADDRESS THE MOST PRESSING ENVIRONMENTAL ISSUES OF OUR TIME, AND ONE THAT DEFINES HOW WE PROPEL THE SOLUTIONS FORWARD INTO THE WORLD - WILL FOCUS OUR WORK FOR THE FUTURE.

URGENCY TO NRDC'S WORK, THESE FOUR FOCUS AREAS - THREE THAT ADDRESS THE MOST PRESSING ENVIRONMENTAL ISSUES OF OUR TIME, AND ONE THAT DEFINES HOW

|   |  |
|---|--|
| Name of the organization<br>NATURAL RESOURCES DEFENSE COUNCIL, INC. | Employer identification number<br>13-2654926 |
|---|--|

WE PROPEL THE SOLUTIONS FORWARD INTO THE WORLD - WILL FOCUS OUR WORK FOR THE FUTURE.

CURBING GLOBAL WARMING AND CREATING A CLEAN ENERGY FUTURE  
NRDC'S CLIMATE AND CLEAN ENERGY EFFORTS FOCUS ON HELPING TO DELIVER AN 80 PERCENT REDUCTION IN U.S. CARBON POLLUTION FROM 1990 LEVELS BY 2050 - THE LEVEL THAT SCIENTISTS SAY IS REQUIRED TO KEEP THE GLOBAL TEMPERATURE RISE TO 1.5 DEGREES CELSIUS AND AVOID THE MOST DANGEROUS EFFECTS OF CLIMATE CHANGE. IN 2017, AS PRESIDENT TRUMP THREATENED TO WITHDRAW THE UNITED STATES FROM THE LANDMARK PARIS CLIMATE AGREEMENT, WE WORKED WITH A RANGE OF PARTNERS IN CHINA, INDIA, AND AROUND THE WORLD, AS WELL AS WITH U.S. STATES, REGIONS, AND CITIES, TO SCALE UP CLEAN ENERGY AND EFFICIENCY AND ACCELERATE THEIR COMMITMENTS TO CLIMATE ACTION. OUR CLIMATE AND ENERGY MILESTONES INCLUDED:

- FOLLOWING YEARS OF PAINSTAKING ADVOCACY AND NEGOTIATIONS BY A WIDE RANGE OF NRDC STAFF, LAWMAKERS IN STATES FROM COAST TO COAST PASSED OR DEFENDED SWEEPING CLEAN ENERGY LEGISLATION AND OTHER MEASURES THAT WILL ACCELERATE THE DEPLOYMENT OF RENEWABLES AND INCREASE ENERGY EFFICIENCY:

\* CALIFORNIA'S GOVERNOR SIGNED HISTORIC LEGISLATION TO ESTABLISH NORTH AMERICA'S MOST AGGRESSIVE EMISSIONS REDUCTION TARGETS, REQUIRING THE STATE - THE WORLD'S SIXTH LARGEST ECONOMY - TO REDUCE CARBON POLLUTION TO AT LEAST 40 PERCENT BELOW 1990 LEVELS BY 2030. SHORTLY AFTER, NEW YORK COMMITTED TO SECURING 50 PERCENT OF THE STATE'S ENERGY FROM RENEWABLE ENERGY RESOURCES LIKE SOLAR AND WIND BY 2030. THE GOVERNOR

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ALSO ANNOUNCED A PROPOSAL TO INSTALL MORE THAN 500 NEW ELECTRIC VEHICLE CHARGERS THROUGHOUT THE STATE AND ANNOUNCED A DEAL TO CLOSE THE TROUBLED AND AGING INDIAN POINT NUCLEAR POWER PLANT, WHILE COMMITTING TO REPLACE IT WITH CLEAN ENERGY AND AVOID ANY INCREASES IN EMISSIONS.

\* IN THE HEARTLAND, ILLINOIS PASSED THE FUTURE ENERGY JOBS ACT, A GROUNDBREAKING BILL THAT WILL JUMPSTART ENERGY EFFICIENCY AND RENEWABLE ENERGY GROWTH AFTER A LONG PERIOD OF STAGNATION. OHIO'S GOVERNOR VETOED LEGISLATION THAT WOULD HAVE EXTENDED A FREEZE ON STATE ENERGY EFFICIENCY AND RENEWABLE ENERGY MANDATES, CITING ECONOMIC CONCERNS. MICHIGAN ENACTED LEGISLATION TO INCREASE INVESTMENT IN ENERGY EFFICIENCY AND EXPAND RENEWABLE ENERGY. COLORADO APPROVED ENERGY EFFICIENCY LEGISLATION WITH BIPARTISAN SUPPORT AND COMMITTED TO REDUCING STATEWIDE GREENHOUSE EMISSIONS BY MORE THAN 26 PERCENT FROM 2005 LEVELS BY 2025. NEVADA, WITH BROAD SUPPORT, ADOPTED A NEW LAW TO INCREASE ENERGY EFFICIENCY THAT IS EXPECTED TO ROUGHLY DOUBLE UTILITY INVESTMENT IN ENERGY EFFICIENCY BY 2020. VIRGINIA ANNOUNCED PLANS TO DEVELOP A STATE CLIMATE CAP-AND-TRADE PROGRAM, CREATING A PATH TO JOINING THE REGIONAL GREENHOUSE GAS INITIATIVE.

- IN AN HISTORIC BREAKTHROUGH FOR CLEAN ENERGY INFRASTRUCTURE, AMERICA'S FIRST OFFSHORE WIND PROJECT WENT ONLINE IN THE WATERS OFF RHODE ISLAND, FOLLOWING MORE THAN A DECADE OF STEADY WORK BY A CROSS-INSTITUTIONAL NRDC TEAM TO BUILD SUPPORT FOR THE INITIATIVE AND ENSURE THE PROTECTION OF ENDANGERED WHALES IN THE AREA.

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- MEANWHILE, IN A MAJOR DEVELOPMENT FOR OUR WORK TO ADVANCE INTERNATIONAL CLIMATE ACTION, THE PARIS CLIMATE AGREEMENT PASSED THE THRESHOLD TO TRIGGER ENTRY INTO FORCE. MORE THAN 75 NATIONS CONTRIBUTING MORE THAN 58 PERCENT OF TOTAL GREENHOUSE GAS EMISSIONS HAD FORMALLY JOINED THE AGREEMENT. NRDC WORKED WITH POLICYMAKERS IN UNITED STATES, INDIA, CHINA, CANADA, LATIN AMERICA, EUROPE AND ELSEWHERE TO PUSH FOR AN UNPRECEDENTED EARLY ENTRY INTO FORCE.

- SHORTLY AFTER, NRDC STAFF PARTICIPATED IN THE U.N. CLIMATE SUMMIT IN MARRAKECH TO DISCUSS THE NEXT STAGES OF INTERNATIONAL PROGRESS ON CLIMATE CHANGE AND IMPLEMENTATION OF THE PARIS AGREEMENT. DESPITE THREATS FROM THE NEWLY ELECTED TRUMP ADMINISTRATION TO WITHDRAW FROM THE AGREEMENT, COUNTRIES AROUND THE WORLD REMAINED FIRM IN THEIR COMMITMENTS. IN THE UNITED STATES, VIRGINIA AND NORTH CAROLINA JOINED THE U.S. CLIMATE ALLIANCE, ALONGSIDE MORE THAN A DOZEN OTHER STATES, PLEDGING TO ACHIEVE THE GOALS OF THE AGREEMENT.

- IN ANOTHER MAJOR STRIDE FOR OUR INTERNATIONAL CLIMATE WORK, COUNTRIES FROM AROUND THE WORLD CAME TOGETHER TO ADOPT AN AGREEMENT TO START PHASING DOWN THE POWERFUL CLIMATE-WARMING GASES CALLED HYDROFLUOROCARBONS (HFCS) THROUGH A LEGALLY-BINDING AMENDMENT TO THE MONTREAL PROTOCOL, THE TREATY THAT SAVED THE OZONE LAYER. THE AGREEMENT FOLLOWED DECADES OF TENACIOUS ADVOCACY BY NRDC.

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- MEANWHILE, WORKING CLOSELY WITH NRDC STAFF AND OTHER PARTNERS, THE CITY OF AHMEDABAD IN INDIA RELEASED THE FIFTH EDITION OF ITS GROUNDBREAKING HEAT ACTION PLAN. FIRST LAUNCHED IN 2013, THE ACTION PLAN HAS PROMPTED SEVERAL OTHER CITIES IN INDIA TO ADOPT SIMILAR STRATEGIES TO PROTECT THEIR CITIZENS FROM EXTREME HEAT WAVES AS CLIMATE CHANGE HAS DRIVEN TEMPERATURES HIGHER ACROSS THE COUNTRY.

- NRDC'S STAFF IN BEIJING HAS CARRIED OUT POLICY RESEARCH IN COLLABORATION WITH OVER 20 CHINESE PARTNERS TO MAP OUT PATHWAYS FOR REDUCING CHINA'S COAL CONSUMPTION. THROUGH THE COLLABORATION, WE DEVELOPED A COMPREHENSIVE ROADMAP AND POLICY PACKAGE ON ESTABLISHING AND IMPLEMENTING A BINDING NATIONAL COAL CONSUMPTION CAP. ENCOURAGINGLY, CHINA'S COAL CONSUMPTION DECLINED IN THE THIRD CONSECUTIVE YEAR IN 2017 AND THE GOVERNMENT'S FIVE-YEAR DEVELOPMENT PLAN (2016-2020) HAS ADOPTED A MANDATORY CAP ON TOTAL COAL CONSUMPTION.

