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16 UNITED STATES DISTRICT COURT  
17 CENTRAL DISTRICT OF CALIFORNIA  
18 WESTERN DIVISION

19 NATURAL RESOURCES DEFENSE  
20 COUNCIL, INC. *et al.*,

21 Plaintiffs,

22 v.

23 DONALD C. WINTER, Secretary of  
24 the Navy, *et al.*,

25 Defendants.

Case No. SACV 07-0335 FMC (FMOx)

**PLAINTIFFS' MEMORANDUM OF  
POINTS AND AUTHORITIES IN  
OPPOSITION TO DEFENDANTS'  
EX PARTE APPLICATION TO  
VACATE PRELIMINARY  
INJUNCTION OR TO PARTIALLY  
STAY PENDING APPEAL**

Judge: Hon. Florence-Marie Cooper  
Ctm: 750

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1 **I. INTRODUCTION**

2 Plaintiffs hereby submit this opposition to Defendants' *Ex Parte* Application  
3 for a partial stay or vacature of the Court's January 3 Order Issuing Preliminary  
4 Injunction, as subsequently modified by the Court's January 10 Modified Order  
5 Issuing Preliminary Injunction ("Mitigation Order").

6 In their zeal to overturn this Court's carefully balanced Mitigation Order  
7 following more than ten months of litigation, Defendants have transformed a dispute  
8 over environmental compliance into an Executive Branch attack on the authority of  
9 this Court. Disappointed with their failure to persuade the courts that the Navy is  
10 unable to simultaneously fulfill its training mission and obey U.S. environmental  
11 laws, Defendants have purported to use a private quasi-judicial proceeding –  
12 conducted by the White House Council on Environmental Quality ("CEQ") – to  
13 overturn this Court's injunction under NEPA. But the rulings of an Article III court  
14 may not be "appealed" to the White House. There is no regulatory, statutory, or  
15 constitutional basis for CEQ's Executive Branch review of this Court's Mitigation  
16 Order, and Defendants' application for relief must therefore be rejected.

17 As the Court evaluates the "developments" on which Defendants premise their  
18 application, it is useful to consider the context in which Defendants seek relief. For  
19 more than two years, the parties have been litigating in three separate cases over  
20 Defendants' refusal to obey U.S. environmental laws in their training with mid-  
21 frequency active ("MFA") sonar. Defendants have argued in the instant case that  
22 exigencies of national security require them to refrain from completing an EIS before  
23 conducting their exercises and have further argued that those same exigencies excuse  
24 their obligation to mitigate the harmful effects of their actions in the meantime. This  
25 Court and the Ninth Circuit have carefully considered Defendants' evidence and  
26 arguments and have ruled that Defendants are wrong and must obey the law.

27 Not satisfied with the result, Defendants have resorted to an unprecedented  
28 strategy. *First*, they presented their Executive Branch colleagues in the CEQ with a



1 portion of the record that was submitted to this Court – but only the portion  
2 containing Defendants’ evidence and arguments. *Second*, they secured a decision  
3 from their Executive Branch colleagues which states that, contrary to this Court’s  
4 findings, Defendants cannot both fulfill their national-security mission and obey  
5 NEPA. Based on this finding, the agency has ordered substitute mitigation measures  
6 that are *virtually identical* to those that this Court previously held “woefully  
7 inadequate and ineffectual” in place of those imposed by this Court. CEQ’s findings  
8 and order are based on no new developments. They amount to nothing more than the  
9 Executive’s self-serving belief, based on reading only the Navy’s half of the record,  
10 that the Navy’s submissions to this Court should have won the day. *Third*,  
11 Defendants proffer their own Executive Branch ruling that simply restates their long-  
12 held positions and argue that it requires this Court to stay or vacate its injunction.

13       There is no sudden “emergency” here, as required by 40 C.F.R. § 1506.11 – the  
14 CEQ regulation under which it has purported to exempt the Navy from compliance  
15 *prospectively through January 2009*. The same naval exercises, on the same  
16 proposed dates, using the same woefully inadequate mitigation, were planned in  
17 February 2007 as are planned today. The only difference between then and now is  
18 that, after extensive litigation, Defendants have been repeatedly adjudged to be in  
19 violation of the law and ordered to mitigate the harms they are causing as they  
20 proceed with their sonar training. A ruling from this Court that an Executive agency  
21 is violating the law and must remedy that violation is not an “emergency”; it is simply  
22 justice under our Constitution.

23       If Defendants’ interpretation of NEPA and the power of CEQ to exempt the  
24 Defendants from compliance with this Court’s injunction were correct, it would open  
25 a gaping hole in NEPA and the Article III judiciary’s power to enforce that statute.  
26 The Executive Branch could await the results of any judicial proceeding based on  
27 NEPA, and then, once having been ordered to obey the law, declare that the Court’s  
28 order constitutes an “emergency” authorizing the Executive Branch to trump the law.

1 With this new-found power, CEQ could, for example, declare an “emergency” to  
 2 expedite oil and gas leasing on environmentally sensitive lands, on the theory that  
 3 additional energy production is a national “emergency.” As we demonstrate below,  
 4 CEQ has no such authority, and its misuse of a regulation designed to enable public  
 5 agencies to temporarily avoid preparing an EIS in true emergency circumstances –  
 6 such as the sudden need to repair a breached dam to prevent massive flooding – by  
 7 employing it to review and vacate a federal court injunction vastly exceeds the  
 8 purpose, meaning, and scope of that regulation.

9 Even if CEQ had somehow arrogated such power to itself in propounding  
 10 section 1506.11, its exercise of such power in the present circumstances squarely  
 11 conflicts with the statute that Congress enacted. NEPA provides that agencies must  
 12 comply with its mandates “to the fullest extent possible.” 42 U.S.C. § 4332.  
 13 Congress, the Supreme Court, and CEQ itself have consistently interpreted this  
 14 language to mean that an agency must fully comply with NEPA unless compliance is  
 15 “impossible.” 40 C.F.R. § 1500.6 (emphasis added); 115 Cong. Rec. (Part 29) 39702-  
 16 39703 (1969) (same); *Flint Ridge Dev. Co. v. Scenic Rivers Assoc.*, 426 U.S. 776,  
 17 787-88, 96 S.Ct. 2430, 49 L.Ed.2d 205 (1976) (same). This is not such a case.  
 18 CEQ’s actions run afoul of the governing statute and can be given no effect.

19 Finally, the Executive’s effort to exercise purported “emergency” powers to  
 20 review an Article III court’s injunction violates the constitutional doctrine of  
 21 Separation of Powers. For more than two centuries it has been common ground  
 22 among our co-equal branches of government that it is the province of Congress to  
 23 make the laws (*e.g.*, NEPA), the Judiciary to decide cases according to those laws,  
 24 and the President to enforce those laws showing respect and obedience to the courts’  
 25 decisions. There is no good reason to abandon that constitutional compact here.

26 For the reasons stated herein, the Navy’s purported “exemptions” cannot  
 27 excuse the Navy from compliance with the law.<sup>1</sup>

28 <sup>1</sup> Plaintiffs also join in intervenor California Coastal Commission’s  
 arguments that the presidential exemption provision of the CZMA – as it was



## 1 II. PROCEDURAL BACKGROUND

2 In preliminarily enjoining the SOCAL exercises on August 7, 2007, this Court  
 3 concluded that Plaintiffs are likely to prevail on the merits of their claims that  
 4 Defendants have violated NEPA and CZMA, that a “near certainty” of irreparable  
 5 harm exists in the absence of an injunction, that the balance of the harms favors an  
 6 injunction, and that the public interest is served by its issuance. On appeal, the Ninth  
 7 Circuit affirmed each of these conclusions, but stated that “having considered the  
 8 effect that narrowly tailored mitigation conditions might have on the parties’ interests,  
 9 we conclude that such an injunction would be appropriate.” *Natural Resources*  
 10 *Defense Council, Inc. v. Winter*, 508 F.3d 885, 887 (9th Cir. 2007). The Court of  
 11 Appeals thus remanded to this Court to order specific mitigation measures for the  
 12 protection of the marine environment that would permit the Navy to carry out its  
 13 training activities subject to those measures. *Id.*

14 This Court did just that. On January 3, 2008, after considering the briefing and  
 15 substantial evidence submitted by both parties, the Court issued a tailored preliminary  
 16 injunction imposing reasonable mitigation measures on the Navy, including, *inter*  
 17 *alia*, measures requiring the Navy to cease use of MFA sonar when marine mammals  
 18 are spotted within 2200 yards and power down sonar by 6 dB when significant  
 19 surface ducting conditions are detected. Navy Exs. 4 & 6.

20 On January 9, the Navy applied for stay pending appeal, which this Court  
 21 denied on January 14 finding that the public interest weighs against issuing a stay and  
 22 citing record evidence showing that the Navy has employed such mitigation measures  
 23 in the past without sacrificing training objectives. Navy Ex. 3 at 3-4.

24 On January 10, the Navy initiated a quasi-judicial *ex parte* proceeding before  
 25 CEQ – part of the Executive Office of the President – in an attempt to overturn this  
 26 Court’s orders. It presented CEQ with a one-sided portion of the record that was

27 applied in this case – raises serious constitutional issues under *Hayburn’s Case*, 2  
 28 U.S. (2 Dall.) 409, 1 L.Ed. 436 (1792), and its progeny, and oppose Defendants’  
 application on this basis as well.

1 before this Court – containing only the Navy’s evidence and arguments – and argued  
 2 to CEQ that the Court’s injunction would have an adverse impact on the Navy’s  
 3 training and therefore create “emergency circumstances.” Navy Ex. 16 at 3. Notably,  
 4 the Navy waited more than five months after this Court’s August 7 Order before  
 5 seeking relief from CEQ due to this alleged “emergency.” *See* Navy Exs. 16 & 18.

6 On January 15, based upon this partial record, CEQ agreed with the Navy that  
 7 this Court’s orders created “emergency circumstances” and ordered “alternative  
 8 arrangements” for the SOCAL exercises under the alleged regulatory authority of 40  
 9 C.F.R. § 1506.11. Navy Ex. 16 at 3-4. In particular, CEQ concluded that the  
 10 measures this Court adopted in its Mitigation Order created a “significant and  
 11 unreasonable risk” to the Navy’s training. *Id.* at 3. It further stated that (private)  
 12 “discussions between our [*i.e.*, CEQ and Navy] staffs,” together with the Navy’s one-  
 13 sided record, “have clearly determined that the Navy cannot ensure the necessary  
 14 training . . . under the terms of the injunction orders.” *Id.* at 4. CEQ then ordered its  
 15 own suite of mitigation measures in place of this Court’s measures and NEPA’s  
 16 statutory requirements. The CEQ’s measures, which purport to apply to the SOCAL  
 17 exercises through *January 2009* pending completion of an Environmental Impact  
 18 Statement (“EIS”) for the SOCAL range complex, are virtually identical to those that  
 19 this Court previously held “woefully inadequate and ineffectual” to prevent  
 20 significant impacts on the environment, as NEPA requires. The Navy has issued a  
 21 decision adopting CEQ’s “alternative arrangements.” Navy Ex. 17 at 1.

22 Also on January 15, President Bush purported to exempt the Navy’s MFA  
 23 sonar use during its SOCAL training activities from the CZMA.

24 On the evening of January 15 – nearly two weeks after this Court issued its  
 25 Mitigation Order – the Navy filed an emergency motion in the Court of Appeals  
 26 seeking to vacate this Court’s preliminary injunction or, alternatively, to partially stay  
 27 mitigation relating to the 2,200 yard safety zone and power-downs in significant  
 28 surface ducting conditions. The Navy’s emergency motion, which was based

1 substantially on the President's and the CEQ's actions, not only requested relief that  
 2 was never considered by this Court, but also improperly relied on new evidence in  
 3 doing so. Accordingly, on January 16, the Ninth Circuit remanded to allow this Court  
 4 to determine the effect, if any, of the Navy's eleventh-hour "exemptions" on its  
 5 Mitigation Order and its January 14 stay order.

6 On January 17, the Navy filed an *ex parte* application for a temporary, partial  
 7 stay of the injunction while the Court considers the effect of the "exemptions" on its  
 8 prior rulings, or, in the alternative, to vacate the preliminary injunction or partially  
 9 stay the injunction pending appeal. On the afternoon of January 17, the Court issued  
 10 an order temporarily staying the 2200 yard safety zone and 6 dB power-down in  
 11 significant surface ducting conditions pending full consideration of Defendants' *ex*  
 12 *parte* application and ordered expedited briefing of this matter.

### 13 **III. THE NAVY'S ELEVENTH HOUR "EXEMPTIONS" DO NOT** 14 **SUPPORT A STAY**

#### 15 **A. CEQ's Actions Cannot Exempt the Navy from NEPA**

16 CEQ has no legal authority to exempt the Navy's long-planned sonar training  
 17 from NEPA. *First*, CEQ's "emergency" regulation does not apply in this case,  
 18 because there is no emergency: 40 C.F.R. § 1506.11 does not provide an  
 19 "emergency" appellate forum for footdragging agencies that disagree with court  
 20 orders. *Second*, CEQ's interpretation of 1506.11 directly conflicts with its governing  
 21 statute. CEQ cannot alter the fact that "[t]here is no 'national defense' exception to  
 22 NEPA." *San Luis Obispo Mothers for Peace v. Nuclear Regulatory Comm'n*, 449  
 23 F.3d 1016, 1035 (9th Cir. 2006). "The Navy, just like any federal agency, must carry  
 24 out its NEPA mandate to the fullest extent possible and this mandate includes  
 25 weighing the environmental costs of the [project] even though the project has serious  
 26 security implications." *Id.* *Third*, Defendants' expansive interpretation of the  
 27 "emergency" regulation would violate the Separation of Powers doctrine enshrined in  
 28 our Constitution. The Executive Branch has neither the power to review an Article III

1 court's order that commands a federal agency to obey the law, nor the power to  
 2 instruct the offending agency that it need not comply. The rulings of an Article III  
 3 court are subject to review only by superior Article III courts, not by the Executive  
 4 Branch. In sum, the Navy's invocation of the emergency exception is invalid and can  
 5 have no effect on the Navy's obligation to comply with NEPA and this Court's  
 6 Mitigation Order.

7 **1. The Navy's Actions Do Not Fall Within the Plain Scope of**  
 8 **Section 1506.11**

9 The CEQ regulation on which the Navy relies here states in its entirety:

10 Where emergency circumstances make it necessary to take an action with  
 11 significant environmental impact without observing the provisions of these  
 12 regulations, the Federal agency taking the action should consult with the  
 Council about alternative arrangements. Agencies and the Council will limit  
 such arrangements to actions necessary to control the immediate impacts of the  
 emergency. Other actions remain subject to NEPA review.