- AT THE U.S. FEDERAL LEVEL, THE DEPARTMENT OF ENERGY ANNOUNCED IN MAY THAT CEILING FAN ENERGY EFFICIENCY STANDARDS WOULD BECOME EFFECTIVE IN SEPTEMBER 2017. THE PREVIOUS MONTH, NRDC HAD SUED THE AGENCY OVER ITS DELAY OF THE STANDARDS WITHOUT NOTICE AND COMMENT.

- THE DEPARTMENT OF TRANSPORTATION PROMULGATED A FINAL RULE THAT WILL REQUIRE ALL REGIONS AND STATES TO BENCHMARK THEIR CARBON POLLUTION FROM TRANSPORTATION, SET TARGETS TO REDUCE THEIR EMISSIONS, AND REPORT BACK REGULARLY ON PROGRESS TOWARDS MEETING THEIR TARGETS. NRDC HAD FIRST

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PROPOSED THE CONCEPT OF A CARBON PERFORMANCE STANDARD NEARLY A YEAR EARLIER, SEEKING TO BUILD ON A MODEL TRANSPORTATION AND CLIMATE LAW WE HELPED CREATE IN CALIFORNIA.

- IN A CALL TO ACTION FOR LAWMAKERS, A NEW NRDC REPORT SHOWED THAT AMERICA'S 56 MILLION LATINOS ARE ESPECIALLY VULNERABLE TO THE HEALTH THREATS POSED BY CLIMATE CHANGE DUE TO LIMITED ACCESS TO HEALTHCARE AND WHERE THEY LIVE AND WORK. THEY STAND TO BENEFIT GREATLY FROM CONCERTED EFFORTS TO REDUCE CARBON POLLUTION.

- IN RESPONSE TO AN NRDC LAWSUIT, A FEDERAL COURT STRUCK DOWN AN ENVIRONMENTAL PROTECTION AGENCY ATTEMPT TO SUSPEND RULES TO LIMIT METHANE LEAKS FROM MORE THAN 18,000 NEW WELLS AND ASSOCIATED EQUIPMENT IN THE OIL AND GAS SECTOR. THE AGENCY HAD ANNOUNCED IT WOULD ISSUE A DELAY OF LEAK DETECTION AND REPAIR REQUIREMENTS DESPITE POLLUTION-RELATED RISKS TO THOUSANDS OF PEOPLE LIVING NEAR THESE FACILITIES.

- DESPITE THE ADVANCES AT THE STATE, LOCAL AND INTERNATIONAL LEVELS, FY17 ALSO SAW FEDERAL CLIMATE AND CLEAN ENERGY POLICIES COME UNDER ATTACK FROM THE ADMINISTRATION AND CONGRESS, CAUSING US TO RALLY OUR LITIGATORS, ADVOCATES, MEMBERS AND ACTIVISTS. THROUGHOUT ALL OF THESE ATTACKS, NRDC STOOD STRONG, FIGHTING TO PRESERVE IMPORTANT FEDERAL SAFEGUARDS FOR OUR CLIMATE.

REVIVING THE WORLD'S OCEANS  
OCEANS HELP FEED THE WORLD, PROVIDE A LIVING FOR MULTITUDES, AND SUSTAIN



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MOST OF THE LIFE ON THE GLOBE. NRDC IS WORKING TO PROTECT AND RESTORE OUR SEAS FROM RAMPANT EXPLOITATION. WE'VE WORKED FOR DECADES TO SPOTLIGHT WHAT'S HAPPENING BELOW THE SURFACE, TO STRENGTHEN LAWS THAT ALLOW OVERFISHED SPECIES TO REBOUND AND TO ADVOCATE FOR THE PROTECTION OF COASTAL COMMUNITIES FROM OFFSHORE DRILLING. FROM THE UNITED NATIONS TO REGIONAL FISHERY COUNCILS, WE PROMOTE HEALTHY OCEANS BY ADVOCATING FOR INTERNATIONAL AGREEMENTS THAT PROTECT OCEAN BIODIVERSITY AND MEASURES THAT RESTORE DEPLETED FISH POPULATIONS. HEALTHY OCEANS SUPPORT TRILLIONS OF DOLLARS IN ECONOMIC ACTIVITY. NRDC MADE IMPORTANT PROGRESS THIS YEAR ON SEVERAL KEY FRONTS:

- FOLLOWING YEARS OF ADVOCACY BY NRDC AND OUR PARTNERS, THE WORLD CONSERVATION CONGRESS ADOPTED TWO MOTIONS IN SEPTEMBER TO PROTECT OCEANS: ONE TO ADVANCE CONSERVATION AND SUSTAINABLE USE OF BIOLOGICAL DIVERSITY, INCLUDING THE ESTABLISHMENT OF MARINE PROTECTED AREAS (MPAS), IN HIGH SEAS AREAS THAT ARE BEYOND NATIONAL JURISDICTION, AND ANOTHER TO SUPPORT PROTECTION FOR 30 PERCENT OF THE WORLD'S OCEANS. MPAS CAN HELP SAFEGUARD THE OCEAN FROM MANY HUMAN ACTIVITIES AND ARE SCIENTIFICALLY SUPPORTED TO CONSERVE AND ENABLE RECOVERY OF SPECIES AND HABITATS, AND BUILD ECOSYSTEM RESILIENCE TO RAPID ENVIRONMENTAL CHANGE.

- THE SAME MONTH, PRESIDENT OBAMA ESTABLISHED AMERICA'S FIRST MARINE MONUMENT IN THE ATLANTIC OCEAN, DESIGNATING A 4,900 SQUARE-MILE OCEAN AREA ABOUT 150 MILES SOUTHEAST OF THE COAST OF CAPE COD, MASSACHUSETTS. THE MONUMENT AREA WILL BE PERMANENTLY OFF LIMITS TO OFFSHORE OIL AND GAS

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DRILLING, COMMERCIAL FISHING, DEEP SEABED MINING AND OTHER FORMS OF COMMERCIAL EXPLOITATION. NRDC WORKED FOR YEARS TO MAP OUT THE AREA PROPOSED FOR PROTECTION AND RALLY THE SUPPORT OF A DIVERSE COALITION.

- MID-ATLANTIC STATES FROM NEW YORK TO VIRGINIA, ALONG WITH FEDERALLY RECOGNIZED TRIBES, THE REGIONAL FISHERY MANAGEMENT COUNCIL, AND EIGHT FEDERAL AGENCIES TOGETHER RELEASED A FIRST-EVER DRAFT PLAN TO COORDINATE SUSTAINABLE USE AND CONSERVATION OF THE OCEAN ALONG THE ATLANTIC COAST. THE PLAN AIMS TO IMPROVE GOVERNANCE AMONG DOZENS OF FEDERAL AND STATE AGENCIES WITH OVERLAPPING AND SOMETIMES CONFLICTING RESPONSIBILITIES FOR ADDRESSING OCEAN DEVELOPMENT.

- MEANWHILE, NEW YORK RELEASED ITS OWN ACTION PLAN, A BLUEPRINT FOR THE STATE'S OCEAN PROTECTION AND SUSTAINABLE DEVELOPMENT EFFORTS OVER THE NEXT 10 YEARS. AS PART OF A COALITION OF STATE AND NATIONAL ENVIRONMENTAL NONPROFITS, NRDC URGED STATE AGENCIES TO JOIN FORCES IN DEVELOPING THE PLAN AND PROVIDED MULTIPLE ROUNDS OF COMMENTS. THE STATE ALSO ESTABLISHED A TASK FORCE TO RESPOND TO THE IMPACTS OF OCEAN ACIDIFICATION.

- IN CALIFORNIA, NRDC AND OUR PARTNERS PERSUADED THE STATE'S LANDS COMMISSION TO REJECT A PROPOSAL TO EXPAND OIL DRILLING IN STATE WATERS OFF THE COAST OF SANTA BARBARA. IN A RELATED MILESTONE, THE CALIFORNIA SENATE PASSED A RESOLUTION WITH BROAD BIPARTISAN SUPPORT, STATING UNEQUIVOCAL SUPPORT FOR THE CURRENT BAN ON NEW OIL AND GAS DRILLING IN FEDERAL WATERS OFFSHORE CALIFORNIA. NRDC STAFF PROVIDED THE FIRST DRAFT

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OF THE RESOLUTION AND WORKED WITH KEY SENATE STAFF TO USHER IT THROUGH THE LEGISLATIVE PROCESS.

- AT THE SAME TIME, OUR OCEANS ALSO CAME UNDER INCREASING ATTACKS FROM THE TRUMP ADMINISTRATION AND MANY IN CONGRESS WHO ARE PUSHING TO UNDERMINE SAFEGUARDS ON OUR FISHERIES AND OCEANS TO ALLOW UNSUSTAINABLE FISHERIES PRACTICES AND OFFSHORE OIL DRILLING ALONG OUR COASTS. NRDC IS STANDING STRONG IN COURT AND THROUGH RESEARCH AND ADVOCACY TO KEEP SAFEGUARDS FOR OUR OCEANS IN PLACE.