13 40 C.F.R. § 1506.11. On January 15, five days after the Navy first sought approval  
 14 from CEQ to implement "alternative arrangements" under section 1506.11, CEQ  
 15 determined that "emergency circumstances are present for the nine [remaining  
 16 SOCAL] exercises and alternative arrangements for compliance with NEPA under  
 17 [section 1506.11] are warranted." Navy Ex. 16 at 4. CEQ, however, does not specify  
 18 what alleged "emergency" actually exists here. In light of this critical omission,  
 19 section 1506.11 cannot validly be applied in this case.

20 The only emergency CEQ even *alludes* to is the allegation that *this Court's*  
 21 *Mitigation Order itself* creates a "significant and unreasonable risk" by requiring the  
 22 Navy to conduct its remaining SOCAL exercises in compliance with the law. *See id.*  
 23 at 3 ("[T]he modified injunction imposes training restrictions, in particular the  
 24 unaltered 2200 yard shut down requirement and the 6 dB power down requirement  
 25 during significant surface ducting conditions, that continue to create a significant and  
 26 unreasonable risk that Strike Groups will not be able train and be certified as fully  
 27 mission capable."). Defendants similarly allude to this Court's Order as the source of  
 28 the purported "emergency." Navy Br. at 3.

1 This Court's Mitigation Order is not the kind of "emergency" contemplated by  
 2 section 1506.11. The manifest purpose of the regulation is to permit the government  
 3 to take immediate remedial measures in response to urgent and unforeseen  
 4 circumstances not of the agency's own making (as in the case of Hurricane Katrina,  
 5 or similar exigent circumstances) that render the preparation and submission of NEPA  
 6 documentation on the timetable provided by the Act impossible, even though the  
 7 required emergency response measures may themselves have significant  
 8 environmental consequences otherwise requiring preparation of an EIS. Indeed,  
 9 Nicholas C. Yost, the General Counsel of CEQ at the time the regulation was  
 10 promulgated and the principal drafter of the regulation, has submitted a declaration  
 11 stating that section 1506.11 was intended only to "address[] the immediate,  
 12 unexpected, and significant threat" of a true emergency "characterized by the severity  
 13 of its unexpected and imminent occurrence."<sup>2</sup> CEQ "did not intend for agencies to  
 14 avoid their NEPA obligations by labeling as 'emergencies' planned occurrences or  
 15 ones that could have been anticipated." *Id.*; *see also id.* ¶ 6 (citing 2005 CEQ  
 16 guidelines issued after Katrina stating that the purpose of section 1506.11 is to enable  
 17 agencies to take "immediate actions necessary to secure lives and safety of citizens").  
 18 This understanding of the regulation is confirmed by its plain language. The second  
 19 sentence of the regulation reads: "the Council will limit such arrangements to actions  
 20 *necessary to control the immediate impacts of the emergency.*" 40 C.F.R. § 1506.11  
 21 (emphasis added).<sup>3</sup>

22 Here, neither CEQ nor the Navy has identified any exigencies that meet this

23 <sup>2</sup> Declaration of Nicholas C. Yost in Support of Plaintiffs' Opposition To  
 24 Defendants' *Ex Parte* Application To Vacate Preliminary Injunction Or To Partially  
 Stay Pending Appeal ("Yost Decl.") ¶ 5.

25 <sup>3</sup> The plain meaning of the word "emergency" also supports this  
 26 interpretation. "[E]mergency" is defined in the dictionary as "a state of things  
 27 unexpectedly arising, and urgently demanding immediate action." *Wash. Toxics*  
*Coalition v. U.S. DOI*, 457 F.Supp.2d 1158, 1195 (D. Wash. 2006) (interpreting the  
 28 term "emergency" in ESA's general consultation regulations, 50 C.F.R. § 402.05, to  
 require a situation that is "unpredictable or unexpected in some way"). The  
 "general-purpose ordinary language meaning of 'emergency' itself includes the  
 element of surprise and unexpectedness." *Id.*



1 standard. It cannot be the case, as the Navy claims, that the “emergency” purportedly  
 2 triggering the exception can be an Order of an Article III court requiring the agency to  
 3 comply with the law. *See* Yost Decl. ¶ 7 (“I would not consider the holding of a  
 4 planned set of maneuvers or an unfavorable judicial ruling an ‘emergency’ within the  
 5 meaning of [1506.11]”). If an Executive agency could effectively excuse itself from  
 6 NEPA compliance *because* of an Order of an Article III Court redressing the agency’s  
 7 violation of the statute, judicial determinations under NEPA would be rendered  
 8 meaningless. *See infra* § III.A.3.

9 Nor can it be the case that the Navy’s failure to prepare adequate  
 10 environmental documentation in a timely manner, thus creating its own inability to  
 11 carry out its action as originally proposed, creates the emergency that excuses it from  
 12 compliance with the law. The Navy has been on notice of its NEPA violations since  
 13 at least July 2006 – seven months before the improper EA was issued – when this  
 14 Court temporarily enjoined the Navy’s RIMPAC 2006 exercise; NRDC and the CCC  
 15 put the Navy on notice of its legal obligations for the SOCAL exercises more than a  
 16 year ago; and as far back as August 7, this Court held that the Navy was likely to lose  
 17 on the merits of the NEPA and CZMA claims – a ruling affirmed by the Ninth Circuit  
 18 in November. Yet the Navy waited until January 10 before even *requesting* the  
 19 NEPA and CZMA waivers relied on here. *See* Navy Exs. 16 & 18. Because its  
 20 claimed “emergency” relates to routine training exercises that have been planned for  
 21 more than a year, and because the Navy has been on notice for many months that  
 22 these exercises are unlawful, its attempt to manufacture an “emergency” at this late  
 23 date must be rejected. To excuse an agency’s statutory violations simply because it  
 24 has failed to comply in a timely manner would defy common sense, undermine the  
 25 purposes of the Act, and impermissibly *reward* an agency for its non-compliance.

26 Caselaw interpreting section 1506.11 confirms this view. There are no cases  
 27 allowing “alternative arrangements” to NEPA requirements because of an  
 28 “emergency” allegedly brought on by an order of an Article III court finding that an



1 agency has violated NEPA. To the contrary, cases allowing deviation from NEPA,  
2 whether through section 1506.11 or otherwise, without exception identify  
3 *unanticipated* emergencies that require a federal agency to respond quickly to new  
4 and changing events, not *self-made* “emergencies” arising from a court decision that  
5 requires only that a federal agency finally conduct its long-planned activities without  
6 violating the law. For example, in *Valley Citizens for a Safe Environment v. Vest*, No.  
7 91-30077, 1991 WL 330963 (D. Mass. May 6, 1991), the Air Force was permitted,  
8 pursuant to section 1506.11, to operate nighttime flights despite a previously prepared  
9 EIS’s prohibition of such flights. *Id.* at \*5. CEQ permitted this non-compliance in  
10 August 1990 in response to the emergency caused by Iraq’s invasion of Kuwait that  
11 same month and the United States’ subsequent response. *See id.* In that case, a  
12 rapidly evolving emergency – Iraq’s sudden invasion of Kuwait – required an urgent  
13 response. *See also Miccosukee Tribe of Indians of Fla. v. United States*, 509  
14 F.Supp.2d 1288, 1290-91 (S.D. Fla. 2007) (agency requested and received approval  
15 from CEQ for emergency alternative arrangements *before* deviating from NEPA  
16 requirements to avoid pending extinction of species). Here, in contrast, the claimed  
17 “emergency” is an adverse court order rendered after months of litigation over long-  
18 planned, routine training exercises.

19 Finally, the “alternative arrangements” prescribed by CEQ in this instance are  
20 clearly not limited to “actions necessary to control the *immediate impacts of the*  
21 *emergency.*” Indeed, CEQ’s alternative measures purport to excuse the Navy’s  
22 proposed activities from compliance with the substantive provisions of NEPA  
23 prospectively *through January of 2009*. Moreover, some measures, such as the  
24 requirement to conduct research on marine mammals, are clearly not directed toward  
25 the containment of any imminent emergency; rather, as explained more fully below,  
26 CEQ has simply crafted its own equitable remedy to substitute for the injunction  
27 crafted by this Court. In sum, there is no emergency within any reasonable reading of  
28 section 1506.11, and CEQ’s order goes far beyond the limited scope of the regulation.

## 2. The Navy's Overbroad Interpretation of Section 1506.11 Is Contrary to NEPA

It is well-settled that Administrative agencies have no authority to promulgate regulations that are inconsistent with their governing statutes. But if CEQ's emergency exception is truly as broad as the Navy suggests – such that the Court's injunction here constitutes an “emergency” sufficient to excuse the Navy's activities from substantive compliance with the statute prospectively for over a year – the regulation is *ultra vires* and contrary to NEPA. Because of this, the Court should reject the Navy's grossly overbroad interpretation of section 1506.11 and construe the regulation narrowly to avoid such a conflict. *See Nat'l Wildlife Fed'n v. Nat'l Marine Fisheries Serv.*, 481 F.3d 1224, 1237 (9th Cir. 2007) (an agency's construction of its regulation must be “consistent with and in furtherance of the purposes and policies embodied in the Congressional statute authorizing the regulation”); *Progressive Corp. and Subsidiaries v. United States*, 970 F.2d 188, 192-93 (6th Cir. 1992) (“[An agency's] construction that thwarts the statute which the regulation implements is impermissible.”).

NEPA requires federal agencies to prepare an EIS for any major federal action “significantly affecting the quality of the human environment.” 42 U.S.C. § 4332(2)(C). Failing that, an agency must implement mitigation measures sufficient to “render such impacts so minor as to not warrant an EIS.” *Nat'l Parks & Conservation Ass'n v. Babbitt*, 241 F.3d 722, 734 (9th Cir. 2001) (reversing denial of injunctive relief under NEPA); *Found. for N. Am. Wild Sheep v. U.S. Dept. of Agr.*, 681 F.2d 1172, 1179 (9th Cir. 1982) (same). Even significant national security concerns are insufficient to trump this clear statutory mandate. As the Ninth Circuit has repeatedly acknowledged, NEPA contains no “national defense” exemption, *San Luis Obispo*, 449 F.3d at 1035, and although Congress knows well how to exempt Defense Department activities from its NEPA obligations, *see, e.g.*, National Defense Auth. Act, Pub. L. No. 106-398, § 317, 114 Stat. 1654, 1654A-57 (2000)

1 (specifically exempting Defense Department from preparing nationwide EIS for  
2 low-level flight training), it has not done so here.

3 The Navy argues that CEQ has enacted an administrative regulation that can  
4 exempt the Navy from long term compliance with NEPA even though NEPA contains  
5 no such provision. It further argues that CEQ can exempt the Navy from compliance  
6 in an “emergency” situation despite the fact that the SOCAL exercises have been  
7 planned since 2006, and compliance with NEPA’s mandates has never been, and is  
8 not now, impossible. This unprecedented and grossly overbroad interpretation of  
9 section 1506.11 stands in irreconcilable conflict with the governing statute.

10 The sole statutory support that the Navy cites for its sweeping interpretation of  
11 the regulation is section 4332 of the Act, which requires federal agencies to comply  
12 with NEPA’s substantive requirements “to the fullest extent possible.” 42 U.S.C.  
13 § 4332. Similarly, section 4331 requires agencies to use “all practicable means and  
14 measures” to fulfill their duties under NEPA. *Id.*, § 4331(b). Any suggestion that  
15 these provisions may be used to excuse the Navy from compliance with the law here  
16 is absurd. The Supreme Court has made clear that NEPA’s “fullest extent possible”  
17 language was intended to address only cases in which there is an “irreconcilable and  
18 fundamental conflict” between NEPA’s requirements and the requirements of another  
19 statute. *Flint Ridge*, 426 U.S. at 787-88. Indeed, the CEQ regulations themselves  
20 interpret the phrase “to the fullest extent possible” to mean that “each agency of the  
21 Federal Government shall comply with that section unless *existing law ... expressly*  
22 *prohibits or makes compliance impossible.*” 40 C.F.R. § 1500.6 (emphasis added).  
23 The legislative history of the section confirms this view:

24 The purpose of the new language is to make it clear that each agency of the  
25 Federal Government shall comply with the directions set out in...[Section  
26 102(2), viz., 42 U.S.C. § 4332(2)] unless the existing law applicable to such  
27 agency’s operations expressly prohibits or makes full compliance with one of  
28 the directives impossible.... Thus, it is the intent of the conferees that the  
provision “to the fullest extent possible” shall not be used by any Federal  
agency as a means of avoiding compliance with the directives set out in section  
102. Rather the language in section 102 is intended to assure that all agencies  
of the Federal Government shall comply with the directives set out in said  
section “to the fullest extent possible” under their statutory authorizations and

1 that no agency shall utilize an excessively narrow construction of its existing  
2 statutory authorizations to avoid compliance.

3 115 Cong. Rec. (Part 29) 39702-39703 (1969); *see also Calvert Cliffs' Coordinating*  
4 *Comm., Inc. v. U.S. Atomic Energy Comm'n*, 449 F.2d 1109, 1114-15 (D.C. Cir.  
5 1971) (discussing legislative history).

6 In short, the statutory language, the legislative history, the caselaw interpreting  
7 this provision, and the CEQ regulations themselves all clearly demonstrate that the  
8 "fullest extent possible" provision of NEPA does not and was never intended to  
9 excuse compliance with the statute simply because an agency has failed to prepare  
10 adequate environmental documentation in a timely manner and an Article III court  
11 has subsequently mandated compliance with the law. Indeed, courts have uniformly  
12 held that section 4332 "does not provide an escape hatch for footdragging agencies."  
13 *Calvert Cliffs'*, 449 F.2d at 1114 ("We must stress as forcefully as possible that [the  
14 'to the fullest extent possible'] language does not provide an escape hatch for  
15 footdragging agencies; it does not make NEPA's procedural requirements somehow  
16 'discretionary.'").<sup>4</sup>

17 It is difficult to imagine a more blatantly improper attempt to use section 4332  
18 as an "escape hatch" than the Navy's actions here. Here there are no unanticipated  
19 events (save, possibly, for the Navy's failure to appreciate that it might be required to  
20 obey the law), no law preventing the Navy from complying, and no obstacles  
21 rendering compliance with the law "impossible" other than the Navy's own  
22 longstanding refusal to follow it. Indeed, there is no *legal* barrier at all to the Navy's  
23 compliance with NEPA, as the Supreme Court and CEQ both agree the statute  
24 requires. There is no "existing law" that precludes the Navy from complying with  
25 NEPA. The fact that the Navy submitted faulty environmental documentation for the

26 <sup>4</sup> At most, section 4332 supports the enactment of a narrowly drawn  
27 regulation to address situations in which a *bona fide* emergency (*i.e.*, an emergency  
28 not of the agency's own making) renders the preparation and submission of  
environmental compliance documentation impossible. This was the clear intent of  
CEQ in promulgating the regulations, *see* Yost Decl. ¶ 5, and insofar as the  
regulation is construed to reach no further, Plaintiffs do not assert that the regulation  
on its face is contrary to NEPA.