DEFENDING ENDANGERED WILDLIFE AND WILD PLACES  
NRDC PROTECTS WILDLIFE AND UNSPOILED LANDS FROM THE THREATS OF INDUSTRIAL DEVELOPMENT, COMMERCIAL EXPLOITATION, POLLUTION, AND CLIMATE CHANGE. WE PARTNER WITH RANCHERS, FARMERS, CLEAN ENERGY COMPANIES, AND THE GOVERNMENT TO PROMOTE SOLUTIONS THAT HELP WILD PREDATORS COEXIST WITH LIVESTOCK AND PEOPLE. WE PUSH FOR INTERNATIONAL AGREEMENTS AND DOMESTIC POLICIES THAT SHIELD ELEPHANTS, RHINOS, AND OTHER ANIMALS FROM BEING KILLED FOR TRADE. WE ALSO FIGHT TO KEEP RECKLESS OIL AND GAS DRILLING OUT OF WILD AREAS, FROM UTAH'S RED ROCK COUNTRY TO THE ARCTIC NATIONAL WILDLIFE REFUGE. SEVERAL LONG-TERM EFFORTS CAME TO FRUITION THIS YEAR WITH THE PROTECTION OF SOME OF THE WORLD'S MOST FRAGILE AND EMBATTLED WILDLIFE AND WILD PLACES:

- IN AN HISTORIC VICTORY, PRESIDENT OBAMA ANNOUNCED IN DECEMBER 2016 THAT HE WOULD PERMANENTLY REMOVE MOST U.S. ARCTIC WATERS AND HUGE PORTIONS OF THE U.S. ATLANTIC OCEAN FROM OIL AND GAS LEASING. ONE OF THE LAST TRULY

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WILD PLACES ON EARTH, THE ARCTIC OCEAN, IS VITAL TO A RICH AND DIVERSE ARRAY OF MARINE LIFE, INCLUDING SALMON, POLLACK, AND 96 OTHER SPECIES OF FISH, AS WELL AS BOWHEAD, BELUGA, AND GRAY WHALES. NRDC'S MULTIFACETED ADVOCACY IN SUPPORT OF THE DECISION INCLUDED A POLICY REPORT, BASED ON STATE-OF-THE-ART MODELING, SHOWING THAT AN OIL SPILL WOULD SPREAD THROUGHOUT ARCTIC WATERS, JEOPARDIZING VULNERABLE WILDLIFE AND ICONIC COASTS.

- LESS THAN A WEEK LATER, PRESIDENT OBAMA MADE CONSERVATION HISTORY AGAIN BY DESIGNATING BEARS EARS - A MASSIVE SWATH OF LAND IN SOUTHEASTERN UTAH - AS A NATIONAL MONUMENT AND CREATING A TRIBAL COMMISSION TO HELP MANAGE IT. IN A SEPARATE VICTORY FOR UTAH'S EXTRAORDINARY WILDLANDS, A FEDERAL JUDGE SIDED WITH NRDC AND OUR PARTNERS AND BLOCKED DRILLING PERMITS APPROVED BY THE BUREAU OF LAND MANAGEMENT IN DESOLATION CANYON UNTIL THE AGENCY ASSESSES THE CUMULATIVE IMPACTS OF THE PROJECT.

- IN A BREAKTHROUGH IN OUR EFFORTS TO PROTECT POLLINATORS, THE RUSTY PATCHED BUMBLE BEE - WHICH HAS DISAPPEARED FROM ALMOST 90 PERCENT OF ITS HISTORIC RANGE OVER THE PAST TWO DECADES - WAS ADDED TO THE U.S. ENDANGERED SPECIES LIST. AFTER LEGAL PRESSURE FROM NRDC COMPELLED THE U.S. FISH AND WILDLIFE SERVICE TO PROPOSE FEDERAL PROTECTION FOR THIS IMPORTANT POLLINATOR, THE TRUMP ADMINISTRATION ATTEMPTED TO FREEZE THE PROTECTIONS SHORTLY AFTER TAKING OFFICE. FACING ANOTHER NRDC LAWSUIT AND MORE THAN 130,000 MESSAGES FROM NRDC ACTIVISTS AND OTHERS, THE ADMINISTRATION REVERSED COURSE.

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- NRDC HELPED SECURE A NUMBER OF BIG WINS AT THE 17TH CONFERENCE OF THE PARTIES TO THE CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES (CITES) IN JOHANNESBURG. WE HELPED LEAD THE EFFORT TO BAN THE INTERNATIONAL COMMERCIAL TRADE IN PANGOLINS, WHOSE SCALES ARE USED FOR MEDICINE. WE WERE INSTRUMENTAL IN RESTRICTING THE TRADE IN THRESHER AND SILKY SHARKS (FINS) AND MOBULA RAY (GILL PLATES), ALL OF WHICH HAVE SUFFERED STEEP DECLINES DUE TO UNSUSTAINABLE DEMAND FROM ASIAN MARKETS. WE HELPED ENSURE PASSAGE OF A HOTLY-DEBATED RESOLUTION ENCOURAGING COUNTRIES TO BAN THEIR DOMESTIC IVORY MARKETS AND ONE CRACKING DOWN ON TRAFFICKING IN TOTOABA FISH, WHICH HAS ALSO DECIMATED VAQUITA DOLPHIN POPULATIONS. WE ALSO DEFEATED PROPOSALS FROM SEVERAL AFRICAN COUNTRIES TO OPEN UP TRADE IN ELEPHANT IVORY AND RHINO HORN.

- IN A RELATED VICTORY, CHINA ANNOUNCED IT WOULD SHUT DOWN ITS COMMERCIAL IVORY MARKET, THE LARGEST IN THE WORLD, BY THE END OF 2017. LEADING UP TO THE MOVE, NRDC STAFF MET WITH CHINESE GOVERNMENT OFFICIALS, SPONSORED PUBLICATIONS ON HOW TO OVERCOME LEGAL BARRIERS TO AN IVORY BAN, AND SUPPORTED MOTIONS AND RESOLUTIONS AT GLOBAL CONSERVATION CONFERENCES TO SHOW THAT OTHER COUNTRIES WOULD FOLLOW CHINA'S LEAD.

- THE U.S. FISH AND WILDLIFE SERVICE ANNOUNCED THAT IT WOULD KEEP THE COASTAL CALIFORNIA GNATCATCHER ON THE THREATENED SPECIES LIST, DESPITE A CHALLENGE FROM PROPERTY DEVELOPPERS REGARDING THE SCIENTIFIC BASIS FOR PROTECTING THE SPECIES. NRDC HAS WORKED FOR DECADES TO PROTECT THE SMALL

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INSECT-EATING BIRD.

- SIDING WITH NRDC AND OUR PARTNERS, A FEDERAL APPEALS COURT RULED THAT THE NATIONAL MARINE FISHERIES SERVICE ILLEGALLY APPROVED A PERMIT AUTHORIZING THE NAVY TO USE ITS HIGH-INTENSITY LONG-RANGE SONAR IN MORE THAN 70 PERCENT OF THE WORLD'S OCEANS. DESIGNED FOR SUBMARINE DETECTION OVER VAST EXPANSES OF DEEP SEA, THE NAVY'S POWERFUL SONAR SYSTEMS HAVE THE CAPACITY TO INJURE AND KILL WHALES AND OTHER MARINE MAMMALS.

- IN A MAJOR VICTORY IN OUR WORK TO FIGHT TAR SANDS, THE CANADIAN FEDERAL GOVERNMENT REJECTED THE PROPOSED ENBRIDGE NORTHERN GATEWAY TAR SANDS PIPELINE THAT WOULD HAVE CROSSED BRITISH COLUMBIA TERMINATING AT THE GREAT BEAR RAINFOREST. NRDC STAFF, MEMBERS, AND ACTIVISTS FOUGHT FOR MANY YEARS TO DEFEAT THIS PIPELINE PROJECT AND PROTECT THE SPIRIT BEAR COAST.

- THE U.S. DEPARTMENT OF THE INTERIOR RELEASED THE LANDMARK WIND AND SOLAR LEASING RULE, ORIGINALLY PROPOSED JOINTLY BY NRDC AND THE WILDERNESS SOCIETY FIVE YEARS EARLIER. THE RULE IS DESIGNED TO SPEED THE RESPONSIBLE DEVELOPMENT OF WIND AND SOLAR PROJECTS ON PUBLIC LANDS BY MODERNIZING AN ANTIQUATED PERMITTING SYSTEM AND ENSHRINING LOW-CONFLICT DEVELOPMENT.

- IN A VICTORY IN OUR WORK TO PROTECT WILDLANDS IN SOUTHERN CALIFORNIA, NRDC AND A BROAD COALITION OF ENVIRONMENTAL GROUPS ACHIEVED A SUCCESSFUL SETTLEMENT WITH THE TRANSPORTATION CORRIDOR AGENCIES OF ORANGE COUNTY TO

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PROTECT ONE OF CALIFORNIA'S MOST POPULAR STATE PARKS, SAN ONOFRE STATE BEACH, FROM A MAJOR TOLL ROAD.

- ALSO IN SOUTHERN CALIFORNIA, WE HELPED PREVENT THE DEVELOPMENT OF THE GREGORY CANYON LANDFILL, A PROPOSED 300-ACRE GARBAGE DUMP ON THE BANKS OF THE SAN LUIS REY RIVER IN NORTHERN SAN DIEGO COUNTY. THE PALA TRIBE OF MISSION INDIANS AND OTHER LUISENO TRIBES HAD BEEN BATTLING THE PROJECT FOR DECADES, SUPPORTED BY NRDC AND A BROAD COALITION OF INDIVIDUALS AND ORGANIZATIONS IN THE REGION.

- AT THE SAME TIME, THE THREATS TO OUR WILD PLACES AND WILDLIFE HAVE NEVER BEEN MORE DIRE FROM THE TRUMP ADMINISTRATION AND MANY IN CONGRESS. NRDC IS HOLDING THE LINE IN THE COURTS AND THROUGH OUR RESEARCH AND ADVOCACY TO TRY TO SAFEGUARD OUR MONUMENTS AND OTHER PRECIOUS PUBLIC LANDS AND TO PROTECT BEDROCK ENVIRONMENTAL LAWS SUCH AS THE "ENDANGERED SPECIES ACT."

PROTECTING PUBLIC HEALTH BY PREVENTING POLLUTION GETTING RID OF TOXIC CHEMICALS IN OUR ENVIRONMENT - IN THE FOOD WE EAT, THE AIR WE BREATHE, THE WATER WE DRINK, AND THE PRODUCTS WE BUY - CAN HELP PROTECT THE HEALTH OF MILLIONS OF PEOPLE. WHEN PUBLIC AGENCIES FAIL TO PROTECT CONSUMERS, WORKERS, AND CHILDREN FROM DANGEROUS CHEMICALS, NRDC TAKES THEM TO COURT. WE BUILD PRESSURE ON MAJOR FOOD COMPANIES TO ADOPT SAFER AND MORE SUSTAINABLE PRACTICES THROUGHOUT THEIR SUPPLY CHAIN. WE ALSO TEAM UP WITH LOCAL COMMUNITIES TO ELIMINATE HEALTH DANGERS IN THEIR HOMES. HERE ARE SOME KEY MILESTONES FROM THE YEAR:

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- FOLLOWING YEARS OF STEADY LEGAL PRESSURE FROM NRDC, THE U.S. FOOD AND DRUG ADMINISTRATION BANNED THE DANGEROUS CHEMICAL TRICLOSAN IN ANTIBACTERIAL HAND SOAPS. TRICLOSAN HAS BEEN SHOWN TO ALTER HORMONE FUNCTION AND DISRUPT MUSCLE FUNCTION. THE FDA HAD PROPOSED A RULE TO BAN THE CHEMICAL NEARLY FOUR DECADES AGO, BUT NEVER FINALIZED IT.

- UNDER PRESSURE FROM A MULTI-FRONT CAMPAIGN BY NRDC, KFC ANNOUNCED IT WOULD PHASE OUT CHICKEN RAISED WITH MEDICALLY IMPORTANT ANTIBIOTICS FROM ITS 4,200 U.S. RESTAURANTS BY 2018 - A LANDMARK VICTORY IN OUR EFFORTS TO PROTECT PUBLIC HEALTH. NRDC ALSO HELPED SECURE A NEW LAW IN MARYLAND TO REIN IN THE MISUSE AND OVERUSE OF MEDICALLY IMPORTANT ANTIBIOTICS IN FOOD-PRODUCING ANIMALS THAT ARE NOT SICK. TO ADVANCE THE LEGISLATION, WE LED A COALITION OF STAKEHOLDERS, ENGAGED MEDICAL PROFESSIONALS, RECRUITED THE GOVERNOR'S SUPPORT, EDUCATED LEGISLATORS, AND DRAFTED THE MODEL POLICY.

- IN RESPONSE TO AN NRDC LAWSUIT, THE ENVIRONMENTAL PROTECTION AGENCY REINSTATED A RULE THAT WILL PROTECT THE PUBLIC FROM MORE THAN FIVE TONS OF MERCURY DISCHARGES EACH YEAR FROM DENTAL OFFICES ACROSS THE NATION. MERCURY CAN DISRUPT BRAIN FUNCTION AND HARM THE NERVOUS SYSTEM, AND IS ESPECIALLY HARMFUL TO PREGNANT WOMEN, BABIES AND YOUNG CHILDREN, EVEN AT TINY LEVELS OF EXPOSURE.

- A CALIFORNIA AGENCY ADDED GLYPHOSATE - THE ACTIVE INGREDIENT IN



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MONSANTO'S ROUNDUP AND OTHER HERBICIDE PRODUCTS AND A THREAT TO BOTH HUMANS AND MONARCH BUTTERFLIES - TO ITS PUBLIC LIST OF SUBSTANCES "KNOWN TO THE STATE TO CAUSE CANCER." AFTER MONSANTO SUED THE AGENCY TO TRY TO STOP THE LISTING, NRDC CONVENED A COALITION OF ENVIRONMENTAL AND LABOR GROUPS TO DEFEND IT. MONSANTO LOST IN TRIAL COURT, AND THE CALIFORNIA SUPREME COURT AND COURT OF APPEAL DENIED THE COMPANY'S REQUESTS TO STAY THE LISTING PENDING APPEAL.

- THE U.S. DEPARTMENT OF AGRICULTURE TOOK ACTION IN DECEMBER TO HELP MAKE EXPIRATION DATE LABELING ON EGGS, MEAT AND DAIRY PRODUCTS LESS CONFUSING, AND ELIMINATE A KEY CAUSE OF CONSUMER FOOD WASTE IN THE U.S. THE MOVE CAME IN THE MIDST OF A NATIONAL PUBLIC SERVICE CAMPAIGN FROM NRDC AND THE AD COUNCIL CALLED "SAVE THE FOOD" WHICH IS DESIGNED TO COMBAT CONSUMER FOOD WASTE. MEANWHILE, A REPORT CO-RELEASED BY NRDC PROVIDED A ROAD MAP FOR THE FEDERAL GOVERNMENT TO REDUCE FOOD WASTE AND COMBAT HUNGER THROUGH FEDERAL POLICY. IN A RELATED MILESTONE, THE TWO MAJOR FOOD INDUSTRY RETAIL AND MANUFACTURER ASSOCIATIONS ANNOUNCED VOLUNTARY GUIDELINES TO HELP MAKE DATE LABELING ON FOOD PRODUCTS LESS CONFUSING.

- SIDING WITH NRDC AND OUR PARTNERS, A FEDERAL APPEALS COURT IN CALIFORNIA REVOKED THE U.S. ENVIRONMENTAL PROTECTION AGENCY'S CONDITIONAL APPROVAL OF A NANOSILVER PRODUCT USED IN A VARIETY OF HOUSEHOLD, OFFICE, PLASTIC, AND TEXTILE PRODUCTS AS AN ANTIMICROBIAL AGENT. NANOSILVER IS KNOWN TO BE HIGHLY TOXIC TO AQUATIC LIFE AND MAY BE HAZARDOUS TO HUMANS.

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- IN THE WAKE OF PRESIDENT TRUMP'S ANNOUNCEMENT THAT HE PLANNED TO WITHDRAW THE UNITED STATES FROM THE PARIS CLIMATE AGREEMENT, A NEW NRDC REPORT SHOWED THAT WORSENING SUMMER HEATWAVES COULD KILL 14,000 AMERICANS EACH YEAR BY MID-CENTURY, AND AS MANY AS 30,000 A YEAR BY THE END OF THE CENTURY, IF THE COUNTRY DOES NOT FULLFILL ITS COMMITMENTS UNDER THE GLOBAL PACT.

- PRESIDENT TRUMP HAS ALSO DIRECTED HIS AGENCIES TO UNDERMINE CRITICAL SAFEGUARDS AGAINST TOXIC CHEMICALS IN FOOD AND HOUSEHOLD PRODUCTS, TOXINS THAT ARE ESPECIALLY DANGEROUS FOR OUR CHILDREN. THROUGH LITIGATION, RESEARCH AND ADVOCACY, NRDC IS WORKING TO HOLD THE LINE OF SAFEGUARDS FOR OUR HEALTH.

ENSURING SAFE AND SUFFICIENT WATER  
WATER IS ONE OF THE MOST CRITICAL NATURAL RESOURCES WHEN IT COMES TO SUSTAINING OUR COMMUNITIES, ECONOMIES, AND HEALTH. NRDC FIGHTS FOR A CLEAN, SAFE, AND SUFFICIENT WATER SUPPLY. WE PUSH THE FEDERAL GOVERNMENT TO STRENGTHEN PROTECTIONS FOR DRINKING WATER, AND WE FIND WAYS FOR CITIES TO KEEP POLLUTION OUT OF RIVERS AND LAKES. OUR WORK ALSO HELPS HOMES, BUILDINGS, FARMS, AND POWER PLANTS USE WATER AS EFFICIENTLY AS POSSIBLE, SO THAT THERE'S PLENTY FOR ALL OF US AND FOR FUTURE GENERATIONS.

- IN RESPONSE TO A LAWSUIT FILED BY NRDC AND OUR LOCAL PARTNERS, THE CITY OF FLINT AND STATE OF MICHIGAN AGREED IN MARCH TO REPLACE LEAD SERVICE LINES AND INSTITUTE AN EFFECTIVE LEAD-MONITORING SYSTEM FOR THE CITY. FIVE MONTHS EARLIER, IN RESPONSE TO LEGAL ACTION BY NRDC AND OUR

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PARTNERS, A FEDERAL DISTRICT COURT IN DETROIT GRANTED A PRELIMINARY INJUNCTION REQUIRING CITY AND STATE OFFICIALS TO PROVIDE DOOR-TO-DOOR BOTTLED WATER DELIVERY TO ALL FLINT HOMES OR VERIFY THAT A FAUCET FILTER IS PROPERLY INSTALLED AND MAINTAINED IN THE HOME. FOR MORE THAN TWO YEARS, UNFILTERED TAP WATER IN THE CITY HAD BEEN UNSAFE DUE TO ELEVATED LEAD LEVELS.

- IN A SEPARATE MILESTONE IN OUR WORK TO ENSURE SAFE DRINKING WATER, ILLINOIS GOVERNOR BRUCE RAUNER SIGNED INTO LAW A BILL REQUIRING ALL ELEMENTARY SCHOOLS AND DAYCARE CENTERS TO TEST DRINKING WATER FOR LEAD. THE LAW IS THE RESULT OF NEGOTIATIONS, LED BY ATTORNEY GENERAL LISA MADIGAN AND VARIETY OF ORGANIZATIONS INCLUDING NRDC, WHICH BEGAN IN EARLY 2016 WHEN CHICAGO PUBLIC SCHOOLS BEGAN VOLUNTARILY TESTING SCHOOL DRINKING WATER FOR LEAD AND FINDING ALARMING RESULTS.