1 exercises does not render compliance impossible – on the contrary, it demonstrates  
2 that there is clearly no “irreconcilable and fundamental conflict” between the  
3 Navy’s statutory mandate and NEPA. The only barrier is, and has been, the Navy’s  
4 refusal for ten months to adjust its plans to conform to the law.

5 Because the Navy’s compliance is not *impossible* within the meaning of 4332,  
6 the Navy’s attempt to use CEQ’s regulation as an “escape hatch” for its own  
7 footdragging cannot be countenanced. The Navy’s and CEQ’s overbroad extension  
8 of the emergency regulation would have the impermissible effect of creating a new,  
9 broad exemption to NEPA although Congress has refused to do so. If the governing  
10 statute “does not provide an escape hatch for footdragging agencies,” certainly the  
11 implementing regulations cannot create that hatch either. And if the governing statute  
12 does not contain a “national defense exemption” to its substantive provisions,  
13 certainly the implementing regulations cannot create such an exemption.

14 The Navy’s interpretation, if accepted, would do serious violence to “our basic  
15 national charter for protection of the environment.” 40 C.F.R. § 1500.1(a). Agencies  
16 could simply manufacture their own emergencies, just as the Navy has done here, to  
17 retroactively excuse their failure to comply with the law. Any agency faced with a  
18 judgment of an Article III court could make an end-run around the will of Congress  
19 and the courts simply by ignoring its NEPA obligations until the last possible  
20 moment. For example, under Defendants’ view, CEQ could declare an “emergency”  
21 to expedite timber leasing on the theory that the cut is necessary to eliminate future  
22 fire hazards; or to expedite oil and gas leasing in protected preserves on the theory  
23 that additional energy production is a national “emergency.” If such actions were  
24 permissible, NEPA’s requirements would be effectively eviscerated and rendered  
25 “discretionary.” As demonstrated above, section 1506.11 was never intended to  
26 sweep that broadly. Yost Decl. ¶¶ 5-7. But insofar as it does, it stands in  
27 irreconcilable conflict with NEPA itself.

28 Although CEQ is ordinarily afforded “substantial deference” in its

1 interpretation of the governing statute, such deference does not apply in these  
 2 circumstances. *First*, the fact that Congress did not delegate to CEQ the authority to  
 3 enact implementing regulations suggests that CEQ may not be entitled to *Chevron*  
 4 deference, but only to some lesser degree of deference, such as that applied in  
 5 *Skidmore v. Swift & Co.*, 323 U.S. 134, 140, 65 S.Ct. 161, 89 L.Ed. 124 (1944)  
 6 (agency interpretation is “entitled to respect” only to the extent it has the “power to  
 7 persuade”).<sup>5</sup> *Second*, because this is clearly an instance in which Congress did *not*  
 8 vest the agency with a full complement of enforcement, adjudicative and rulemaking  
 9 powers, *see Martin v. Occupational Safety & Health Review Comm’n*, 499 U.S. 144,  
 10 154, 111 S.Ct. 1171, 113 L.Ed.2d 117 (1991), any “substantial deference” owed to  
 11 CEQ extends only to CEQ’s interpretation of the governing statute, not to its actions  
 12 in a quasi-judicial proceeding, such as it has held in this instance. *Id.* (stating that a  
 13 court may not infer adjudicative powers beyond those that Congress has vested in the  
 14 agency by statute); *see also infra* n.7. *Third*, deference is not due when an agency’s  
 15 interpretation of a regulation conflicts with the agency’s intent at the time the  
 16 regulation was promulgated. *See State of Oregon v. Ashcroft*, 368 F.3d 1118, 1130  
 17 (9th Cir. 2004). As shown above, CEQ’s application of its regulations in the context  
 18 of the proceeding at issue here was contrary to the plain language and the intent of the  
 19 regulation. *See supra* § III.A.1; Yost Decl. ¶¶ 5-7.

20 *Fourth*, an agency’s interpretation of its own regulation is entitled to deference  
 21 “only when the language of the regulation is ambiguous.” *Christensen v. Harris*  
 22 *County*, 529 U.S. 576, 588, 120 S.Ct. 1655, 146 L.Ed.2d 621 (2000). The meaning of  
 23 “emergency” is clear, and it plainly does not encompass a federal agency’s desire to  
 24 evade a court order compelling the agency to conform its long-planned, routine

25 <sup>5</sup> The CEQ regulations were promulgated pursuant to a presidential Executive  
 26 Order instructing CEQ to adopt regulations and instructing other federal agencies to  
 27 follow them. Exec. Order No. 11991 3 C.F.R. 123 (1977), *reprinted as amended in*  
 28 42 U.S.C. § 4331 (2000). While the Supreme Court in *Andrus v. Sierra Club*, 442  
 U.S. 347, 358, 99 S. Ct. 2335, 60 L. Ed. 2d 943 (1979), stated that “CEQ’s  
 interpretation of NEPA is entitled to substantial deference,” the Supreme Court has  
 never defined the precise contours of that deference.



activities to NEPA requirements. Here, as in *Christensen*, because the regulation is clear, “deference is unwarranted,” and “[t]o defer to the agency’s position would be to permit the agency, under the guise of interpreting a regulation, to create de facto a new regulation.” *Id.* Finally, even an agency that is ordinarily entitled to *Chevron* deference is not entitled to such deference where, as here, the agency exercises its authority inconsistent with the statute.<sup>6</sup> In sum, both the Navy’s overbroad interpretation of section 1506.11 and CEQ’s actions in reliance on that same overbroad interpretation in a quasi-judicial proceeding should be rejected. To hold otherwise would render the regulation itself *ultra vires* and contrary to the statute.

### 3. The Navy’s Overbroad Interpretation of Section 1506.11 Would Render the Regulation Constitutionally Invalid

It is a “cardinal rule” of construction that courts must interpret an agency’s regulations so as to avoid constitutional infirmities. *See Meinhold v. U.S. Dep’t of Def.*, 34 F.3d 1469, 1476 (9th Cir. 1994) (“When the constitutional validity of a statute or regulation is called into question, it is a cardinal rule that courts must first determine whether a construction is possible by which the constitutional problem may be avoided.... Indeed, we have said that ‘a court must construe a statute so as to avoid raising constitutional questions.’”) (citation omitted). The interpretation that the Navy presses here violates this cardinal rule of construction and must be rejected.

Under the Separation of Powers doctrine, “Congress cannot vest review of the decisions of Article III courts in officials of the Executive Branch.” *Plaut v. Spendthrift Farm, Inc.*, 514 U.S. 211, 218, 115 S.Ct. 1447, 131 L.Ed.2d 328 (1995). Separation of Powers has been a bedrock constitutional doctrine recognized since our

<sup>6</sup> *See, e.g., Gonzales v. Oregon*, 546 U.S. 243, 255-56, 126 S. Ct. 904; 163 L. Ed. 2d 748 (2006) (Chevron deference “is warranted only ‘when it appears that Congress delegated authority to the agency generally to make rules carrying the force of law, and that the agency interpretation claiming deference was promulgated in the exercise of that authority’”) (citation omitted); *Gorbach v. Reno*, 219 F.3d 1087, 1092-93 (9th Cir. 2000) (“An agency ordinarily entitled to Chevron deference may not exercise its authority in a manner that is inconsistent with the administrative structure that Congress enacted into law.”) (citation and internal quotations omitted).