- ACCORDING TO A GROUNDBREAKING REPORT RELEASED BY NRDC, 77 MILLION PEOPLE - ROUGHLY A QUARTER OF U.S. POPULATION - SPREAD ACROSS ALL 50 STATES WERE SERVED BY WATER SYSTEMS REPORTING VIOLATIONS OF THE SAFE DRINKING WATER ACT IN 2015. THE OFFENSES RANGED FROM ARSENIC TO NITRATE CONTAMINATION, AND INCLUDED OFTEN SERIOUS FAILURES TO TEST OR REPORT CONTAMINATION LEVELS. A REPORT ON DRINKING WATER VIOLATIONS IN PUERTO RICO SHOWED THAT VIRTUALLY THE ENTIRE POPULATION DREW TAP WATER IN 2015 FROM WATER SYSTEMS THAT VIOLATED FEDERAL RULES SET UNDER THE SAFE DRINKING WATER ACT.

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- HALF OF THE DRINKING WATER IN DROUGHT-PRONE CALIFORNIA'S CITIES IS USED OUTDOORS. IN RESPONSE, THE STATE'S WATER OFFICIALS CONVENED A PANEL IN 2013 TO RECOMMEND STEPS THAT WOULD DRAMATICALLY REDUCE OUTDOOR WATER USE. NRDC WAS THE ONLY ENVIRONMENTAL GROUP INVITED TO JOIN AND OUR STAFF HELPED DRAFT MANY OF ITS 19 RECOMMENDATIONS. IN SEPTEMBER, ONE OF THE PROPOSALS - A MEASURE REQUIRING STATE LANDSCAPE EFFICIENCY STANDARDS TO BE UPDATED EVERY THREE YEARS AND INCLUDED IN STATE BUILDING CODE - WAS SIGNED INTO LAW.

- IN RESPONSE TO A BARRAGE OF FEDERAL-LEVEL ROLLBACKS, THE CALIFORNIA SENATE PASSED "PRESERVE CALIFORNIA", A TRIO OF BILLS AIMED AT PROTECTING EVERYTHING FROM WATER QUALITY AND ENDANGERED SPECIES TO WORKER SAFETY AND WHISTLEBLOWERS. NRDC STAFF HELPED DRAFT THE LEGISLATION, INCLUDING A BILL THAT WOULD MAKE EXISTING FEDERAL LAWS SUCH AS THE "CLEAN AIR" AND "CLEAN WATER" ACTS ENFORCEABLE UNDER CALIFORNIA LAW.

- THE CALIFORNIA STATE WATER RESOURCES CONTROL BOARD VOTED TO EXTEND THE EMERGENCY REGULATIONS WHICH REQUIRE WATER SUPPLIERS TO ENFORCE PROHIBITED WASTEFUL PRACTICES SUCH AS ALLOWING IRRIGATION RUNOFF TO FLOW TO HARDSCAPES, REPORT WATER USE MONTHLY, AND MEET CONSERVATION TARGETS ESTABLISHED UNDER A SELF-CERTIFICATION FRAMEWORK. NRDC WORKED WITH PROGRESSIVE WATER SUPPLIERS TO ENSURE A HIGH TURN OUT TO SUPPORT THE EXTENSION AND MANAGED TO GET MANY WATER SUPPLIERS TO SPEAK IN SUPPORT.

- AS PART OF OUR DECADES-LONG EFFORT TO RESTORE CALIFORNIA'S SAN JOAQUIN

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RIVER, THE BUREAU OF RECLAMATION SIGNED A RECORD OF DECISION TO OFFICIALLY START A BYPASS PROJECT THAT WOULD ALLOW FOR FISH PASSAGE AROUND MENDOTA DAM. THIS MARKS AN IMPORTANT STEP IN ALLOWING SALMON ONCE AGAIN TO MIGRATE UP THE RIVER TO SPAWN BELOW FRIANT DAM.

- IN A VICTORY FOR OUR WORK TO REDUCE STORMWATER POLLUTION IN LOS ANGELES, A JUDGE APPROVED A SETTLEMENT BETWEEN NRDC, LA WATERKEEPER, THE COUNTY OF LOS ANGELES, AND THE LA COUNTY FLOOD CONTROL DISTRICT. THE SETTLEMENT RESOLVES A LAWSUIT ORIGINALLY FILED IN 2008 THAT HELD THE COUNTY LIABLE FOR MORE THAN 500 VIOLATIONS OF ITS FEDERAL CLEAN WATER ACT PERMIT. AS PART OF THE SETTLEMENT, THE COUNTY IS FUNDING \$4 MILLION IN ENVIRONMENTAL IMPROVEMENTS, INCLUDING A GREEN STREETS PROJECT IN THE HISTORIC COMMUNITY OF WATTS AND RESIDENTIAL RETROFITS ACROSS THE COUNTY TO CAPTURE STORMWATER.

- THE U.S. HOUSE FINANCIAL SERVICES SUBCOMMITTEE ON HOUSING & INSURANCE RELEASED A PACKAGE OF DISCUSSION BILLS FOR REAUTHORIZING THE NATIONAL FLOOD INSURANCE PROGRAM THAT INCLUDED MANY OF NRDC'S LEGISLATIVE PROPOSALS. THESE PROPOSALS ARE WIDELY SUPPORTED AND INCLUDE A PILOT PROGRAM PROVIDING LOW AND MIDDLE INCOME OWNERS OF REPEATEDLY FLOODED HOMES THE ABILITY TO RELOCATE WITH A GUARANTEE OF PRE-FLOOD VALUE BUYOUT, HOMEOWNER RIGHT-TO-KNOW PROVISIONS OF FLOOD DAMAGE HISTORY, AND DIRECTION TO FEMA TO MAKE MORE OF THEIR FLOOD LOSS AND ZONING COMPLIANCE DATA AVAILABLE.

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- THE TRUMP ADMINISTRATION AND MANY IN CONGRESS ARE WORKING TO UNDERMINE THE CLEAN WATER RULE. NRDC IS FIGHTING BACK TO PRESERVE THIS CRITICAL RULE THAT HELPS PROTECT OUR NATION'S WATERS.

#### FOSTERING SUSTAINABLE COMMUNITIES

MORE THAN 80 PERCENT OF AMERICANS LIVE IN CITIES AND NEARBY SUBURBS, AND THIS NUMBER IS GROWING RAPIDLY. AS OUR CITIES GROW, NRDC WORKS TO MAKE SURE THEY BECOME HEALTHIER, MORE SUSTAINABLE PLACES TO LIVE. OUR LAWYERS GO TO COURT ON BEHALF OF COMMUNITIES SEEKING TO DEFEND THEMSELVES FROM POLLUTERS. WE PARTNER WITH COMMUNITIES TO INCREASE ENERGY EFFICIENCY IN THEIR BUILDINGS AND EXPAND ACCESS TO CLEAN ENERGY SOLUTIONS AND HEALTHIER FOOD. WE ALSO HELP EMPOWER COMMUNITIES TO FIND SOLUTIONS THAT WORK, AND THEN TAKE THE BEST IDEAS NATIONWIDE.

- THROUGH THE STRONG, PROSPEROUS AND RESILIENT COMMUNITIES CHALLENGE (SPARCC), NRDC JOINED FORCES WITH COMMUNITY MEMBERS, LOCAL PRACTITIONERS, POLICYMAKERS, AND INVESTORS ACROSS THE COUNTRY TO HELP ENSURE THAT NEW INVESTMENTS IN INFRASTRUCTURE, TRANSIT, HOUSING, HEALTH, AND CLIMATE RESILIENCE CREATE COMMUNITIES WHERE EVERYONE CAN THRIVE. SPARCC LEVERAGES NRDC'S URBAN SOLUTIONS WORK WHILE TAPPING INTO EXPERTISE FROM ACROSS OUR PROGRAM AREAS, FROM FOOD EQUITY AND GREEN INFRASTRUCTURE TO CAR SHARING. THE FIRST RECIPIENTS IN SPARCC'S THREE-YEAR, \$90 MILLION INITIATIVE ARE ATLANTA, CHICAGO, DENVER, LOS ANGELES, MEMPHIS, AND THE SAN FRANCISCO BAY AREA.

- MAYORS FROM 10 U.S. CITIES ANNOUNCED THAT THEY WERE JOINING THE CITY

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ENERGY PROJECT (CEP), A JOINT INITIATIVE BETWEEN NRDC AND THE INSTITUTE FOR MARKET TRANSFORMATION THAT AIMS TO IMPROVE ENERGY EFFICIENCY IN BUILDINGS, THE LEADING SOURCE OF CLIMATE POLLUTION IN OUR URBAN CENTERS - DOUBLING THE NUMBER OF PARTICIPANTS. ELEVEN OF THE 20 PARTICIPATING CITIES HAVE ENACTED COMPREHENSIVE ENERGY EFFICIENCY POLICIES FOR PUBLIC AND PRIVATE BUILDINGS.

\* WITH STRONG SUPPORT FROM CEP, LOS ANGELES PASSED ONE OF THE NATION'S MOST AMBITIOUS BUILDING ENERGY AND WATER EFFICIENCY POLICIES.

\* A SECOND CEP SITE, SALT LAKE CITY, PASSED A LAW AIMED AT CUTTING ENERGY COSTS, IMPROVING LOCAL AIR QUALITY, AND REDUCING THE CITY'S CARBON FOOTPRINT, WHICH IS PROJECTED TO SAVE LOCAL BUILDING OWNERS \$15.8 MILLION IN ANNUAL ENERGY COSTS AND ELIMINATE OVER 29 TONS OF AIR POLLUTION.

- IN A MILESTONE IN OUR WORK TO PROMOTE ELECTRIC VEHICLES, THE NEW YORK CITY COUNCIL ADOPTED A BILL ESTABLISHING A PILOT PROGRAM FOR ELECTRIC VEHICLE CHARGING STATIONS. THE BILL WOULD REQUIRE THE CITY TO BUILD 25 CHARGING STATIONS, WITH AT LEAST TWO IN EACH BOROUGH BY 2018.

- WORKING WITH OUR PARTNERS IN ENERGY EFFICIENCY FOR ALL, A COALITION OF ENERGY, ENVIRONMENTAL, AND HOUSING ORGANIZATIONS, NRDC HELPED SECURE A NEW LAW IN MARYLAND THAT REQUIRES SAVINGS OF 2 PERCENT IN ELECTRICITY SALES ANNUALLY THROUGH 2023. THE LEGISLATION ALSO REQUIRES THAT HEALTH AND OTHER NON-ENERGY BENEFITS BE ACCOUNTED FOR IN DETERMINING THE

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COST-EFFECTIVENESS OF ENERGY EFFICIENCY PROGRAMS.

- FOLLOWING TWO YEARS OF DETERMINED ADVOCACY IN CALIFORNIA, NRDC SUCCEEDED IN HELPING TO TRANSFORM A POLLUTING HIGHWAY-FUNDING BILL THAT THREATENED TO GUT ENVIRONMENTAL PROTECTIONS INTO A MAJOR MILESTONE FOR SUSTAINABLE TRANSPORTATION. PARTNERING WITH HEALTH ORGANIZATIONS, AS WELL AS BICYCLE ADVOCACY AND EQUITY GROUPS, NRDC HELPED SECURE A FINAL \$52 BILLION PACKAGE - WHICH PASSTED BOTH HOUSES OF THE LEGISLATURE WITH TWO-THIRDS SUPER MAJORITIES - THAT INCLUDES STRATEGIES NRDC HAS LONG SUPPORTED TO LIMIT POLLUTION AND HABITAT LOSS. NRDC ALSO SUCCEEDED IN TRIPLING THE BILL'S INVESTMENT IN PUBLIC TRANSPORTATION AND NEARLY DOUBLING STATE FUNDING FOR SAFE WALKING AND BIKING.

- LOS ANGELES MAYOR ERIC GARCETTI LAUNCHED THE BLUE LA ELECTRIC CAR SHARING PROGRAM - A DIRECT RESULT OF NRDC'S LEGISLATIVE ADVOCACY TO SECURE CAP AND TRADE FUNDING FOR THE PROGRAM AND OUR PARTICIPATION IN THE LOCAL STEERING COMMITTEE WITH COMMUNITY - BASED ORGANIZATIONS. THE PROGRAM WILL BRING 200 ELECTRIC CARSHARE VEHICLES AND 200 ELECTRIC VEHICLE-CHARGING STATIONS TO LOWER-INCOME NEIGHBORHOODS IN THE CITY.

- IN A RELATED MILESTONE, THE CITY OF LOS ANGELES ADOPTED THE TRANSIT ORIENTED COMMUNITIES AFFORDABLE HOUSING INCENTIVE PROGRAM. NRDC WORKED WITH COMMUNITY MEMBERS FOR SEVERAL YEARS TO DESIGN THE PROGRAM, A KEY COMPONENT OF AN NRDC-SUPPORTED BALLOT MEASURE THAT WILL ALLOW EXTRA DENSITY AND PARKING REDUCTIONS IN DEVELOPMENTS BUILT WITHIN A HALF MILE



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OF A MAJOR TRANSIT STOP IN EXCHANGE FOR GUARANTEEING AFFORDABILITY AND PROMOTING WALKABLE, TRANSIT-CONNECTED, SUSTAINABLE AND VIBRANT NEIGHBORHOODS.

- ALSO IN LOS ANGELES, WE LAUNCHED THE "100 HOURS CAMPAIGN". THE NAME REFERS TO THE 104 HOURS A YEAR THAT LOS ANGELES RESIDENTS LOSE TO TRAFFIC CONGESTION - THE WORST IN THE NATION AND AMONG THE WORST IN THE WORLD. NRDC IS WORKING WITH THE SOUTHERN CALIFORNIA ASSOCIATION OF GOVERNMENTS TO LAUNCH A DIALOGUE ABOUT WHAT IT WILL TAKE TO FINALLY TACKLE LA'S NOTORIOUS TRAFFIC CONGESTION, AND HOW WE CAN MAKE OUR COMMUNITIES HEALTHIER, MORE CLIMATE-FRIENDLY, AND MORE AFFORDABLE AT THE SAME TIME.

- MEANWHILE, THE MAYORS OF LOS ANGELES AND LONG BEACH ISSUED A JOINT DIRECTIVE THAT WILL SPUR TRANSPORTATION ELECTRIFICATION INITIATIVES FOR YEARS TO COME. IN PARTICULAR, THE MAYORS COMMITTED THEIR PORTS TO ACHIEVING A ZERO-EMISSIONS CARGO HANDLING EQUIPMENT FLEET AND A ZERO-EMISSIONS HEAVY DUTY TRUCK FLEET BY 2030 AND 2035, RESPECTIVELY. NRDC STAFF WORKED WITH ENVIRONMENTAL JUSTICE AND OTHER ENVIRONMENTAL GROUPS TO PROVIDE THE FIRST DRAFT OF THE DIRECTIVE.

#### BUILDING A MOVEMENT

NRDC IS COMMITTED TO ENGAGING THE PUBLIC AROUND SOLUTIONS TO ENVIRONMENTAL CHALLENGES. OUR FEDERAL, NATIONAL, AND INTERNATIONAL MEDIA TEAMS ENSURE THAT NRDC IS CITED IN THE PRESS ON A DAILY BASIS. OUR EXPERTS ARE QUOTED IN TOP-TIER NEWSPAPERS, AND WE ARE FREQUENT GUESTS ON LEADING NATIONAL TV AND RADIO NEWS OUTLETS. OUR COMMUNICATIONS TEAM

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PUBLISHES MORE THAN 100 POLICY DOCUMENTS EVERY YEAR, ENSURING THAT NRDC'S ENVIRONMENTAL SOLUTIONS ARE AT THE FOREFRONT AMONG THE PROFESSIONAL COMMUNITY. WE PRODUCE AWARD-WINNING FILMS AND VIDEOS THAT BRING OUR ISSUES TO LIFE AND SPUR VIEWERS TO ACTION. WE HAVE A STRONG SOCIAL MEDIA PRESENCE THAT KEEPS FOLLOWERS INFORMED OF WINS, SETBACKS, AND BREAKING NEWS. WE COMMUNICATE VIA OUR MEMBERSHIP NEWSLETTER, "NATURE'S VOICE," AND ACROSS DIGITAL CHANNELS SUPPORTED BY OUR ENGLISH AND SPANISH WEBSITES. WE PUBLISH ENGAGING, INFORMATIVE ENVIRONMENTAL CONTENT ON OUR WEBSITE AND OTHER DIGITAL NEWS CHANNELS. WE ALSO JOIN FORCES WITH LEADING OUTLETS, INCLUDING DISCOVERY, GLOBAL CITIZENS, FACEBOOK, AND THE AD COUNCIL, TO REACH NEW AUDIENCES AND BROADEN THE IMPACT OF OUR ADVOCACY.

IN 2017, NRDC SAW AN UNPRECEDENTED SURGE IN SUPPORT FOR OUR MISSION. FROM THE PREVIOUS YEAR, OUR BASE OF MEMBERS AND ACTIVISTS JUMPED FROM 2.2 MILLION TO 3.02 MILLION - AN INCREASE OF 37 PERCENT. THE TRUMP ADMINISTRATION'S ENVIRONMENTAL ROLLBACKS ALSO SPARKED AN INCREASE IN GRASSROOTS ACTIVISM, WITH MANY AMERICANS ASKING, "WHAT CAN I DO?" NRDC RESPONDED WITH A RANGE OF NEW TOOLS AND RESOURCES TO KEEP OUR MEMBERS, ACTIVISTS, AND STAFF, AS WELL AS THE BROADER PUBLIC, INFORMED ABOUT WHY, WHERE, AND WHEN TO TAKE ACTION, AND MAKE THEMSELVES HEARD.

- ON SOCIAL MEDIA, WE GREW THE AUDIENCE ON OUR GLOBAL FACEBOOK PAGE FROM 582,943 FANS TO 895,313 FANS (AN INCREASE OF 54 PERCENT) AND REACHED AN AVERAGE OF MORE THAN 741,000 FACEBOOK USERS DAILY THROUGH OUR MESSAGING. ON TWITTER, OUR GLOBAL CHANNEL GREW ITS AUDIENCE FROM 202,405 FOLLOWERS

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TO 263,540 FOLLOWERS (AN INCREASE OF 30 PERCENT) AND GENERATED 97,617,510 IMPRESSIONS. OUR INSTAGRAM AUDIENCE GREW FROM 45,777 FOLLOWERS TO 100,165 FOLLOWERS (AN INCREASE OF 119%).

- ON JANUARY 21, NRDC STAFF, TRUSTEES, MEMBERS, AND ACTIVISTS JOINED MORE THAN 2 MILLION WOMEN AND MEN IN CITIES ACROSS THE UNITED STATES AND ON EVERY CONTINENT TO MARCH FOR A VISION OF AN UNDIVIDED AND JUST WORLD IN REACTION TO PRESIDENT TRUMP'S DEEPLY DIVISIVE AND HOSTILE POSITIONS. NRDC WAS PROUD TO BE A PARTNER IN THE WOMEN'S MARCH AND NRDC PRESIDENT RHEA SUH SPOKE OUT ON BEHALF OF WOMEN AND ENVIRONMENTAL JUSTICE FROM THE STAGE IN WASHINGTON, D.C.