1 nation's founding. As early as 1792, it was held that:

2 "[B]y the Constitution of the United States, the government thereof is divided  
3 into three distinct and independent branches, and that it is the duty of each to  
4 abstain from, and to oppose, encroachments on either. . . . [B]y the  
5 Constitution, neither the Secretary of War, nor any other executive officer, nor  
6 even the legislature, are authorized to sit as a court of errors on the judicial acts  
7 or opinions of this [Article III] court."

8 *Muskrat v. United States*, 219 U.S. 346, 352-53, 31 S.Ct. 250, 55 L.Ed. 246 (1911)  
9 (quoting *Hayburn's Case*, 2 U.S. (2 Dall.) 409, 410, 1 L.Ed. 436 (1792)); *see also*,  
10 *e.g.*, *United States v. O'Grady*, 89 U.S. (22 Wall.) 641, 647, 22 L.Ed. 772 (1874)  
11 (orders of Article III courts are not "open . . . to revision by any one of the executive  
12 department or of all such departments combined"); *Town of Deerfield, N.Y. v. F.C.C.*,  
13 992 F.2d 420 (2d Cir. 1993) (holding that FCC regulation permitting agency review  
14 of matters on which an Article III court had already ruled violated the Separation of  
15 Powers doctrine). Article III courts have the power "not merely to rule on cases, but  
16 to decide them, *subject to review only by superior courts in the Article III hierarchy*."  
17 *Plaut*, 514 U.S. at 218-19 (emphasis added). Moreover, allowing the Executive  
18 Branch to review the rulings of the Judicial Branch would turn such rulings into mere  
19 advisory opinions in violation of Article III. *Deerfield*, 992 F.2d at 427 ("[A]n Article  
20 III court is not permitted to render advisory opinions. . . . [I]f a decision of the judicial  
21 branch were subject to direct revision by the executive or legislative branch, the  
22 court's decision would in effect be merely advisory"); *see also Marbury v. Madison*,  
23 5 U.S. (1 Cranch) 137, 177, 2 L.Ed. 60 (1803) ("It is, emphatically, the province and  
24 duty of the judicial department, to say what the law is"). Thus, only other Article III  
25 courts, *not* administrative agencies such as CEQ, can review this Court's injunction to  
26 determine whether the Court properly weighed the various interests and factors in  
27 crafting injunctive relief.  
28

Here, the Navy effectively sought *ex parte* review of this Court's Mitigation Order from CEQ. In that proceeding, the Navy "explained that the district court's preliminary injunction, as amended on January 10, 2008, created a significant and unreasonable risk that the Navy will not be able to successfully conduct its MFA

1 sonar training....” Navy Ex. 21 at 9. In support of its claims of error, the Navy  
 2 presented to CEQ a “record,” which, by all appearances, consisted only of the Navy’s  
 3 submissions to this Court. *See* Navy Ex. 16 at 3-4. Based on that one-sided record  
 4 and *ex parte* “discussions” with the Navy, CEQ “determined that the Navy cannot  
 5 ensure the necessary training to certify strike groups for deployments under the terms  
 6 of the injunctive orders,” and, on this basis, concluded that this Court’s ruling created  
 7 “emergency circumstances” justifying CEQ’s intervention under section 1506.11.  
 8 CEQ then crafted its own mitigation measures to serve as “alternative arrangements”  
 9 in place of this Court’s tailored injunction.<sup>7</sup>

10 In purporting to review and vacate this Court’s Mitigation Order, CEQ has  
 11 sorely mistaken its role in both the statutory scheme and constitutional scheme.  
 12 Congress never delegated to CEQ the power to review injunctions and orders issued  
 13 by an Article III court, and CEQ may not arrogate to itself such power. *See La. Pub.*  
 14 *Serv. Comm’n v. F.C.C.*, 476 U.S. 355, 374-75, 106 S.Ct. 1890, 90 L.Ed.2d 369  
 15 (1986) (“[W]e simply cannot accept an argument that the [agency] may nevertheless  
 16 take action which it thinks will best effectuate a federal policy. An agency may not  
 17 confer power upon itself.”); *see also Gorbach*, 219 F.3d at 1092-93 (same).  
 18 Moreover, under the doctrine of Separation of Powers, CEQ, an agency of the  
 19 Executive Branch, has no constitutional authority to determine whether an injunction  
 20 requiring compliance with NEPA issued by an Article III court creates a “significant  
 21 and unreasonable risk” to the public interest. That power is entrusted to superior  
 22 Article III courts, not to the Executive Branch. *Plaut*, 514 U.S. at 218-19.

23 In sum, the Executive Branch has engaged in a blatant usurpation of judicial  
 24 authority. By CEQ’s own account, it purported to preside over an *ex parte* review of

25 <sup>7</sup> In so doing, CEQ was clearly acting in a quasi-judicial capacity, not  
 26 exercising its rule-making authority. “A decision by the [agency] is quasi-judicial if  
 27 it does ‘not purport to engage in formal rulemaking or in the promulgation of any  
 28 regulations’ but instead amounts to an adjudication of the rights and obligations of  
 parties before it. The mere presence in the decision of general statements that might  
 have applicability to controversies between other persons does not change the  
 character of an order from one that is essentially adjudicatory to one that is quasi-  
 legislative.” *Deerfield*, 992 F.2d at 427 (citations omitted).

1 this Court's injunction based on just the Navy's portion of the record that was before  
 2 this Court, concluded (contrary to this Court's ruling) that the Navy's evidence  
 3 demonstrated "that the Navy cannot ensure the necessary training to certify strike  
 4 groups for deployments under the terms of the injunctive orders," and proceeded to  
 5 substitute its own mitigation measures for the Court's Mitigation Order. Navy Ex. 16  
 6 at 4. CEQ's actions are wholly unhinged from any constitutionally permissible  
 7 interpretation of section 1506.11 (CEQ's purported regulatory authority), and can thus  
 8 have no effect on the Navy's obligation to comply with NEPA's requirements.

9 For each of these reasons, the Navy's eleventh hour attempt to circumvent this  
 10 Court's ruling – like the conduct that forms the basis of this lawsuit – is illegal.  
 11 Accordingly, this Court's conclusion that the Navy is not likely to prevail on the  
 12 merits cannot be altered by the Executive Branch's latest actions.<sup>8</sup>

13 **B. The Presidential Exemption from the CZMA Does Not Excuse**  
 14 **Defendants' NEPA Violations**

15 Nor can the Navy's eleventh hour invocation of a presidential exemption to the  
 16 CZMA justify the requested stay of this Court's Mitigation Order under NEPA. The  
 17 Navy's exercise of a presidential exemption to the CZMA does not and cannot act as  
 18 a general exemption under NEPA. Indeed, the Ninth Circuit, like this Court, has  
 19 already rejected the Navy's invitation to construe the Secretary of the Navy's  
 20 invocation of a National Defense Exemption to the MMPA as a *de facto* exemption  
 21 from NEPA. Congress knows how to create exemptions from its statutes, and while  
 22 it has done so in other instances, it has declined to do so for NEPA. *See San Luis*  
 23 *Obispo*, 449 F.3d at 1035.<sup>9</sup>

24 <sup>8</sup> Indeed, the Navy's invocation of 1506.11 merely confirms and underscores  
 25 the Navy's violations of law in this case. Section 1506.11 applies only when  
 26 "necessary to take an action with significant environmental impact without  
 27 observing the provisions of these regulations." By invoking this provision,  
 28 Defendants have necessarily conceded (and has CEQ necessarily found) both that  
 the SOCAL exercises will have a "significant environmental impact" and that,  
 absent further mitigation, the SOCAL exercises would be conducted (under NDE II)  
 "without observing the provisions of these regulations" – *i.e.*, in violation of NEPA.