- NRDC AND OUR SUPPORTERS WERE ALSO ON THE GROUND ALONGSIDE MORE THAN 150,000 PEOPLE IN WASHINGTON D.C. AND IN CITIES AROUND THE COUNTRY ON APRIL 29 FOR THE PEOPLE'S CLIMATE MARCH, CALLING FOR CLIMATE ACTION ON PRESIDENT TRUMP'S 100TH DAY IN OFFICE.

- MEANWHILE, WE LAUNCHED A RANGE OF NEW ONLINE RESOURCES TO TRACK THE ANTI-ENVIRONMENTAL ACTIONS OF THE TRUMP ADMINISTRATION AND CONGRESS, INCLUDING A FEDERAL CAMPAIGN PAGE WITH COMPREHENSIVE COVERAGE OF PRESIDENT TRUMP'S ANTI-ENVIRONMENTAL ACTIONS SINCE THE INAUGURATION, HARMFUL CONGRESSIONAL ACTIONS, AND FEDERAL DEFENSE POLICY DOCUMENTS. WE ALSO RELEASED AN ONLINE GUIDE FEATURING PRACTICAL TIPS FOR ACTIVISTS, INCLUDING HOW TO CALL CONGRESS. TO ALSO UNDERSCORE THAT SIGNIFICANT CLIMATE AND CLEAN ENERGY ACTION CONTINUED UNHINDERED IN OTHER PARTS OF

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THE WORLD, WE LAUNCHED A NEW MONTHLY BLOG WRITTEN BY OUR INTERNATIONAL PROGRAM DIRECTOR.

- OUR ENERGY & TRANSPORTATION TEAM TOOK PART IN A #DEFENDEFFICIENCY RADIO TOUR - INCLUDING 20 INTERVIEWS AT STATIONS ACROSS 13 TARGETED STATES. AIMED AT STAVING OFF THE FEDERAL GOVERNMENT'S ASSAULT ON ENERGY EFFICIENCY - INCLUDING PRESIDENT TRUMP'S PROPOSED FY18 BUDGET, DELAYS IN STANDARDS IMPLEMENTATION, AND LEGISLATIVE AND REGULATORY ATTACKS - THE TOUR HELPED RAISE AWARENESS ABOUT THE BENEFITS OF STRONG ENERGY EFFICIENCY POLICIES.

- IN SUPPORT OF NRDC'S CLEAN ENERGY GOALS IN PENNSYLVANIA AND NORTH CAROLINA, WE LAUNCHED TWO E2 CAMPAIGNS TO SHOWCASE HOW CLEAN ENERGY PROMOTES JOBS IN EACH STATE. OUR E2 PROJECT ORGANIZES CEOS, INVESTORS, AND OTHERS TO BRING THE BUSINESS VOICE TO NRDC'S ADVOCACY WORK AND ADVANCE POLICIES THAT PROTECT THE ENVIRONMENT WHILE CREATING JOBS AND BOLSTERING THE ECONOMY.

- THROUGH A MULTI-FACETED NATIONAL CAMPAIGN, WE HELPED PERSUADE KFC, THE LARGEST FAST-FOOD CHICKEN CHAIN IN THE COUNTRY, TO PHASE OUT CHICKEN RAISED WITH MEDICALLY IMPPORTANT ANTIBIOTICS FROM ITS 4,200 U.S RESTAURANTS BY 2018. THE CAMPAIGN FEATURED A SERIES OF ONLINE VIDEOS; A MOBILE BILLBOARD NEAR KFC'S HEADQUARTERS IN LOUISVILLE, KENTUCKY; AN ONLINE PETITION ADDRESSED TO KFC CEO ROGER EATON; AND SOCIAL CHANNELS ON TWITTER, FACEBOOK, AND INSTAGRAM.

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- "SAVE THE FOOD," OUR NATIONAL PUBLIC SERVICE CAMPAIGN LAUNCHED IN 2016 WITH THE AD COUNCIL TO COMBAT FOOD WASTE FROM ITS LARGEST SOURCE - CONSUMERS, GARNERED NEARLY \$45 MILLION IN DONATED MEDIA SUPPORT NATIONALLY. THIS INCLUDED: BROADCAST TV OF OUR AWARD WINNING PSA; BILLBOARDS; TRADITIONAL PRINT ADVERTISING; RADIO AIR-TIME; AND DIGITAL AD MECHANISMS. THROUGH THE CAMPAIGN, NRDC ALSO PARTNERED WITH FACEBOOK, GOOD HOUSEKEEPING, THE FOOD NETWORK, AND SEVERAL OTHER OUTLETS TO BRING OUR MESSAGE DIRECTLY TO CONSUMERS.

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ATTACHMENT 1

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

THE NATURAL RESOURCES DEFENSE COUNCIL'S PURPOSE IS TO SOLVE HUMANITY'S MOST PRESSING ENVIRONMENTAL CHALLENGES. WE CRAFT, ENACT, AND ENFORCE POLICIES AND LAW BY WORKING THROUGH GOVERNMENT AND THE COURTS. WE AIM TO INFLUENCE INDIVIDUALS AND CORPORATIONS THAT DRIVE CULTURAL, MARKET, AND BEHAVIORAL CHANGE.

WE SAFEGUARD THE EARTH: ITS PEOPLE, ITS PLANTS AND ANIMALS, AND THE NATURAL SYSTEMS ON WHICH ALL LIFE DEPENDS. WE WORK TO RESTORE THE INTEGRITY OF THE ELEMENTS THAT SUSTAIN LIFE - AIR, LAND, AND WATER - AND TO DEFEND ENDANGERED NATURAL PLACES AND COMMUNITIES.

WE WILL ESTABLISH SUSTAINABILITY AND GOOD STEWARDSHIP OF THE EARTH AS CENTRAL ETHICAL IMPERATIVES OF HUMAN SOCIETY. WE STRIVE TO PROTECT NATURE TO ADVANCE THE LONG-TERM WELFARE OF PRESENT AND FUTURE GENERATIONS AND FOR ITS INTRINSIC VALUE. WE WORK TO FOSTER THE

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ATTACHMENT 1 (CONT'D)FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

FUNDAMENTAL RIGHT OF ALL PEOPLE TO HAVE A VOICE IN DECISIONS THAT AFFECT THEIR ENVIRONMENT. WE SEEK TO BREAK DOWN THE PATTERN OF DISPROPORTIONATE ENVIRONMENTAL BURDENS BORNE BY PEOPLE OF COLOR AND OTHERS WHO FACE SOCIAL OR ECONOMIC INEQUITIES.

ULTIMATELY, NRDC STRIVES TO HELP CREATE A NEW WAY OF LIFE FOR HUMANKIND, ONE THAT CAN BE SUSTAINED INDEFINITELY WITHOUT FOULING OR DEPLETING THE RESOURCES THAT SUPPORT ALL LIFE ON EARTH.

ATTACHMENT 2FORM 990, PART VI, LINE 17 - STATES

AL, AK, AR, CA, CO, CT,  
DC, FL, GA, HI, IL, KS, KY, LA, ME, MD, MA, MI,  
MN, MS, MO, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA,  
RI, SC, TN, UT, VA, WA, WV, WI,

ATTACHMENT 3990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

| <u>NAME AND ADDRESS</u>   | <u>DESCRIPTION OF SERVICES</u> | <u>COMPENSATION</u> |
|---|--------------------------------|---------------------|
| DEVINE MULVEY INC.<br>1436 U STREET NW - STE. 401<br>WASHINGTON, DC 20009 | ADVERTISING                    | 2,539,027.          |
| THOMPSON MAILING<br>21 NAUS WAY<br>BLOOMSBURG, PA 17815                   | MAILING SERVICES               | 2,068,565.          |
| MARCUM TECHNOLOGY, LLC<br>10 MELVILLE PARK ROAD<br>MELVILLE, NY 11747     | TECHNOLOGY SERVICES            | 1,466,859.          |

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ATTACHMENT 3 (CONT'D)

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

| <u>NAME AND ADDRESS</u>  | <u>DESCRIPTION OF SERVICES</u> | <u>COMPENSATION</u> |
|--|--------------------------------|---------------------|
| ADVERTISING COUNCIL INC.<br>815 SECOND AVENUE, 9TH FL.<br>NEW YORK, NY 10017 | PUBLIC RELATIONS               | 1,296,130.          |
| PRINCETON SOUTH INC.<br>200 LUDLOW DRIVE, BLDG. E<br>EWING, NJ 08638         | MAILING SERVICES               | 1,192,461.          |