<sup>9</sup> As noted above, Plaintiffs join in intervenor California Coastal  
 Commission's opposition to the Navy's *ex parte* application and in the



**C. The Navy's Eleventh Hour "Exemptions" Do Not Tip the Equities in Favor of the Navy**

**1. The Court's Mitigation Order Does Not Impose an Undue Burden on the Navy**

As the Court correctly concluded in issuing its Mitigation Order and denying the Navy's original request for stay, the measures the Court has ordered would not prevent the Navy from training and certifying its troops. Defendants now challenge only two provisions in the Mitigation Order – the safety zone and surface-ducting requirements – effectively conceding the feasibility of the other provisions, such as the Catalina Basin exclusion and the Mitigation Order's other geographic and monitoring requirements. But the Court has already ruled on the burden posed by these two measures, along with the other elements of its Mitigation Order, finding that there is "no basis for concluding that absent a stay, Defendants will suffer irreparable injury." Navy Ex. 3 at 2. That finding is amply supported by the record.

The Court did not err in finding that the 2200 yard shut-down is practicable. The record shows that the Navy observed a *de facto* 4,000 yard safety zone during the first three exercises conducted under the EA, either shutting down or powering down when marine mammals were sited out to 4,000 yards; and that the marginal effect of the Court's requirement on training hours would have been extremely small.<sup>10</sup> Nothing has changed to alter that conclusion. Indeed, when all of the after-action reports newly furnished by the Navy (see Navy Ex. 16) are considered, they affirm that shut-downs would have occurred on average *only 1-2 additional times* per exercise had the Court's requirement been in place. Not only is this increase far

Commission's arguments that the presidential exemption provision of the CZMA as it was applied in this case raises serious constitutional issues under *Hayburn's Case*, 2 U.S. (2 Dall.) 409, and its progeny. *See, e.g., Plaut*, 514 U.S. at 213; *Deerfield*, 992 F.2d at 427-30.

<sup>10</sup> Declaration of Josh B. Gordon in Support of Plaintiffs' Opening Brief Regarding Appropriate Mitigation Measures ("Gordon Decl."), Ex. 14 at 10-11; Pl. Opening Mitigation Br. at 20 n.9 (indicating that the additional six events would have affected far less than 1% of all 317 hours of sonar use); Navy Ex. 16, Part 8 (Att. G) at 19 (short duration of shut-down periods).

1 smaller than what the Navy has misleadingly claimed, but its marginal effect on  
 2 training hours is extremely low – consisting of a dozen additional shut-downs taken  
 3 over many hundreds of hours of sonar use over the better part of a year. *Id.* The  
 4 Court’s finding that its safety zone requirement would present only a “minimal  
 5 imposition” on the Navy (Navy Ex. 6 at 15) is well supported.<sup>11</sup>

6 Similarly, the evidence indicates that the Court’s surface-ducting requirement  
 7 would not significantly impede certification, as the Navy claims (Navy Ex. 21 at 3,  
 8 22). The Navy has argued that powering down during such conditions is impractical  
 9 because they are difficult to track, but the Court carefully considered and rejected that  
 10 argument. Navy Ex. 6 at 17; *see also* Pl. Resp. Mitigation Br. at 10; Def. Op. Br. at  
 11 27 (stating that, “[i]n order to become proficient in MFA sonar use, Sailors must learn  
 12 to identify when surface ducting conditions exist”). And contrary to the Navy’s broad  
 13 claims about the impracticability of power-downs, the NDE imposed by the Secretary  
 14 of Defense in 2006 required the Navy to observe a power-down requirement during  
 15 certain conditions. Gordon Decl., Ex. 15 at 2. Finally, the Court clarified the surface-  
 16 ducting measure in its Modified Order, inserting the qualifier “significant” to ensure  
 17 the proper balance between training and environmental protection. Navy Ex. 4 at 4.  
 18 Indeed, the record plainly demonstrates that certification *does not even require*

19 <sup>11</sup> Over the eight SOCAL exercises covered by the Navy’s after-action reports  
 20 (Navy Ex. 16), operators temporarily secured their sonar 27 times. Had the Court’s  
 21 ruling been in place, they would have had to shut-down an additional 12 times, or  
 22 1-2 additional times per major exercise. Navy Ex. 16, Part 3 (Att. B) at 4, Part 4  
 23 (Att. C) at 3-6, 7-8, Part 5 (Att. D) at 3, Part 6 (Att. E) at 3-5, Part 7 (Att. F) at 10-  
 24 11, Part 8 (Att. G) at 5-6, 19. Moreover, even in many of these ‘additional’  
 25 instances the Navy powered-down its sonar. *Id.* The Navy attempts to obscure the  
 26 implications of its own data by claiming – as it did on its original motion for stay –  
 27 that the prescribed shut-down zone would result in a “five-fold (500%) increase in  
 28 the average number of shutdowns per exercise.” Navy Ex. 21 at 16. But this  
 misleading assertion fails to account for the Navy’s actual past practice of shutting  
 down sonar in the majority of cases when species were observed beyond 200 yards.  
 Ex. 16 (e.g., shut-downs at 3100, 4000, and 6000 yards during COMPTUEX 07-1).  
 The Navy is left to speculate that ships were “likely” to have shut down their sonar  
 beyond 200 yards only during non-critical points of its exercises (Ex. 21 at 18-19);  
 but this claim, too, is belied by its after-action reports. The Navy’s reports make no  
 distinction among shut-down events in evaluating potential impacts on training,  
 noting instead the potential loss of detection opportunities even during exercises  
 when virtually all shut-downs occurred beyond 200 yards. E.g., Navy Ex. 16, Part 4  
 (Att. C) at 14, Part 5 (Att. D) at 11.



1 training during such “significant” conditions, since ships were certified despite the  
 2 complete absence of these conditions in each of the SOCAL exercises since July 2006  
 3 for which they were reported. Navy Ex. 16, Part 3 (Att. B) at 5, 11 (JTFFEX 06-4),  
 4 Part 4 (Att. C) at 10, 15 (COMPTUEX 06-4), Part 5 (Att. D) at 7, 12 (JTFFEX 07-1),  
 5 Part 6 (Att. E) at 8, 13 (COMPTUEX 07-1). In short, the Court’s Mitigation Order  
 6 poses no undue burden to the Navy.

7                   **2. The Navy’s Repeated Insistence That National Security**  
 8                   **Concerns Tip the Equities Against Injunctive Relief Should**  
 9                   **Again Be Rejected**

10           The Navy again argues that this Court must defer to the assessments of the  
 11 Executive Branch in matters of national security – this time as expressed through new  
 12 declarations and recent “waivers” – and conclude that the balance of harms and  
 13 equities favor a stay. Navy Ex. 21 at 14, 26. Again, this argument fails.

14           Deference to the Executive does not equate to an abdication of judicial review,  
 15 and courts have a duty to independently assess claims and weigh them against  
 16 competing interests, even in the sphere of national security. *See, e.g., Campbell v.*  
 17 *U.S. Dep’t of Justice*, 164 F.3d 20, 30 (D.C. Cir. 1998) (even in the context of  
 18 national security, “deference is not equivalent to acquiescence”); *Coldiron v. U.S.*  
 19 *Dep’t of Justice*, 310 F.Supp.2d 44, 53 (D.D.C. 2004) (“[A] court must [] defer to  
 20 agency claims of harm to national security. On the other hand...the court is not to be  
 21 a wet blanket. No matter how much a court defers to an agency, its review is not  
 22 vacuous.”) (internal quotes and citations omitted); *Dubbs v. CIA*, 769 F.Supp. 1113,  
 23 1116 n.3 (N.D. Cal. 1990) (traditional deference owed to the Executive in matters of  
 24 national security “does not require the Judiciary to abdicate *its* authority under Article  
 25 III.”) (emphasis in original). Contrary to the Navy’s repeated suggestions, deference  
 26 is not a blank check to violate the law. *See Duncan v. Kahanamoku*, 327 U.S. 304,  
 27 322-23, 66 S.Ct. 606, 90 L.Ed. 688 (1946) (“The military should always be kept in  
 28 subjection to the laws of the country to which it belongs, and he is no friend to the

1 Republic who advocates the contrary. The established principle of every free people  
 2 is that the law shall alone govern; and to it the military must always yield.”) (internal  
 3 quotations and citations omitted); *San Luis Obispo*, 449 F.3d at 1035 (“The Navy, just  
 4 like any federal agency, must carry out its NEPA mandate to the fullest extent  
 5 possible and this mandate includes weighing the environmental costs of the [project]  
 6 even though the project has serious national security implications.”).<sup>12</sup>

7 This Court spent months reviewing the evidence and crafting relief that  
 8 balances two competing, and highly significant, public interests: protection of the  
 9 environment and military readiness. Such balancing is, indeed, the specialized role of  
 10 courts sitting in equity. *See Hecht Co. v. Bowles*, 321 U.S. 321, 329, 64 S.Ct. 587, 88  
 11 L.Ed. 754 (1944) (courts’ equitable power is “the instrument for nice adjustment and  
 12 reconciliation between” competing interests).

13 The Executive Branch “waivers” (insofar as they bear on the equities, as  
 14 opposed to the merits) merely confirm once again what the Navy has stated  
 15 throughout this litigation: that it is the position of the Executive Branch that national  
 16 defense interests weigh against the Court’s imposition of mitigation measures. But  
 17 that position was well-known long before the Executive’s latest actions. Indeed, as  
 18 discussed above, the Navy has repeatedly made this very same argument throughout  
 19 this case based on the Secretary of Defense’s National Defense Exemption to the  
 20 MMPA. Despite this, both the Ninth Circuit and this Court concluded that the  
 21 balance of harms and the public interest militate in favor of a tailored injunction such  
 22 as the Court has issued here. The Ninth Circuit held:

23 <sup>12</sup> The Navy’s cases do not suggest otherwise. In *Gilligan v. Morgan*, 413  
 24 U.S. 1, 11-12, 93 S.Ct. 2440, 37 L. Ed.2d 407 (1973), the Court expressly limits its  
 25 holding to cases not involving “specific unlawful conduct” of the military (but rather  
 26 the wholesale critique of military management attempted by plaintiffs there),  
 27 emphasizing that “there is nothing in our Nation’s history or in this Court’s decided  
 28 cases, including our holding today, that can properly be seen as giving any  
 indication that actual or threatened injury by reason of unlawful activities of the  
 military would go unnoticed or unremedied.” *Id.* at 12 n.16 (internal quotations and  
 citations omitted). *See also Nat’l Audubon Soc. v. Navy*, 422 F.3d 174, 204 (4th Cir.  
 2005) (striking only those portions of injunction that were not necessary to prevent  
 harm to the environment).

1 Plaintiffs have also shown that the balance of hardships tips in their favor if a  
 2 properly tailored injunction is issued providing that the Navy's operations may  
 3 proceed if conducted under circumstances that provide satisfactory safeguards  
 for the protection of the environment. Moreover, the public interest would be  
 advanced by an injunction that required adequate mitigation measures.

4 *NRDC v. Winter*, 508 F.3d at 886.<sup>13</sup>

5 In short, just as the Navy's new "waivers" cannot cure the Navy's violations of  
 6 law, so too they neither identify nor create any new interests that this Court and the  
 7 Ninth Circuit have not already taken into account in their prior decisions balancing  
 8 the equities and the public interest in a tailored injunction. This Court has  
 9 consistently held that there is a "near certainty" of irreparable harm to Plaintiffs, the  
 10 public, and the environment if these exercises go forward without effective  
 11 mitigation. In fact, CEQ's mitigation measures are *virtually identical* to those that  
 12 this Court previously held "woefully inadequate and ineffectual." If a stay is granted  
 13 or the injunction vacated, the Navy's violations of law will likely go unremedied and  
 14 such irreparable harm to the environment will result.

15 The Navy's appeal to the equities now is particularly unavailing given that, as  
 16 explained above, it has been on notice since at least July of 2006, seven months  
 17 before its improper EA was released, that its conduct of major MFA sonar training  
 18 exercises without additional mitigation measures was unlawful. As one court in this  
 19 district observed fourteen years ago in enjoining a naval weapons testing program,

20 <sup>13</sup> This is not the first time that courts have held in the face of assertions of  
 21 potential harm to military readiness that the Navy must take precautionary measures  
 22 in order to comply with the law during its training exercises. *See Supp. Gordon*  
 23 *Decl. Ex. 3 (RIMPAC 2006 TRO); NRDC v. Evans*, 279 F.Supp.2d 1129 (N.D. Cal.  
 24 2003) ("A tailored injunction reconciles the very compelling interests on both sides  
 of this case, by enabling the Navy to continue to train with and test LFA sonar as it  
 needs to do, while taking some additional measures to better protect against harm to  
 marine life."); *NRDC v. Navy*, 857 F.Supp. 734, 741 n.13 (C.D. Cal. 1994)  
 25 (enjoining Navy from weapons testing, holding that "[w]hile the Navy has shown  
 that substantial costs in terms of money and defense preparedness will result from  
 an injunction, the Court believes... the balance of harms... favor the plaintiffs")  
 26 (vacated by consent decree); *cf. Foundation of Econ. Trends v. Weinberger*, 610  
 F.Supp. 829, 844 (D.D.C. 1985) ("Balancing the environmental considerations of  
 NEPA against these defense concerns this ruling is narrowly tailored to take those  
 27 matters into account.") (citation omitted); *McVeigh v. Cohen*, 996 F.Supp. 59, 61  
 28 (D.D.C. 1998) (recognizing the importance of deference in military affairs, but  
 upholding remedial order because "deference to the military does not deprive courts  
 of their authority to grant equitable relief").

any hardship that the Navy might suffer “is likely the direct result of the failure to comply with [federal environmental laws]” and its “refusal or inability to recognize [that failure] at an earlier date.” *NRDC v. Navy*, 857 F.Supp. at 741 n.13. The Navy’s own recalcitrance cannot justify a stay or vacation of the injunction. *See, e.g., Adler v. Fed. Republic of Nig.*, 219 F.3d 869, 876-77 (9th Cir. 2000) (“The unclean hands doctrine closes the doors of a court of equity to one tainted with inequity or bad faith relative to the matter in which he seeks relief.”).

This Court’s narrowly tailored injunction – which was issued in accordance with the Ninth Circuit’s specific directive and its holdings regarding the balance of harms and public interest in this case – allows the Navy’s training to go forward while adding needed protections for marine life. It therefore remains both necessary and appropriate to remedy the Navy’s violations of law.

#### IV. CONCLUSION

For the reasons stated above, Plaintiffs respectfully request that the Court deny Defendants’ *Ex Parte* Application.

Dated: January 22, 2008

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