ATTACHMENT 4FORM 990, PART IX - OTHER FEES

| <u>DESCRIPTION</u>             | (A)<br><u>TOTAL FEES</u> | (B)<br><u>PROGRAM SERVICE EXP.</u> | (C)<br><u>MANAGEMENT AND GENERAL</u> | (D)<br><u>FUNDRAISING EXPENSES</u> |
|--------------------------------|--------------------------|------------------------------------|--------------------------------------|------------------------------------|
| CREATIVE DESIGN/ART/FILM       | 731,592.                 | 731,592.                           |                                      |                                    |
| ADMINISTRATIVE CONSULTING      | 316,333.                 | 316,333.                           |                                      |                                    |
| COMMUNICATIONS CONSULTING      | 269,949.                 | 269,949.                           |                                      |                                    |
| MEMBERSHIP CONSULTING          | 559,927.                 | 559,927.                           |                                      |                                    |
| CLEAN ENERGY                   | 5,361,142.               | 4,443,206.                         | 467,660.                             | 450,276.                           |
| OCEANS                         | 752,696.                 | 752,696.                           |                                      |                                    |
| HEALTH & TOXICS                | 2,079,869.               | 2,079,869.                         |                                      |                                    |
| WILDLIFE & WETLANDS            | 1,262,157.               | 1,262,157.                         |                                      |                                    |
| SAFE & SUFFICIENT WATER        | 664,750.                 | 664,750.                           |                                      |                                    |
| SUSTAINABLE COMMUNITIES        | 2,168,192.               | 2,168,192.                         |                                      |                                    |
| CONSULTING REIMBURSEMENT       | 1,050,916.               | 1,050,916.                         |                                      |                                    |
| INSTITUTIONAL CONSULTING       | 1,925,419.               | 1,925,419.                         |                                      |                                    |
| EDITORIAL                      | 100,025.                 | 100,025.                           |                                      |                                    |
| MISCELLANEOUS PROFESSIONAL FEE | 31,213.                  | 31,213.                            |                                      |                                    |

|   |  |
|---|--|
| Name of the organization<br>NATURAL RESOURCES DEFENSE COUNCIL, INC. | Employer identification number<br>13-2654926 |
|---|--|

ATTACHMENT 4 (CONT'D)

FORM 990, PART IX - OTHER FEES

| <u>DESCRIPTION</u> | (A)<br><u>TOTAL<br/>FEES</u> | (B)<br><u>PROGRAM<br/>SERVICE EXP.</u> | (C)<br><u>MANAGEMENT<br/>AND GENERAL</u> | (D)<br><u>FUNDRAISING<br/>EXPENSES</u> |
|--------------------|------------------------------|--|--|--|
| TOTALS             | <u>17,274,180.</u>           | <u>16,356,244.</u>                     | <u>467,660.</u>                          | <u>450,276.</u>                        |



**SCHEDULE R  
(Form 990)**

**Related Organizations and Unrelated Partnerships**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.**

▶ **Attach to Form 990.**

▶ **Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Department of the Treasury  
Internal Revenue Service

Name of the organization

NATURAL RESOURCES DEFENSE COUNCIL, INC.

Employer identification number

13-2654926

**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a)<br>Name, address, and EIN (if applicable) of disregarded entity | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Total income | (e)<br>End-of-year assets | (f)<br>Direct controlling entity |
|---|-------------------------|--|---------------------|---------------------------|----------------------------------|
| (1)   |                         |  |                     |                           |                                  |
| (2)   |                         |  |                     |                           |                                  |
| (3)   |                         |  |                     |                           |                                  |
| (4)   |                         |  |                     |                           |                                  |
| (5)   |                         |  |                     |                           |                                  |
| (6)   |                         |  |                     |                           |                                  |

**Part II Identification of Related Tax-Exempt Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| (a)<br>Name, address, and EIN of related organization                                | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512(b)(13) controlled entity? |    |
|--|-------------------------|--|----------------------------|---|----------------------------------|--|----|
|  |                         |  |                            |   |                                  | Yes  | No |
| (1) NRDC ACTION FUND INC<br>40 WEST 20TH STREET<br>NEW YORK, NY 10011<br>13-3976062  | ENVIRONMENTAL           | NY   | 501(C)(4)                  | N/A   | NRDC                             | X  |    |
| (2) NRDC LIMITED<br>22/F, BANK OF CHINA TOWER  | ENVIRONMENTAL           | HK   | N/A                        | N/A   | NRDC                             | X  |    |
| (3) NRDC ACTION FUND, PAC<br>40 WEST 20TH STREET<br>NEW YORK, NY 10011<br>32-0413564 | ENVIRONMENTAL           | NY   | 527                        | N/A   | NRDC                             | X  |    |
| (4)  |                         |  |                            |   |                                  |  |    |
| (5)  |                         |  |                            |   |                                  |  |    |
| (6)  |                         |  |                            |   |                                  |  |    |
| (7)  |                         |  |                            |   |                                  |  |    |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2016

**Part III Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

| (a)<br>Name, address, and EIN of related organization | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Direct controlling entity | (e)<br>Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Disproportionate allocations? |    | (i)<br>Code V - UBI amount in box 20 of Schedule K-1 (Form 1065) | (j)<br>General or managing partner? |    | (k)<br>Percentage ownership |
|---|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|--------------------------------------|----|--|-------------------------------------|----|-----------------------------|
|   |                         |  |                                  |  |                              |                                    | Yes                                  | No |  | Yes                                 | No |                             |
| (1)   |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |
| (2)   |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |
| (3)   |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |
| (4)   |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |
| (5)   |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |
| (6)   |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |
| (7)   |                         |  |                                  |  |                              |                                    |                                      |    |  |                                     |    |                             |

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a)<br>Name, address, and EIN of related organization | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Direct controlling entity | (e)<br>Type of entity (C corp, S corp, or trust) | (f)<br>Share of total income | (g)<br>Share of end-of-year assets | (h)<br>Percentage ownership | (i)<br>Section 512(b)(13) controlled entity? |    |
|---|-------------------------|--|----------------------------------|--|------------------------------|------------------------------------|-----------------------------|--|----|
|   |                         |  |                                  |  |                              |                                    |                             | Yes  | No |
| (1) CHARITABLE REMAINDER TRUSTS                       | INVESTING               | NY   | NRDC                             | CRUT   | 0.                           | 628,469.                           |                             |  | X  |
| (2)   |                         |  |                                  |  |                              |                                    |                             |  |    |
| (3)   |                         |  |                                  |  |                              |                                    |                             |  |    |
| (4)   |                         |  |                                  |  |                              |                                    |                             |  |    |
| (5)   |                         |  |                                  |  |                              |                                    |                             |  |    |
| (6)   |                         |  |                                  |  |                              |                                    |                             |  |    |
| (7)   |                         |  |                                  |  |                              |                                    |                             |  |    |

**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

|  | Yes | No |
|--|-----|----|
| <b>1</b> During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? |     |    |
| <b>a</b> Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity . . . . .   |     | X  |
| <b>b</b> Gift, grant, or capital contribution to related organization(s) . . . . .   | X   |    |
| <b>c</b> Gift, grant, or capital contribution from related organization(s) . . . . .   |     | X  |
| <b>d</b> Loans or loan guarantees to or for related organization(s) . . . . .  |     | X  |
| <b>e</b> Loans or loan guarantees by related organization(s) . . . . .   |     | X  |
| <b>f</b> Dividends from related organization(s) . . . . .  |     | X  |
| <b>g</b> Sale of assets to related organization(s) . . . . .   |     | X  |
| <b>h</b> Purchase of assets from related organization(s) . . . . .   |     | X  |
| <b>i</b> Exchange of assets with related organization(s) . . . . .   |     | X  |
| <b>j</b> Lease of facilities, equipment, or other assets to related organization(s) . . . . .  |     | X  |
| <b>k</b> Lease of facilities, equipment, or other assets from related organization(s) . . . . .  |     | X  |
| <b>l</b> Performance of services or membership or fundraising solicitations for related organization(s) . . . . .  |     | X  |
| <b>m</b> Performance of services or membership or fundraising solicitations by related organization(s) . . . . .   |     | X  |
| <b>n</b> Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .   | X   |    |
| <b>o</b> Sharing of paid employees with related organization(s) . . . . .  | X   |    |
| <b>p</b> Reimbursement paid to related organization(s) for expenses . . . . .  | X   |    |
| <b>q</b> Reimbursement paid by related organization(s) for expenses . . . . .  | X   |    |
| <b>r</b> Other transfer of cash or property to related organization(s) . . . . .   |     | X  |
| <b>s</b> Other transfer of cash or property from related organization(s) . . . . .   |     | X  |

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

| (a)<br>Name of related organization | (b)<br>Transaction type (a-s) | (c)<br>Amount involved | (d)<br>Method of determining amount involved |
|-------------------------------------|-------------------------------|------------------------|--|
| (1) NRDC ACTION FUND                | B, N, O, Q                    | 2,212,663.             | COST   |
| (2) NRDC LIMITED                    | N, O, P                       | 691,132.               | COST   |
| (3)                                 |                               |                        |  |
| (4)                                 |                               |                        |  |
| (5)                                 |                               |                        |  |
| (6)                                 |                               |                        |  |

**Part VI** **Unrelated Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

| (a)<br>Name, address, and EIN of entity | (b)<br>Primary activity | (c)<br>Legal domicile<br>(state or foreign<br>country) | (d)<br>Predominant<br>income (related,<br>unrelated, excluded<br>from tax under<br>sections 512-514) | (e)<br>Are all partners<br>section<br>501(c)(3)<br>organizations? |    | (f)<br>Share of<br>total income | (g)<br>Share of<br>end-of-year<br>assets | (h)<br>Disproportionate<br>allocations? |    | (i)<br>Code V - UBI<br>amount in box 20<br>of Schedule K-1<br>(Form 1065) | (j)<br>General or<br>managing<br>partner? |    | (k)<br>Percentage<br>ownership |
|---|-------------------------|--|--|---|----|---------------------------------|--|---|----|---|---|----|--------------------------------|
|   |                         |  |  | Yes   | No |                                 |  | Yes                                     | No |   | Yes                                       | No |                                |
| (1)                                     |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (2)                                     |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (3)                                     |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (4)                                     |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (5)                                     |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (6)                                     |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (7)                                     |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (8)                                     |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (9)                                     |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (10)                                    |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (11)                                    |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (12)                                    |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (13)                                    |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (14)                                    |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (15)                                    |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |
| (16)                                    |                         |  |  |   |    |                                 |  |   |    |   |   |    |                                |

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**Part VII** **Supplemental Information**

Provide additional information for responses to questions on Schedule R. See instructions.

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